

1 **Rapid photosynthetic recovery of a snow-covered feather moss and *Peltigera***  
2 **lichen during sub-Arctic midwinter warming**

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3 Jarle W. Bjerke<sup>a</sup>, Stef Bokhorst<sup>b</sup>, Terry V. Callaghan<sup>c,d</sup>, Matthias Zielke<sup>e</sup> and Gareth K. Phoenix<sup>c</sup>

4 <sup>a</sup>*Norwegian Institute for Nature Research (NINA), FRAM – High North Research Centre on Climate*  
5 *and the Environment, NO-9296 Tromsø, Norway;* <sup>b</sup>*Department of Forest Ecology and Management,*  
6 *Swedish University of Agricultural Sciences, SE-90183 Umeå, Sweden;* <sup>c</sup>*Department of Animal and*  
7 *Plant Sciences, University of Sheffield, Western Bank, Sheffield S10 2TN, UK;* <sup>d</sup>*Royal Swedish Academy*  
8 *of Sciences, Lilla Frescativägen 4A, SE-114 18, Stockholm, Sweden;* <sup>e</sup>*Norwegian Institute for*  
9 *Agricultural and Environmental Research (Bioforsk), Arctic Agriculture and Land Use Division, NO-*  
10 *9269 Tromsø, Norway*

11

12 \*Corresponding author. Email: [jarle.werner.bjerke@nina.no](mailto:jarle.werner.bjerke@nina.no).

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14 Running headline: Sub-Arctic moss and lichen photosynthesis during midwinter warming

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15 **Abstract**

16 **Background:** Arctic lichens and mosses are covered by snow for more than half the year and are  
17 generally considered as being dormant for most of this period. However, enhanced frequency of  
18 winter warming events due to climate change can cause increased disturbance of their protective  
19 subnivean environment.

20 **Aim:** To further understand cryptogamic responses to mid-winter warming we compared the  
21 ecophysiological performance of one lichen and one moss species during a simulated warming  
22 event.

23 **Methods:** We measured photosynthesis and dark respiration in samples of the moss *Hylocomium*  
24 *splendens* and the lichen *Peltigera aphthosa* removed from under snow, and on natural refreezing  
25 after the warming event, which was simulated by using infrared heaters suspended above the  
26 ground.

27 **Results:** The moss exposed to light at +5 °C immediately after removal from their subnivean  
28 environment and from warmed plots showed positive net gas exchange within 332 s; the lichen  
29 required 1238 s. Photosynthesis and nitrogen fixation rates were equal to that, or higher than,  
30 during the preceding growing season. Upon refreezing after the event, moss photosynthesis  
31 declined considerably.

32 **Conclusions:** The moss, and to a lesser extent the lichen, may contribute to subnivean midwinter  
33 ecosystem respiration, and both are opportunistic, and can take advantage of warmer winter phases  
34 for photosynthesis and growth. This ought to be taken into account in vegetation change projections  
35 of cryptogam-rich ecosystems.

36

37 **Keywords:** carbon flux; climate change; cryptogams; dormancy; gas exchange; nitrogen fixation;  
38 reactivation; snow melt; subnivean environment; winter warming



## 40 Introduction

41 Drying and freezing may induce anabiosis in lichens and bryophytes. While the effects of rehydration  
42 and desiccation of bryophytes and lichens have received much attention (e.g., Smith and  
43 Molesworth 1973; Lange et al. 2006; Proctor et al. 2007), their freezing-induced anabiosis and  
44 reactivation – and cryobiology in general – are far less understood. The most cryotolerant lichens  
45 have detectable photosynthetic activity down to  $-24\text{ }^{\circ}\text{C}$  (Lange 1965), but for most lichens, activity  
46 ceases at milder subfreezing temperatures (Kappen 1993). For bryophytes, photosynthesis has been  
47 reported down to  $-8\text{ }^{\circ}\text{C}$  (Kappen et al. 1989). Low winter temperatures have been considered to halt  
48 functioning of cryptogams during the winter period (Phoenix and Lee 2004; Schlenzog et al. 2004).  
49 However, considerable wintertime respiration suggests that many arctic, sub-arctic and alpine  
50 ecosystems are not at all dormant during winter (Zimov et al. 1993; Brooks et al. 1997; Grogan et al.  
51 2001; Grogan and Jonasson 2006; Nobrega and Grogan 2007). Mid-winter temperatures in the  
52 interface between snowpack and soil can be close to  $0\text{ }^{\circ}\text{C}$ , despite severe freezing temperatures  
53 above the snowpack (Grogan and Jonasson 2006; Bokhorst et al. 2010a), enabling subnivean  
54 metabolic respiration, especially by microbial soil organisms (Mikan et al. 2002).

55 Climate change in the Arctic is not only projected to lead to increases in mean wintertime  
56 temperatures, but also increased frequency of extreme warming events, which can result in rapid  
57 snow melt and loss of the insulating snow layer (Putkonen and Roe 2003; Christensen et al. 2007;  
58 Bokhorst et al. 2009; Callaghan et al. 2010, 2011a, 2011b). Both simulated and natural sub-arctic  
59 winter warming events have recently been shown to cause considerable damage to plants (Bokhorst  
60 et al. 2008, 2009, 2010b, 2011, 2012). The most likely cause of such damage is the initiation of  
61 premature spring-like development, which is interrupted by return to normal winter temperatures,  
62 exposing the vegetation to freezing temperatures in the absence of an insulating snow cover  
63 (Crawford 2008; Bokhorst et al. 2010b). Lichens and bryophytes are important components of many  
64 arctic and sub-arctic vegetation types. In a recent winter warming simulation experiment (Bokhorst

65 et al. 2008, 2011), it has been shown that the dominant lichen and bryophyte species had  
66 contrasting sensitivities to winter warming events; the feather moss *Hylocomium splendens* (Hedw.)  
67 Schimp. experienced severely reduced photosynthesis and growth rates during the following  
68 growing seasons, whereas the lichen *Peltigera aphthosa* (L.) Willd. remained unaffected (Bjerke et al.  
69 2011). It was shown that the severe freezing following the warming events damaged vulnerable  
70 bryophyte tissues whose development was stimulated during the warming events (Bjerke et al.  
71 2011), a similar mechanism as that seen to result in considerable damage to the vascular plants in  
72 the same experiment (Bokhorst et al. 2010b, 2011). These differences between the moss and the  
73 lichen in response to extreme winter warming indicate contrasting vulnerability to winter frost  
74 damage. Whether this is due to differences in ecophysiological activity is, however, not known, but  
75 there are indications of different recovery time after winter anabiosis for mosses and lichens  
76 (Schlensog et al 2004). Continental Antarctic bryophytes need more time to recover from winter  
77 anabiosis than lichens (Schlensog et al. 2004).

78 This paper originates from a winter warming manipulation experiment that was undertaken in the  
79 Swedish sub-Arctic. While the previous studies from this experiment focused on vascular plant and  
80 summertime cryptogamic responses to winter warming, this study focuses on wintertime responses  
81 of the dominant moss and lichen in this ecosystem. To explore mid-winter reactivation rates of *H.*  
82 *splendens* and *P. aphthosa* and their associated cyanobacteria we measured ecophysiological activity  
83 of specimens that became gradually exposed during snow melt from a simulated extreme winter  
84 warming event, and of specimens removed directly from their subnivean environment. To the best  
85 of our knowledge, midwinter carbon flux measurements of sub-Arctic feather mosses and lichens  
86 and the nitrogen fixation activity of their associated cyanobacteria have not been reported  
87 previously. The results presented here therefore provide novel insight into the midwinter ecology of  
88 these cryptogams and their reactivation rates to winter warming events. Assuming that sub-Arctic  
89 and continental Antarctic bryophytes and lichens respond similarly, we hypothesised that the lichen  
90 would be reactivated more rapidly than the bryophyte in our study. We also hypothesised that the

91 specimens that were gradually exposed would reach higher photosynthetic rates than the specimens  
92 removed directly from their subnivean environment, as the former group had more time to adapt to  
93 light. Finally, we expected that refreezing following the warming event would negatively affect  
94 photosynthetic capacity of the moss, as its hardening mechanisms were reduced during the warming  
95 event.

96

## 97 **Materials and methods**

### 98 *Study area and species*

99 This study was carried out in a sub-Arctic heathland close to the Abisko Scientific Research Station in  
100 northern Sweden (68° 21' N, 18° 49' E). The sub-Arctic heathland is dominated by evergreen dwarf  
101 shrubs (Bokhorst et al. 2008), but the most abundant lichen, *Peltigera aphthosa*, and bryophyte, the  
102 feather moss *Hylocomium splendens*, also have a high ground cover (Bjerke et al. 2011). In the study  
103 area, these two cryptogams are most abundant in mesic heath vegetation that under normal winter  
104 conditions are covered by snow for about 8 months (ca. October-May).

105

### 106 *The warming treatment*

107 Three discrete winter warming events were simulated, at the beginning of March (period of  
108 maximum snow depth in this region (Kohler et al. 2006)) in 2007, 2008 and 2009 by using infrared  
109 heating lamps to thaw the snow (for details see Bokhorst et al. 2008, 2009). The experiment  
110 consisted of 18 plots of 2.1 m × 1.0 m; six control plots and six of each of two warming treatments:  
111 canopy warming and canopy with soil warming. In the two warming treatments, four infrared  
112 heating lamps (Kalglo Electronics Co., Bethlehem, PA, USA) were suspended (70 cm apart) in parallel  
113 from wooden frames. The canopy with soil warming plots were further warmed by soil heating  
114 cables at 5 cm soil depth and running parallel at 20 cm distance from each other. Soil warming  
115 cables were switched on two days after the lamps to simulate the delay in soil thaw during a real  
116 event. Control plots received no warming treatment and remained insulated under the natural  
117 winter snow cover. Snow depth varied between 40 and 50 cm, and the soil surface temperature was  
118 around -3 °C (Bokhorst et al. 2010a). For this study, to avoid disturbing the control plots that served  
119 as control for the main experiment with the complete suite of species, we established new control  
120 plots for our measurements. Before snow fall in autumn 2008, sites with the two species close to the  
121 warming experiment were marked for use as control plots and revisited at the beginning of March in

122 2009. Temperatures were recorded by a data logger at 6-h intervals using thermistors placed in each  
123 plot at dwarf shrub canopy height (which was under snow prior to warming), at the soil surface and  
124 at 5 cm depth.

125 Each warming event lasted 7 d during which the lamps were kept at a constant distance of 50 cm  
126 from the snow surface, i.e. they were lowered as the snow depth decreased. This approach ensured  
127 a gradual snow thaw, taking 2 to 3 d to thaw the full depth of snow in each plot. As vegetation  
128 became exposed, lamps were kept at 50 to 70 cm above the soil surface to maintain canopy  
129 warming (lower lamp heights were needed during higher wind speeds and lower ambient  
130 temperatures). Temperatures from the thermistors were monitored to ensure warming was realistic  
131 and within the bounds of temperatures recorded for real events. The aim was to raise temperature  
132 to 5 °C (Bokhorst et al. 2008), and for most of the time temperature was close to 5 °C; temperature  
133 at canopy height fluctuated between 0.9 and 7.0 °C during the warming events. Thermocouple  
134 measurements of vegetation surface temperatures were also made to ensure that leaves did not  
135 overheat. Incident light (photosynthetic photon flux density; PPFD) was measured with quantum  
136 sensors (SKP215, Campbell Scientific, Shepshed, UK) placed at the ground (snow-covered in March)  
137 and at 1.5 m above ground (not covered by snow and with minimal shading from trees). The  
138 irradiance measurements at 1.5 m above ground, representative also for the incident light to  
139 warmed plots after snow melt, reached daily maxima of between 166 and 290  $\mu\text{mol m}^{-2} \text{s}^{-1}$  PPFD  
140 during the warming events in 2008 and 2009. Irradiance measurements on the ground under snow  
141 showed no light transmittance through the snowpack.

#### 142 *Sampling and ecophysiological measurements*

143 During the third winter warming simulation event in March 2009 (i.e. of single events simulated in  
144 three consecutive years), metabolic activity in the lichen and bryophyte was measured by using a  
145 portable gas exchange and fluorescence system (GFS-3000, Heinz Walz GmbH, Effeltrich, Germany).  
146 Samples from the 12 warmed plots (both warming treatments) were measured 2-4 h after first



147 emergence from under snow and exposure to warming treatment temperature while they still were  
148 moist from the melted snow. These samples were compared with those taken from below the snow  
149 in the control plots. The snow was carefully removed from the vegetation. Samples were collected  
150 one at a time, placed in dark bags and immediately brought to the GFS-3000 for measurements of  
151 dark respiration and photosynthesis. These samples henceforth are termed 'subnivean'. The  
152 temperature at the soil-snowpack interface at the time of sampling was around  $-3\text{ }^{\circ}\text{C}$  (figure 1 in  
153 Bokhorst et al. 2010a). The time from sampling to the start of the gas exchange measurements was  
154 3-4 minutes.

155 Samples were not artificially moistened; the melting snow and ice on their surfaces and within the  
156 thalli, and the relative humidity (RH) in the air were the only water sources for the subnivean  
157 samples, while the samples from the warming treatments were moist from the snow thawed by the  
158 heating lamps. The objective with not adding extra moisture was to test activity under natural  
159 thawing conditions. Samples were dried completely and weighed after measurements. Weights of  
160 naturally moist and dried samples showed that water content was within the range suitable for  
161 optimal photosynthetic rates (140 to 220 % of dry weight). Only first-year and second-year segments  
162 of the feather moss were used. Each sample consisted of ca. five shoots. Lichen samples consisted of  
163 one ellipsoid lobe without apothecia, ca. 2.5 cm wide and 4 cm long.

164 While subnivean samples were naturally dark-adapted, warmed plot samples exposed to light were  
165 dark pre-treated for 1 h before sampling. The analytical run consisted of a short period of instrument  
166 calibration in darkness (1 min), followed by measurements of dark respiration (DR) and maximal  
167 quantum efficiency of photosystem II (PSII), i.e.  $F_v/F_m$  (Maxwell and Johnson 2000), before the light  
168 was switched on. A saturating but not photoinhibiting (cf. Lange et al. 1996) PPFD of  $400\text{ }\mu\text{mol m}^{-2}\text{ s}^{-1}$   
169 was used during measurements of NP.  $\text{CO}_2$  concentration was set to 380 ppm, cuvette humidity to  
170 7000 ppm  $\text{H}_2\text{O}$ , and temperature to  $5\text{ }^{\circ}\text{C}$ . This temperature was selected because it approximated  
171 the average canopy air temperature in the warming treatments after full snow melt (see figure 1 in

172 Bokhorst et al. 2010b). During the light treatment, the quantum yield of PSII,  $\Phi_{PSII}$  (Genty et al. 1989;  
173 Maxwell and Johnson 2000) and fluorescence quenching parameters were measured continuously  
174 (quenching data not reported here). Carbon assimilation curves flattened out after 5 to 45 min of  
175 light treatment (not to horizontal which would have needed more time for most samples, but until  
176 the steep, almost exponential rise in assimilation was passed). All samples were measured for at  
177 least 30 min in light. Assimilation rates were used to quantify the time taken from light exposure  
178 until positive net photosynthetic rates were reached, and to derive maximum net photosynthesis  
179 (NP) rates (within the time limits and environmental conditions given; i.e. longer light treatments  
180 and/or higher temperatures would probably have rendered higher NP). DR (with negative values)  
181 and NP were used to calculate gross photosynthesis (GP), where  $GP = NP - DR$ . Values for NP, DR  
182 and GP were expressed on a dry weight basis. Comparisons with NP rates from the preceding  
183 growing season (reported in Bjerke et al. 2011) were used to check the potential of winter gas  
184 exchange; rates close to or higher than during summer would indicate high potentials.

185 To test how 1 day of freezing after the warming event would affect the photosynthesis and  
186 respiration (i.e. 12-18 h after) warming was turned off and before the first snowfall, samples of *H.*  
187 *splendens* moistened by wind-blown snow were collected from the warming treatments and  
188 measured using the same procedure as for the other samples. It took a full day to obtain a full data  
189 set. These samples are referred to as 'refreezing'. Capacity constraints on the GFS-3000 led to that  
190 only the moss could be analysed the first day after turning off the heat. The second day the warmed  
191 plots had been completely re-covered by newly fallen and wind-blown snow. Our principle was not  
192 to manipulate snow cover after the warming event. Hence, we could not dig for more samples, and  
193 the lichen was therefore not analysed after refreezing.

194 The leaf photosynthesis system used is supplied with a temperature sensor for measuring leaf  
195 temperature, but when using the cuvette specially designed for loose samples of cryptogams, this

196 sensor is not in direct contact with the cryptogam. Thus, we cannot report exact thallus surface  
197 temperatures from the ecophysiological measurements.

198 Nitrogen fixation rates of cyanobacteria associated with *H. splendens* and *P. apthosa* were  
199 measured during the second winter warming event in March 2008. Samples for nitrogen fixation  
200 measurements were randomly selected and carefully removed from the plots. They consisted of  
201 whole, cleaned thalli or tufts of ca. 25 cm<sup>2</sup> which were measured using the acetylene reduction assay  
202 (Stewart et al. 1967). No measurements on subnivean samples were taken. Samples were wetted  
203 and kept moist overnight. They were placed in air-tight chambers outdoors and incubated with 10%  
204 (v:v) acetylene for ca. 2 h (exact incubation time noted for every sample). Mean chamber  
205 temperatures (1-3 °C higher than ambient) and PPFD during incubation were 6.8 °C and 207 μmol m<sup>-2</sup>  
206 s<sup>-1</sup>. Gas samples were measured according to Zielke et al. (2002). Nitrogen fixation activity during  
207 the event was compared with growing season fixation rates from the same plots reported in the  
208 electronic supplement of Bjerke et al. (2011).

### 209 *Data analyses*

210 Relationships between time of exposure to light and carbon assimilation rates were curve-fitted by  
211 using the sigmoidal Morgan-Mercer-Flodin model, which, for all relationships provided better fits  
212 than other models, both sigmoidal and non-sigmoidal. Differences between the two warming  
213 treatments were first tested with a series of Student's *t*-tests. As there were no significant  
214 differences between the two treatments for any of the measured parameters (lowest *P*-value was  
215 0.11; most *P*-values were above 0.50), the two types of warming treatment data could be pooled  
216 (canopy only, and canopy plus soil warming), here called 'warming'. The pooled warming data were  
217 compared against subnivean samples, and, for *H. splendens*, also against refrozen samples. Separate  
218 repeated-measures ANOVAs of warming vs. refreezing data rendered the same significance effects  
219 as when refreezing was considered a separate treatment in a one-way ANOVA. Thus, for being able  
220 to combine subnivean, warming and refreezing data in the same significance test, the results

221 presented are based on one-way ANOVA with refreezing as a separate treatment. Post-hoc multiple  
222 comparisons of these data were analysed by using the Tukey-Kramer HSD test. A two-way ANOVA  
223 was used to test for significant species  $\times$  treatment interactions on response rates. Student's *t*-tests  
224 were used to compare subnivean and warming data of *P. apthosa*, and a paired Student's *t*-test  
225 was used to compare warming treatment NP from March 2009 and July 2008.

226 Data sets were tested for heterogeneity using Levene's test. In cases where this test was significant,  
227 suggesting lack of homogeneity, the data were also analysed by using non-parametric tests (the  
228 Kruskal-Wallis and Mann-Whitney-*U* tests). Changing from parametric to non-parametric tests did  
229 not affect significance in any of the cases, i.e., in cases where *P*-values were below 0.05 using  
230 ANOVA, significance levels were below 0.05 also with the non-parametric tests, and vice versa. All  
231 tests were carried out by using the PASW Statistics 18 package (SPSS Inc., Chicago, IL, USA), except  
232 for the curve fitting, which was made in Microsoft Excel by using the add-on XLfit ver. 5.3.1.3 (ID  
233 Business Solutions Ltd., Guildford, UK).

## 234 Results

### 235 *Response times to light exposure*

236 Positive photosynthetic rates of *Hylocomium splendens* were reached within an average of 332 s.

237 The three sample types of *H. splendens*, i.e. samples from the subnivean environment, the warming  
238 plots and upon refreezing 1 d after warming, showed similar time responses to light exposure

239 (Figure 1;  $F_{2,23} = 0.06$ ,  $P = 0.94$ ). *Peltigera aphthosa* showed a large variation in response times, with  
240 samples from the subnivean environment being on average nearly eight times slower than samples  
241 from the warming treatment (Figure 1; lack of homogeneity; Mann-Whitney  $U$ -test,  $P = 0.005$ ).

242 Subnivean samples of *P. aphthosa* needed on average 1238 s to reach positive photosynthetic rates.  
243 Samples of the lichen and the moss from the warming treatment had similar response times to light  
244 exposure, while subnivean samples of the lichen had significantly longer response times than  
245 subnivean samples of the moss (Figure 1, upper-case letters at the columns, interaction species  $\times$   
246 treatment:  $F_{2,24} = 12.04$ ,  $P = 0.002$ ).

247 Typical response curves of photosynthetic rates as a function of time since first light (Figure 2) show  
248 that the fittest samples of *H. splendens* reached positive rates after 60 s of light exposure (Figure 2a;  
249 canopy warming example). After refreezing, a few samples tended to respond more slowly to the  
250 light treatment (example with open squares in Figure 2a); albeit without having an effect on mean  
251 response times for this group (Figure 1). The distinctive differences in response times between  
252 lichen samples from the warming treatment and from the subnivean environment is exemplified by  
253 three samples in Figure 2b. The samples with the fastest response reached maximal NP within ca.  
254 600 s, as seen from the curve flattening of the canopy and soil warming example in Figure 2b.

### 255 *Ecophysiological performance*

256 Overall, ecophysiological performance of *H. splendens* was identical in the subnivean and winter  
257 warming samples, but refreezing samples differed (Figure 3, left panels). In *H. splendens* DR in the  
258 subnivean, warming, and refreezing samples was variable and there were no differences among the

259 three treatments (Figure 3a;  $F_{2,23} = 0.14$ ,  $P = 0.87$ ). Upon refreezing large declines were found in NP  
260 and  $\Phi_{\text{PSII}}$ . NP in refrozen samples was 59 % lower (Figure 3b;  $F_{2,23} = 6.01$ ,  $P = 0.009$ ) and  $\Phi_{\text{PSII}}$  was 2.5  
261 times higher (Figure 3d;  $F_{2,23} = 8.99$ ,  $P = 0.002$ ) compared to subnivean samples. . Mean  $F_v/F_m$  was  
262 14.5 % lower upon refreezing than during the warming event (Figure 3c; lack of homogeneity;  
263 Kruskal-Wallis,  $P = 0.069$ ). Mean NP of *H. splendens* during the winter warming event in 2009 did not  
264 differ from growing season NP (paired  $t_7 = 1.05$ ,  $P = 0.33$ ).

265 DR of *Peltigera aphthosa* was 1.7 times higher in subnivean samples compared to the winter  
266 warming treatment (Figure 3a;  $F_{1,12} = 10.09$ ,  $P = 0.009$ ), and chlorophyll fluorescence was 35 % lower  
267 compared to the winter warming treatment (Figure 3c; lack of homogeneity, Mann-Whitney *U*-test,  
268  $P = 0.009$ ). Mean NP was 57% lower in the subnivean samples compared to the winter warming  
269 treatment, but due to high variability not significantly so (Figure 3b;  $F_{1,12} = 3.23$ ,  $P = 0.1$ ), and the  
270 same applies to  $\Phi_{\text{PSII}}$  which was 37% higher in the subnivean samples (Figure 3d;  $F_{1,12} = 3.78$ ,  $P =$   
271 0.078). NP of *P. aphthosa* during the winter warming event in 2009 was on average 4.3 times higher  
272 than during the preceding growing season (paired  $t_6 = -3.78$ ,  $P = 0.009$ ).

273 GP of the two species did not differ among the treatments (*H. splendens*:  $F_{2,23} = 1.43$ ,  $P = 0.26$ ,  $P.$   
274 *aphthosa*:  $F_{1,12} = 0.88$ ,  $P = 0.37$ ; data not shown). Nitrogen fixation activity was high during the  
275 second winter warming event, with mean values of 1.26 and 2.23 mmol C<sub>2</sub>H<sub>4</sub> h<sup>-1</sup> g<sup>-1</sup> for *H. splendens*  
276 and *P. aphthosa*, respectively (no differences between groups, data not shown), for both species  
277 being more than twice as high as the activity measured in July the preceding year (all treatments  
278 pooled; *H. splendens*:  $t_{29} = -2.23$ ,  $P = 0.034$ ; *P. aphthosa*:  $t_{23} = -4.04$ ,  $P = 0.001$ ).

279

## 280 Discussion

281 Our results suggested that on exposure to light and temperatures above freezing the moss  
282 responded nearly four times faster than the lichen to gain positive NP following a number of months  
283 of darkness under snow. This is in contrast to what we expected, as Schlenzog et al. (2004) found  
284 that bryophytes were slower to recover than lichens in continental Antarctica. The physiological  
285 measurements suggest that sub-Arctic bryophytes and lichens can contribute significantly to winter  
286 ecosystem respiration and assimilation, as also recently suggested by Street et al. (2012) based on  
287 primary productivity analyses during late winter and spring of the two bryophytes *Polytrichum*  
288 *piliferum* Hedw. and *Sphagnum fuscum* (Schimp.) H. Klinggr.

289 The average response time of 332 s by *H. splendens* was particularly rapid, but the response by  
290 *Peltigera* at 1238 s was also rapid in comparison with the Antarctic bryophyte *Bryum*  
291 *subrotundifolium* A. Jaeger which needed 16 h from first re-activation after overwintering under a 30  
292 cm deep snowpack before positive net photosynthesis was gained (Schlenzog et al. 2004). While the  
293 temperature of the subnivean Antarctic environment at -15 °C (Schlenzog et al. 2004) was too low  
294 for any significant cryptogamic metabolic activity (Kappen 1993), the sub-arctic subnivean  
295 environment in this study had a temperature of -3 °C which is above the lower limit for metabolic  
296 activity. This difference in the degree of dormancy is the most likely cause for the contrasting  
297 response times between the Antarctic and the sub-Arctic sites.

298 The lack of difference in NP, DR,  $F_v/F_m$  and  $\Phi_{PSII}$  between the subnivean control and the warming  
299 treatments demonstrated that *H. splendens* was not at all dormant in its subnivean environment. As  
300 the subnivean microclimate in the sub-Arctic is suitable for high water potentials (Zimov et al. 1993;  
301 Mikan et al. 2002; Grogan and Jonasson 2006; Nobrega and Grogan 2007), this suggests that  
302 subnivean bryophytes may significantly contribute to wintertime CO<sub>2</sub> respiration rates. This  
303 contrasts with the situation in continental Antarctica where persistently low winter temperatures

304 make wintertime water potentials very low, even at high subnivean RH, leading to extensive  
305 desiccation at the cellular level (Schroeter et al. 1994; Schroeter and Scheidegger 1995).

### 306 *Differences between H. splendens and P. aphthosa*

307 High DR rates of subnivean *P. aphthosa* (Figure 3a) indicate that the lichen also has the potential of  
308 subnivean respiration when temperatures are close to 0 °C and may therefore contribute to  
309 wintertime ecosystem respiration, depending on the temperature course. Several lichens show  
310 detectable DR under mild subfreezing conditions (e.g. Gannutz 1970; Lange and Green 2005). Mild  
311 subnivean conditions are in fact suggested as a primary reason why terricolous, fruticose lichens are  
312 very sparse in oceanic areas of the Arctic and sub-Arctic, because such dark and mild conditions over  
313 several months may cause severe respiratory loss that can ultimately kill the lichen (Bjerke 2011).  
314 These lichens are often more abundant in continental areas with lower subnivean temperatures,  
315 where they make up an important part of the winter forage for reindeer (e.g., Tømmervik et al.  
316 2012).

317 Lichens tend to rapidly release a burst of non-metabolic CO<sub>2</sub> the first 15 min during a temperature  
318 increase (Sundberg et al. 1999). The lichens from the subnivean environment experienced a rapid  
319 temperature increase of 8 °C (from -3 °C to +5 °C) while being transported from the field to the gas  
320 exchange chamber, whereas the samples from the warmed plots had been at 5 °C for some hours  
321 prior to gas exchange measurements. The temperature increase that the subnivean samples were  
322 exposed to certainly led to a burst of CO<sub>2</sub> release and this explains why DR of *P. aphthosa* was higher  
323 in the subnivean samples than in the samples from the warmed plots (Figure 3a), which had its burst  
324 release of CO<sub>2</sub> while being heated up in the plots a few hours before gas exchange measurements.

325 We suspect that the longer response times of the lichen compared to the moss were due to their  
326 large differences in surface area-to-volume ratios. Thick, broad-lobed foliose lichens such as *P.*  
327 *aphthosa* have much lower ratios than feather mosses, and this leads to higher water retention  
328 which, in turn, slows down the thawing rate. Thus, the moss probably reached positive thallus



329 temperatures much faster than the lichen when they were moved from their subnivean  
330 environment at around  $-3\text{ }^{\circ}\text{C}$  to the cuvette temperature at  $+5\text{ }^{\circ}\text{C}$ . Street et al. (2012) also used  
331 differences in water retention capacity to explain why *Sphagnum fuscum* has lower photosynthetic  
332 rates than *Polytrichum piliferum* in late winter, as large amounts of frozen water within capillary  
333 spaces of *S. fuscum* melt slowly and restrict  $\text{CO}_2$  diffusion. The longer response time and the reduced  
334 subnivean  $F_v/F_m$  (Figure 3c) of *Peltigera aphthosa* as compared to *H. splendens* indicate that the high  
335 water retention of the lichen slowed down the reactivation rate after light exposure. Subnivean  
336 samples of an Antarctic liverwort have also been reported to have had much lower chlorophyll  
337 fluorescence than adjacent samples that were free of snow (Snell et al. 2007). Nevertheless, the  
338 short time required to reach positive NP shows that *P. aphthosa* can take advantage of winter  
339 thawing events for photosynthesis and growth, and lichens with higher surface area-to-volume  
340 ratios, e.g. fruticose reindeer lichens (*Cladonia* spp.), may thaw more rapidly and be more similar to  
341 *H. splendens* than to *P. aphthosa* in terms of response time.

#### 342 *Comparison with growing season activity*

343 NP and nitrogen fixation rates of *H. splendens* and *P. aphthosa* during the growing season in the  
344 study area are variable (Bjerke et al. 2011). NP rates of *P. aphthosa* and N fixation rates of both  
345 species during the winter warming event were 2 to 4.3 times higher than the range of rates during  
346 the preceding growing season, suggesting that the winter warming events rendered optimal  
347 temperature and humidity conditions for ecophysiological activity. In fact, it has been suggested that  
348 many sub-arctic cryptogams have the highest photosynthetic activity during late winter, spring and  
349 autumn, because thalli stay moist for longer periods of time during these seasons due to water from  
350 snowmelt, higher precipitation rates and slower drying rates than during summer (e.g., Sonesson  
351 1989, 2001; Rikkinen 1995; Moore et al. 2002; Bjerke et al. 2005). This may be especially true for  
352 continental parts of the circumpolar region which can be very dry and warm in summer. For  
353 example, the maximum photosynthesis rates of the feather moss *Pleurozium schreberi* (Brid.) Mitt.  
354 from Finland were much higher in spring and autumn than in summer (Kallio and Saarnio 1986),

355 whereas the epiphytic lichens *Melanohalea olivacea* (L.) O. Blanco et al. and *Parmeliopsis ambigua*  
356 (Wulfen) Nyl. from the Abisko area showed much higher growth rates in spring than in summer and  
357 autumn (Sonesson et al. 2011). Also, in warmer and wetter regions, for example the British Isles, the  
358 cold seasons are considered an important period for cryptogamic growth, due to continuously moist  
359 conditions (Bates et al. 2005). Our results indicate that *P. aphthosa* and cyanobacteria may also be  
360 more active in autumn and spring, rather than during summer, but to confirm this, year-round  
361 monitoring of carbon exchange would need to be carried out, as was done with the temperate  
362 lichen *Lecanora muralis* (Schreber) Rabenh., whose carbon assimilation was almost completely  
363 dependent on momentary hydration conditions (Lange 2003). NP in *Hylocomium splendens* was not  
364 different from NP during the preceding summer, and this contrasts to the results for NP in *P.*  
365 *aphthosa* and N fixation in the cyanobacteria. This may be due to the fact that the mosses in the  
366 warmed plots were damaged by the winter warming events of 2007 and 2008 (Bjerke et al. 2011),  
367 and therefore the subnivean moss samples required more time to reach maximum NP rates.

### 368 *Refreezing*

369 High ecophysiological activity and spring-like development generally lead to de-hardening (e.g.,  
370 Rütten and Santarius 1992; Ögren 1996; Bokhorst et al. 2010b), and the lichen and moss therefore  
371 run a risk of damage by refreezing, a risk which is higher for mosses due to their freeze-susceptible  
372 organs (Clausen 1964; Hudson and Brustkern 1965; Kennedy 1993; Bjerke et al. 2011). Refreezing led  
373 to a reduction of the photosynthetic performance of *H. splendens*; NP was reduced by 52 %,  $F_v/F_m$   
374 was near-significantly reduced, and  $\Phi_{PSII}$  was 1.5 times higher than during the event (Figure 3).  $\Phi_{PSII}$   
375 measures the proportion of light absorbed by chlorophyll associated with PSII that is used in  
376 photochemistry, and it often shows an inverse correlation with the efficiency of carbon fixation  
377 (Genty et al. 1989; Maxwell and Johnson 2000). Thus, the higher refreezing values of  $\Phi_{PSII}$  indicate  
378 reduced efficiency, as also demonstrated by the reduced NP. Moreover, NP after refreezing was  
379 lower and  $\Phi_{PSII}$  higher than the subnivean values, suggesting that refreezing imposed stress causing  
380 stronger reductions than seen after the down-regulation of activity during winter dormancy. Bjerke

381 et al. (2011) hypothesised that the high sensitivity to extreme winter warming by *H. splendens* seen  
382 during the following growing seasons was because of initiation during the warming events of growth  
383 of young, freeze-susceptible shoot apices, which were damaged on refreezing after the warming  
384 event. The ecophysiological data presented here confirm that the moss was active during the  
385 warming events and that freeze-induced stress immediately after the warming events caused severe  
386 reductions in ecophysiological performance. However, new growth during the warming event could  
387 not be observed visually. Therefore, to clearly confirm that growth was initiated during extreme  
388 winter warming events, it would have been necessary to assay biochemical responses related to  
389 growth, as was made for vascular plants in the same warming simulation (Bokhorst et al. 2010b).

390 Our data showed that the lichen *P. aphthosa* was highly active during the winter warming event, but,  
391 presumably, as this lichen does not have any freeze-susceptible organs, it could withstand the  
392 sudden post-warming refreezing without being damaged (Bjerke et al. 2011). Nevertheless, it would  
393 be relevant to test if *P. aphthosa* also experiences a sudden reduction in photosynthetic  
394 performance upon refreezing.

### 395 *Conclusion*

396 The results presented here provide increased insight to the winter ecology of heath cryptogams in  
397 the sub-Arctic. Their moist and relatively mild subnivean environment prevents full dormancy, at  
398 least for parts of the winter season. Instead, they probably have some more or less continuous  
399 respiratory activity while staying ready to take advantage of solar radiation as soon as light  
400 transmittance through snow is above the light compensation point for photosynthetic activity, which  
401 for cryptogams are generally reached at 17-30  $\mu\text{mol m}^{-2} \text{s}^{-1}$  PPFD (Kappen 1993; Lange et al. 1996;  
402 Sommerkorn 2000; Pannowitz et al. 2003; Street et al. 2012). Thus, their role in wintertime carbon  
403 fluxes may have been underestimated. Full snow melt and increases in temperature to a few  
404 degrees above freezing, as experienced during the winter warming events, are shown to render  
405 good conditions for ecophysiological activity, leading to NP and nitrogen fixation rates similar to or

406 larger than typical rates observed during the growing season. Winter climate change with increasing  
407 frequency of extreme warming events therefore may have large consequences for summer growth  
408 of lichens and mosses. It may affect their competitive potential against vascular plants which are  
409 known to be highly sensitive to winter warming events (Bokhorst et al. 2008, 2009, 2010b, 2011,  
410 2012; Callaghan et al. 2010, 2011a, 2011b; Crawford 2008). This suggests that winter processes may  
411 reduce the rate of increasing dominance of vascular plants over cryptogams resulting from summer  
412 processes which stimulate vascular plant growth (Cornelissen et al. 2001; Keuper et al. 2011).  
413 Actually, the balance between winter and summer processes is unknown and is a major topic for  
414 future research. Enhanced knowledge of the winter ecology of cryptogams is in this context crucial  
415 for the understanding of the full impacts of climate change in polar regions. We have here shown  
416 that sub-arctic lichens and mosses are not as dormant in mid-winter as previously assumed. This  
417 implies that increased opportunities for growth by cryptogams during the cold seasons, due to  
418 increased frequency of warming events, must be taken into account when modelling future  
419 vegetation composition changes in the sub-Arctic.

420

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432

433

434 **Notes on contributors**

435 Jarle W. Bjerke is a senior researcher. His research interests include the impacts of climate change  
436 and land-use on vegetation and terrestrial ecosystems of cold biomes.

437 Stef Bokhorst is a postdoctoral researcher. His research interests include the above and  
438 belowground response of Polar ecosystems to climate change. Especially the changes in winter  
439 climate and the impacts of extreme weather events for dwarf shrubs, soil arthropods and  
440 decomposition are of great interest.

441 Terry V. Callaghan is a Distinguished Research Professor , Professor of Arctic Ecology and visiting  
442 Professor at Tomsk State University, Russia. He specialises in arctic ecology and global change  
443 impacts on Arctic ecosystems.

444 Matthias Zielke is a researcher. He works with research questions related to microbial ecology in  
445 Arctic soils, especially on climate change impacts on biological nitrogen fixation.

446 Gareth K. Phoenix is a senior lecturer. His research interests include the impacts of global change  
447 (summer and winter warming, snow regime change, precipitation, UV-B radiation) on arctic  
448 ecosystems and the consequences for biogeochemical cycling.

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603

## 604 **Figures**

605

606 Figure 1. Time from start of light exposure ( $400 \mu\text{mol m}^{-2} \text{s}^{-1}$  PPFD) until positive photosynthetic rates  
607 were reached for *Hylocomium splendens* and *Peltigera aphthosa* at  $5^\circ\text{C}$  during a winter warming  
608 event in March 2009 (light grey bars), upon refreezing 1 d after warming (only *H. splendens*; dark  
609 grey bar) and of samples dug out from under snow (subnivean control; unfilled bars). Error bars are  
610  $\pm$  SE. Lower-case letters above the columns indicate significant differences among means from the  
611 same species, whereas upper-case letters in the columns indicate significant interspecific differences  
612 among means from the same sample type.

613

614 Figure 2. Examples of typical response curves for individual thalli of *Hylocomium splendens* (a) and  
615 *Peltigera aphthosa* (b) during the first 30 min of exposure to light ( $400 \mu\text{mol m}^{-2} \text{s}^{-1}$  PPFD). Only *H.*  
616 *splendens* was measured after refreezing. Correlation coefficients ( $R^2$ ) are between 0.96 and 0.98 for  
617 the fitted sigmoidal regression curves, except for the refreezing canopy and soil warming curve ( $R^2 =$   
618 0.90).

619

620 Figure 3. Ecophysiological performance of *Hylocomium splendens* (left) and *Peltigera aphthosa*  
621 (right) at  $5^\circ\text{C}$  during the winter warming event in March 2009 (light grey bars), upon refreezing after  
622 warming (only *H. splendens*; dark grey bar) and of samples dug out from under snow (subnivean;  
623 unfilled bars). (a) DR; (b) NP; (c)  $F_v/F_m$ ; (d)  $\Phi_{\text{PSII}}$ . Error bars are  $\pm$  SE. Different letters indicate  
624 significant differences ( $P < 0.05$ ) between means. The exact  $P$ -levels are given for cases without  
625 significant differences.







