

## Article

# Estimating Carbon Sink Strength of Norway Spruce Forests Using Machine Learning

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**Abstract:** Forests sequester atmospheric carbon dioxide (CO<sub>2</sub>) which is important for climate mitigation. Net ecosystem production (NEP) varies significantly across forests in different regions depending on the dominant tree species, stand age, and environmental factors. Therefore, it is important to evaluate forest NEP and its potential changes under climate change in different regions to inform forestry policy making. Norway spruce (*Picea abies*) is the most prevalent species in conifer forests throughout Europe. Here, we focused on Norway spruce forests and used eddy covariance-based observations of CO<sub>2</sub> fluxes and other variables from eight sites to build a XGBoost machine learning model for NEP estimation. The NEP values from the study sites varied between −296 (source) and 1253 (sink) g C m<sup>−2</sup> yr<sup>−1</sup>. Overall, among the tested variables, air temperature was the most important factor driving NEP variations, followed by global radiation and stand age, while precipitation had a very limited contribution to the model. The model was used to predict the NEP of mature Norway spruce forests in different regions within Europe. The NEP median value was 494 g C m<sup>−2</sup> yr<sup>−1</sup> across the study areas, with higher NEP values, up to >800 g C m<sup>−2</sup> yr<sup>−1</sup>, in lower latitude regions. Under the “middle-of-the-road” SSP2-4.5 scenario, the NEP values tended to be greater in almost all the studied regions by 2060 with the estimated median of NEP changes in 2041–2060 to be +45 g C m<sup>−2</sup> yr<sup>−1</sup>. Our results indicate that Norway spruce forests show high productivity in a wide area of Europe with potentially future NEP enhancement. However, due to the limitations of the data, the potential decrease in NEP induced by temperature increases beyond the photosynthesis optima and frequent ecosystem disturbances (e.g., drought, bark beetle infestation, etc.) still needs to be evaluated.

**Keywords:** carbon balance; forest stand age; net ecosystem exchange; XGBoost; climate change; eddy covariance



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## 1. Introduction

Forests sequester atmospheric carbon dioxide (CO<sub>2</sub>) and play an important role in climate change mitigation (e.g., [1]). Globally, net ecosystem production (NEP), which indicates the net CO<sub>2</sub> sequestration rate of an ecosystem, varies significantly across forests in different regions depending on the dominant tree species, stand ages, and other environmental factors (including the climate, water availability and soil properties). Forest NEP is determined by two main processes, CO<sub>2</sub> uptake through photosynthesis and CO<sub>2</sub> emission through ecosystem respiration. These two processes are strongly driven by climate factors. As the energy source, radiation has a significant influence on photosynthesis, and thus, NEP and a non-rectangular hyperbolic equation are normally used to describe the relationships between irradiance and photosynthesis/NEP [2]. Air temperature is an important factor that affects both photosynthesis and ecosystem respiration. While respiration shows an exponential increase with an increase in temperature (as expressed through Q10 models [3]), the relationship between photosynthesis and temperature is usually described by a parabola [4], where the photosynthesis peaks at a certain temperature, beyond which

a decline in photosynthesis weakens NEP [5–8]. Water availability is another factor that affects both photosynthesis and respiration, especially during drought when available water in soil drops below a certain threshold. Drought can cause stomatal closure and metabolism impairment that reduces plant photosynthetic and autotrophic respiratory rates [9]. At the same time, soil drought can also decrease soil heterotrophic respiration [10]. The decline in photosynthesis is usually more significant than that of ecosystem respiration during drought, leading to a reduction in NEP [11,12].

In addition to the impacts of climate, photosynthesis and ecosystem respiration are both known to be dependent on stand age. Photosynthesis under light-saturated conditions shows steep increases with age in young stands until reaching a peak when the stand matures [13,14]. Following the peak, a gradual decline in photosynthesis is often observed as a stand ages. This pattern is largely determined by the dominant species [15]. Regarding respiration, on the one hand, many studies have suggested a monotonic increase in respiration cost as a stand ages e.g., [13,16]. On the other hand, given that the carbon (C) consumed for respiration, especially the autotrophic component, is mainly from photosynthesis [17], many studies have reported a similar nonlinear pattern in respiration as those shown in photosynthesis e.g., [18,19]. Due to the different changing dynamics of photosynthetic and respiration rates with stand age, forest NEP also tends to reach an optimum (as C sink) at certain ages, which is followed by a gradual decline over the years [13,18,20–23].

Given the large spatial and temporal variations of forest CO<sub>2</sub> sequestration potentials, it is important to evaluate forest NEP in different regions as well as potential changes in response to future climate change. To investigate forest NEP, process-based models usually take environmental factors that include temperature, radiation, water availability, forest structure, and soil properties as inputs to simulate flux dynamics [24,25]. However, process models make assumptions regarding the underlying ecosystem processes which may introduce various biases at different sites [26]. Typically, they are parametrized individually at the site level by inverse modeling (leading to parameter optimization), compromising their generalizability. Alternatively, machine learning (ML) models are driven purely by data e.g., [27,28] which avoid assumption-induced biases and site-level specifics. Since no ecosystem processes are specified in the algorithms, ML models are normally hard to interpret but they can achieve excellent performance in predictions. As an example of a highly efficient ML approach, in this study, we used extreme gradient boosting (XGBoost) [29], which has the advantages of (1) enabling parallel processing using all the cores of a machine to increase computational efficiency and (2) providing parameters for regularization of the model to avoid overfitting. Studies with XGBoost have shown significant prediction accuracies and computation efficiencies in many research fields e.g., [30–32], potentially providing an excellent way to investigate forest NEP dynamics.

Norway spruce (*Picea abies* L.) is the most prevalent species that widely dominates conifer forests throughout Europe [33]. Due to its high growth rate and good tolerance to a broad range of environmental conditions [34], Norway spruce has been widely used in afforestation in Europe, making the Norway spruce forest an excellent model forest type for studying greenhouse gas fluxes. In this study, we focused on investigating the NEP of Norway spruce forests using eddy covariance (EC)-based observations and we built a machine learning model to answer the following questions:

- (1). How does the NEP of Norway spruce forests respond to variations of climate across Europe?
- (2). In what European regions do Norway spruce forests have the greatest CO<sub>2</sub> sequestration potential?
- (3). How will the NEP of Norway spruce forests respond to a projected future climate?

## 2. Materials and Methods

### 2.1. Flux Data from Different Sites

We used EC data that were measured in 2019 at a young Norway spruce (age, 8 years and height, 1 m) plantation in Hoxmark, Norway (NO-Hox, Table 1). The NEP was determined by measuring wind speed and CO<sub>2</sub> concentration at 20 Hz using a 3D sonic anemometer (HS-50, Gill Instruments Limited, Hampshire, UK) and a CO<sub>2</sub> infrared gas analyzer (LI-7200RS, LI-COR, Inc., Lincoln, MI, USA), respectively, mounted at a height of 1.8 m. The raw data were processed and exported as 30 min averages using the software Eddypro (LI-COR, Inc.) following the standardized protocol of the Integrated Carbon Observation System (ICOS) outlined in Sabbatini et al. [35]. The processed NEP data were further filtered based on a friction velocity ( $u^*$ ) threshold of 0.13 m s<sup>-1</sup>, as estimated according to Papale et al. [36], and gap-filled using the marginal distribution sampling (MDS) approach [37]. The data processing on the 30-min data were performed using the R package “REddyproc” [38]. In addition to the flux data, we also measured air temperature ( $T_a$ ), global radiation (R<sub>g</sub>), precipitation (PPT), and wind speed (WS) using an automatic weather station type AWS (Seba Hydrometrie GmbH & Co. KG, Kaufbeuren, Germany) and recorded at 10-min intervals.

We also included a flux and meteorological dataset (2002–2014) from a site in Weidenbrunnen, Germany (DE-Bay, Table 1) which was a Norway spruce-dominated forest with a stand age of ~50 years. The processing of the raw EC data was documented in Foken [39]. The processed 30-min NEP was further gap-filled following the same approach as for the NO-Hox data.

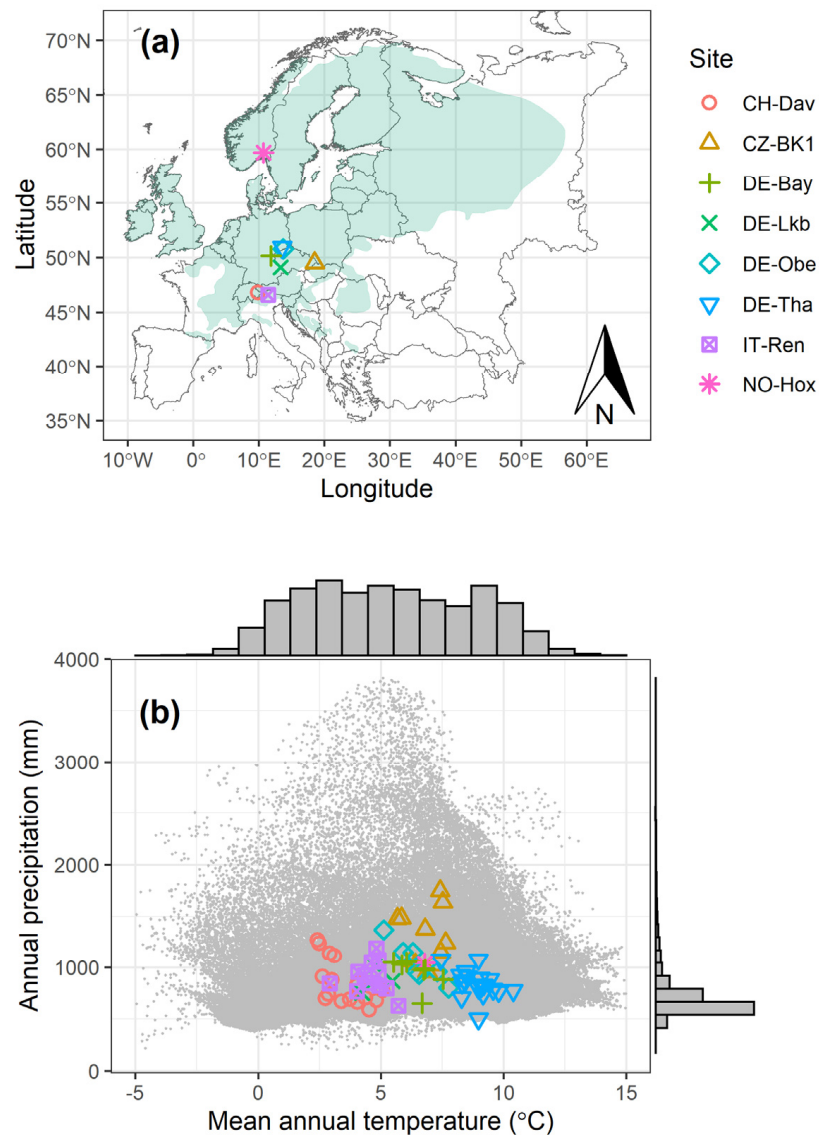
In addition, we also downloaded data from 6 other sites that were dominated by Norway spruce (>80% of the composition) from FLUXNET (<https://fluxnet.org/> (accessed on 4 June 2020)) [40], including 30-min eddy covariance (EC) flux and the corresponding climate data. The sites were located in Switzerland (CH-Dav), Czech Republic (CZ-BK1), Germany (DE-Lkb, DE-Obe and DE-Tha), and Italy (IT-Ren), and the data period generally covered 1997–2014 (Table 1). The stand age covered a wide range from 2 years to over 250 years. FLUXNET data were processed prior to data downloading [41] and the processing was similar to that performed on the datasets from NO-Hox and DE-Bay. This ensured the reliability of the cross-site comparison and data analysis in this study.

**Table 1.** Summary of the study sites.

Site Name	Country	Code	Latitude	Longitude	Elevation (m)	MAT	MAP	Years	LAI	Age (yr)	Reference
Davos	Switzerland	CH-Dav	46.81533	9.85591	1639	3.55	850	1997–2014	3.9	240–257 *	[42]
Bily Kriz	Czech Republic	CZ-BK1	49.50208	18.53688	875	6.85	1292	2004–2014	10	23–33	[43]
Lackenberg	Germany	DE-Lkb	49.09962	13.30467	1308	4.82	879	2009–2013	-	0–4	[44]
Oberbärenburg	Germany	DE-Obe	50.78666	13.72129	734	6.49	1046	2008–2014	8	54–60	-
Tharandt	Germany	DE-Tha	50.96256	13.56515	385	8.91	844	1997–2014	7.6	111–128	[45]
Renon	Italy	IT-Ren	46.58686	11.43369	1735	4.58	904	1999–2013	5.1	180–194 *	[46]
Weidenbrunnen	Germany	DE-Bay	50.14194	11.86694	775	6.46	947	2002–2014	4.8	49–61	[39]
Hoxmark	Norway	NO-Hox	59.66876	10.71749	91	6.79	1045	2019	<1	8	This study

MAT, mean annual temperature (°C) for the study period; MAP, mean annual precipitation (mm) for the study period; LAI, maximum leaf area index documented (m<sup>2</sup> m<sup>-2</sup>); age, the range of the stand age from the start to the end of the study period. \* Forest stands with rough age estimates of >180 and >240 yr, respectively, are documented for IT-Ren and CH-Dav.

The location and relevant information of all the sites are shown in Figure 1 and Table 1 and the EC instrumentation is summarized in Table S1. In this study, positive fluxes indicate ecosystem CO<sub>2</sub> uptake and negative fluxes indicate CO<sub>2</sub> emissions.



**Figure 1.** Norway spruce distribution areas (green) with locations of the study sites (a) and climate within the distribution area ( $T_a$  vs. PPT, 2010–2018, grey dot clouds) with the climate of the study sites during the study period (b). The grey bars in (b) indicate the frequency distribution of the grey dots.

## 2.2. XGBoost Model and Effects on NEP

In this study, we aimed to generalize the  $\text{CO}_2$  fluxes of Norway spruce forests across all the study sites and made predictions, considering (linear or nonlinear) effects from a combination of multiple factors. To achieve this, we developed an extreme gradient boosting (XGBoost) model with the tree-based booster (“gbtree”) for NEP using the R package “xgboost” [29]. In the model, we included air temperature ( $T_a$ ), global radiation ( $R_g$ ), precipitation (PPT), and stand age as the core predictors. In addition, other variables were also considered, including canopy height, air  $\text{CO}_2$  concentration, vapor pressure deficit, evapotranspiration, photosynthetic photon flux density, wind speed (WS), latent heat flux, sensible heat flux, and leaf area index. Moreover, we also included two artificial variables that represent generic seasonal cycles (i.e., sine and cosine functions with a period of 12 months and an amplitude of 1), which have been shown to be important for ecosystem C fluxes [47]. The sine function has a maximum value in March and a minimum value in September, while the cosine function has a maximum value in December and a minimum value in June. However, some of these variables are covarying. Thus, we carried out a correlation analysis to detect these collinearities among the variables using the monthly

values (Figure S1). Following the collinearity test, only air CO<sub>2</sub> concentration, WS, and the sine seasonal cycle that showed low collinearities with the core variables ( $r < 0.5$ ), together with the core variables ( $T_a$ , Rg, PPT, age), were chosen as predictors in the model. Monthly data from the 8 sites were used to drive the machine learning model. Months with no observed NEP data (i.e., all the 30-min data within the month were gap-filled) were removed. In total, 929 site-months were included in the model. Seven XGBoost model parameters were tuned to achieve the lowest root mean square errors (RMSE) in test datasets that were generated in leave-one-site-out cross-validations (i.e., spatial cross-validation with 8 training/test datasets). A summary that explains each parameter and its tuning range is outlined in Table S2. The model with optimized parameters was then saved for further analysis (Table 2).

**Table 2.** Summary of the XGBoost models for NEP (g C m<sup>-2</sup> month<sup>-1</sup>).

Parameter	Optimal Value	Flux Range	Train RMSE	Test RMSE	Variable Importance
$\eta$	0.1	[-108, 201]	28.74 (9%)	44.84 (15%)	$T_a$ (0.35)
max_depth	3				Rg (0.29)
min_child_weight	0				Age (0.17)
$\gamma$	0				CO <sub>2</sub> (0.08)
subsample	0.1				WS (0.06)
colsample_bytree	0.9				PPT (0.03)
nrounds	22				Sine seasonality (0.02)

Optimal values for the parameters were determined by the lowest test root mean square error (RMSE) via leave-one-site-out cross-validation. The mean RMSE for the training and test datasets are presented. Numbers in parentheses indicate ratios (%) of the RMSE to the span of the flux over the entire range. The importance score of each variable indicates its relative contribution in constructing the model. Note that the monthly NEP is present here.

We carried out a partial dependence analysis using the R package “pdp” [48] to investigate the partial effects of  $T_a$ , age, Rg, PPT, air CO<sub>2</sub> concentration, and WS on NEP. Individual conditional expectation (ICE) plots [49] were used to visualize the heterogeneities of these partial relationships across the distributions.

### 2.3. Norway Spruce Forest NEP in Europe and Its Future Trajectory

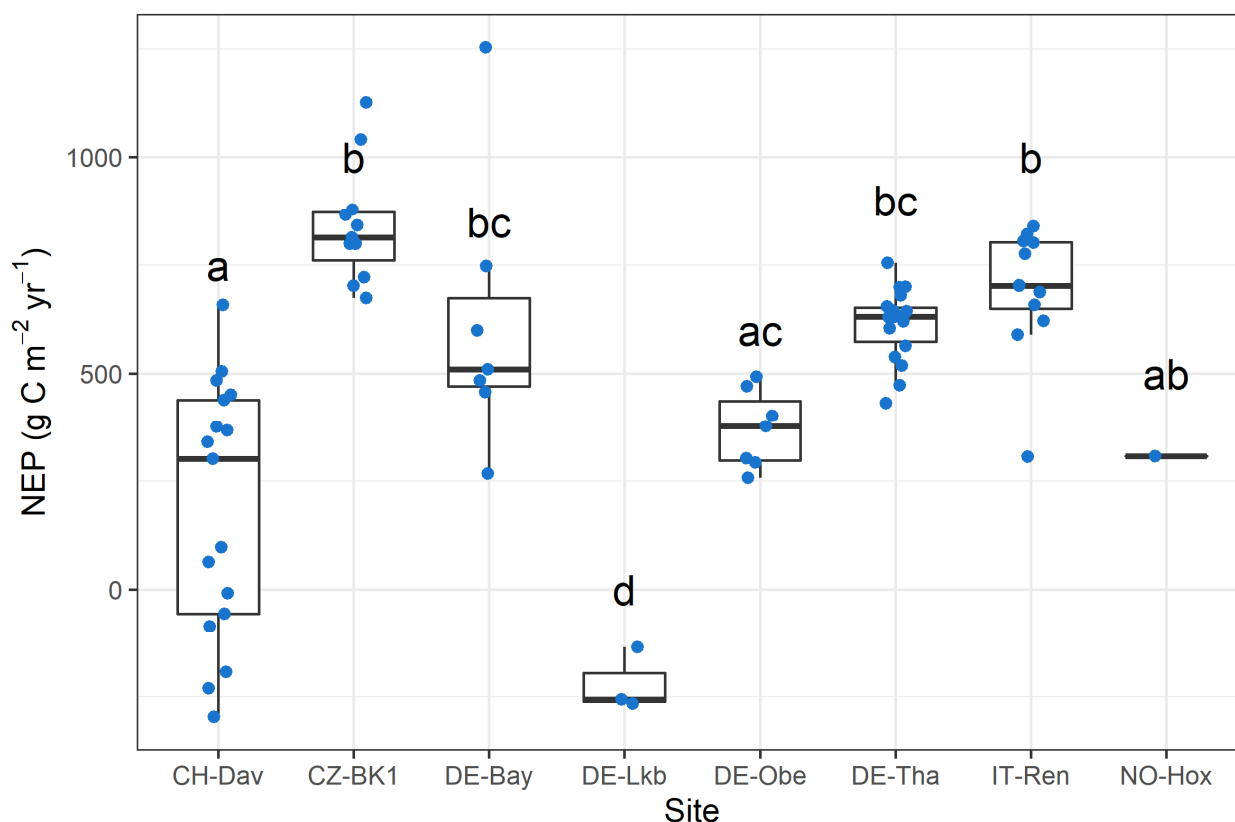
Assuming the 8 studied sites represent Norway spruce forests in Europe, we used the XGBoost model to predict the NEP over Norway spruce distribution areas in Europe. The data used as model input were monthly  $T_a$  and PPT during 2010–2018 that were acquired from WorldClim [50] with a spatial resolution of 2.5 min that was downscaled from CRU-TS 4.03 [51]. We used the monthly Rg data of 1970–2000 from WorldClim in all the model predictions due to the lack of Rg data after 2000, assuming changes in radiation conditions were not significant over time. For the predictions (both current and future), the CO<sub>2</sub> concentration of 400 ppm and the age of 60 yr were taken as model inputs. To investigate the possible NEP changes of Norway spruce under the future climate projection, we also computed NEP predictions using monthly  $T_a$  and PPT data for 2021–2040 and 2041–2060 under the scenario of SSP2-4.5. The  $T_a$  and PPT data were originally simulated using the model BCC-CSM2-MR (equilibrium climate sensitivity, 3.0 °C) under the Coupled Model Intercomparison Project phase 6 (CMIP6) [52] and were downscaled with WorldClim v2.1 [50]. The “middle-of-the-road” SSP2-4.5 scenario was chosen because it represented a gentle and realistic projection where emission controls were in place while emissions still kept increasing within the century. Data of current Norway spruce distribution in Europe were derived from Caudullo et al. (2017). All the data analyses were carried out in the program R version 4.0.1 [53].

### 3. Results

#### 3.1. Climate and Annual Carbon Budget of the Study Sites

The selected study sites are mostly in central Europe apart from NO-Hox which is located in northern Europe (Figure 1a). During the study years, the annual mean temperature ranged from 2.4 °C (CH-Dav) to 10.4 °C (DE-Tha) and the annual precipitation varied from 502 mm (DE-Tha) to 1748 mm (CZ-BK1) (Table 1). This climate range covers most regions where Norway spruce currently grows except the areas with cold climate (i.e., mean annual temperature < 2.5 °C) in Russia and warm climate (i.e., mean annual temperature > 11 °C) in southern Europe (Figure 1b).

Most sites acted as a C sink in most years with annual NEP values ranging from 63 g C m<sup>-2</sup> yr<sup>-1</sup> (CH-Dav) to 1253 g C m<sup>-2</sup> yr<sup>-1</sup> (DE-Bay) (Figure 2). Among these sites, CZ-BK1 had the greatest NEP median value (813 g C m<sup>-2</sup> yr<sup>-1</sup>) but the NEP value of CZ-BK1 was not significantly different from those of DE-Bay, DE-Tha, IT-Ren, and NO-Hox across the years ( $p > 0.05$ ). The forest at DE-Lkb acted as a C source with NEP values ranging from -266 to -134 g C m<sup>-2</sup> yr<sup>-1</sup> over the study years (2009–2013), which was significantly different from NEP values of other sites ( $p < 0.05$ ). Similarly, the forest at CH-Dav was a C source (NEP values were between -296 and -9 g C m<sup>-2</sup> yr<sup>-1</sup>) in 1997–1999 and 2002–2004.

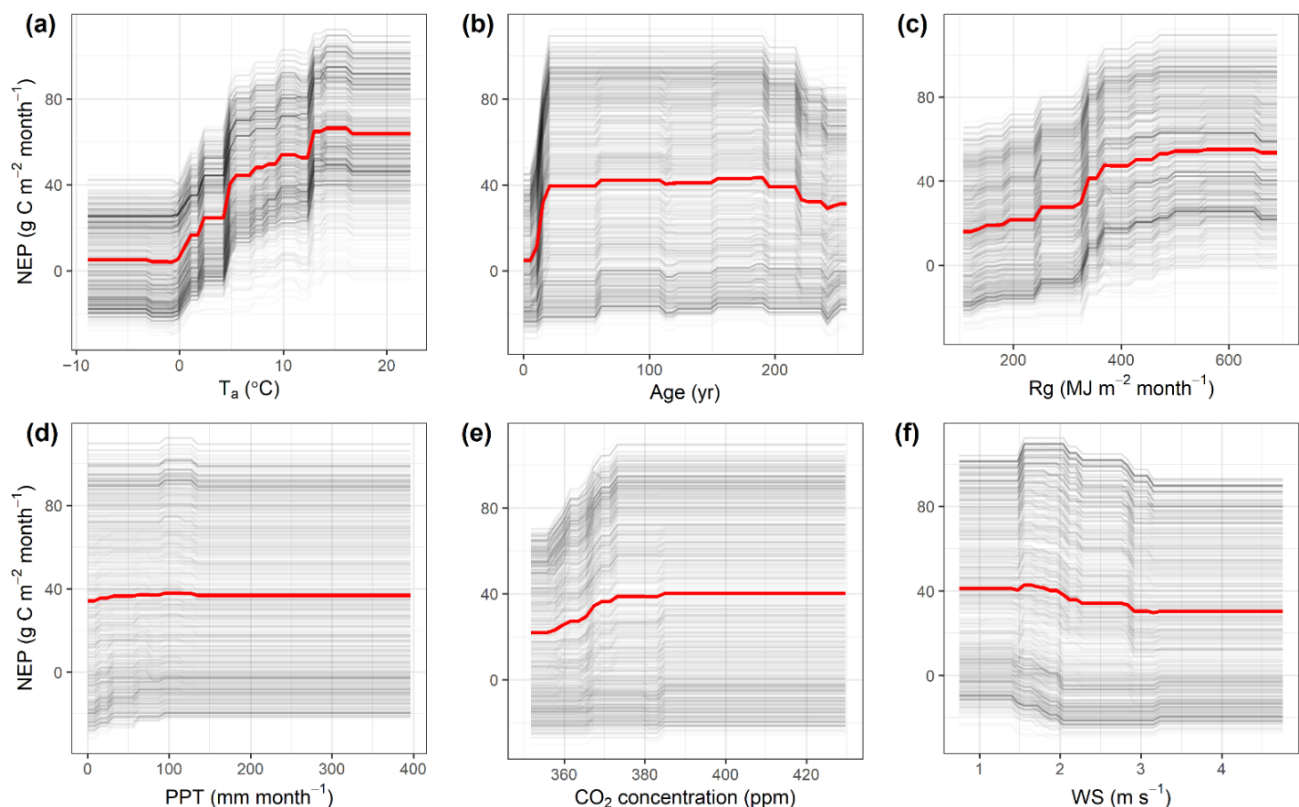


**Figure 2.** Annual NEP at each study site. The solid lines in the boxes are medians. The lower and upper hinges are the first and third quartiles, respectively. The upper and lower whiskers represent the largest and smallest values within 1.5 times of the interquartile range (distance between the first and third quartiles) from the hinge. Blue points are annual NEP values. Different letters above the boxes indicate significant differences in NEP among the sites ( $p < 0.05$ ) based on one-way ANOVA followed by Tukey's HSD test.

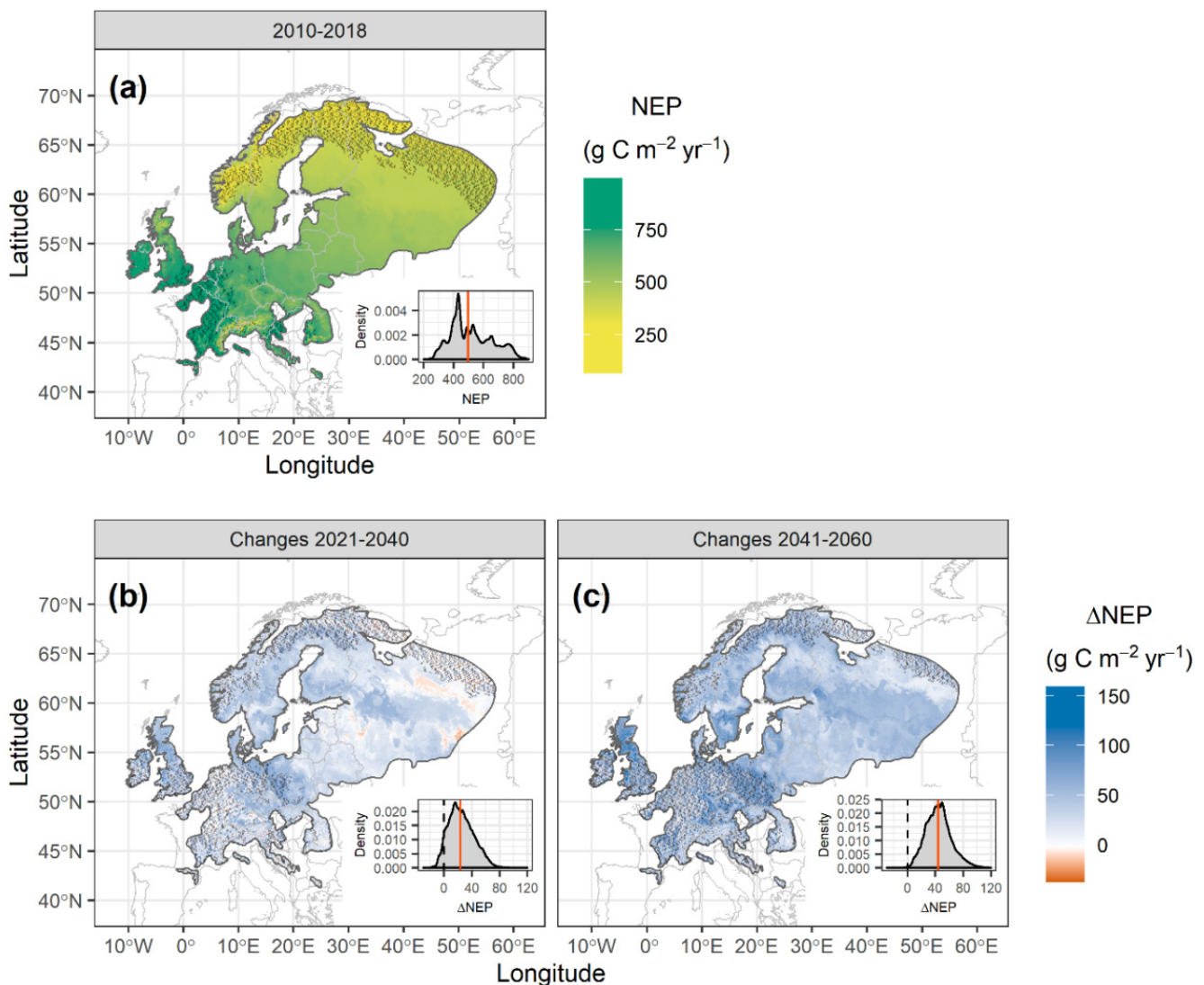
### 3.2. XGBoost Model and Effects on NEP

Based on the cross-validation, XGBoost models resulted in test root mean square errors (RMSE) of  $44.84 \text{ g C m}^{-2} \text{ month}^{-1}$ , which accounted for 15% of the flux span (Table 2).  $T_a$  had the greatest contribution for constructing the models with an importance score of 0.35, which was followed by  $R_g$  (0.29), and age (0.17).  $\text{CO}_2$  concentration, WS, PPT, and sine seasonality had low contributions to the model with importance scores between 0.02 and 0.08.

An increase in  $T_a$  triggered substantial increases in NEP, but only after the monthly  $T_a$  passed  $0^\circ \text{C}$  (Figure 3a). Regarding age, NEP values increased sharply as forest stands grew from 1 to 20 years old. After that, NEP stabilized for a long period until after  $\sim 200$  years old, when stands started to show a gradual decline in NEP (Figure 3b).  $R_g$  showed a nonlinear effect on NEP where NEP increased with  $R_g$  before it became saturated as  $R_g$  passed  $\sim 500 \text{ MJ m}^{-2} \text{ month}^{-1}$  (Figure 4c). NEP values generally showed a slight increased pattern as PPT increased from 0 to  $100 \text{ mm month}^{-1}$  (Figure 4d). As PPT increased beyond  $100 \text{ mm month}^{-1}$ , NEP hardly displayed any response. NEP values showed a sharp increase before the  $\text{CO}_2$  concentration reached  $\sim 380 \text{ ppm}$  and almost constant NEP values were present as the  $\text{CO}_2$  concentration increased beyond  $380 \text{ ppm}$  (Figure 4e). WS showed a minor effect on NEP where NEP values declined only when WS increased from  $1.5$  to  $3 \text{ m s}^{-1}$ , while NEP values remained constant when WS was out of this range (Figure 4f).



**Figure 3.** Partial dependence plots for NEP with respect to changes in: (a) air temperature ( $T_a$ ); (b) age; (c) global radiation ( $R_g$ ); (d) precipitation (PPT); (e),  $\text{CO}_2$  concentration; (f) wind speed (WS). The red curves indicate the average partial relationships. Grey curves are individual conditional expectation (ICE) curves that represent the partial relationship across individual observations in the data.



**Figure 4.** NEP predictions for mature Norway spruce forests based on the climate during 2010–2018 (a) and the predicted changes in NEP values during 2021–2040 (b) and 2041–2060 (c) under the SSP2-4.5 scenario as compared with the NEP values in 2010–2018. The frequency distribution of the values in each map is presented in the corresponding inset with the red vertical lines indicating the medians. The predictions were performed in areas of current Norway spruce forest distribution in Europe. Areas with  $T_a$  that are beyond the range of the used data are marked with black shadows.

### 3.3. NEP Predictions of Norway Spruce Forests in Europe

Based on the predictions of the XGBoost model, the NEP of Norway spruce forests in Europe differed in regions with different climates (Figure 4a). Generally, they exhibited greater net CO<sub>2</sub> uptake (with NEP values reaching >800 g C m<sup>-2</sup> yr<sup>-1</sup>) in lower latitude regions where higher levels of radiation and temperature were present. The large inland areas between latitudes of 55° N and 65° N showed lower NEP values of ~400 g C m<sup>-2</sup> yr<sup>-1</sup>. The regions with the lowest NEP values (<400 g C m<sup>-2</sup> yr<sup>-1</sup>) were unsurprisingly in the northern edge of the European continent, some mountainous area in mid-Norway, and along the Alps where winters are long and temperatures are low. Overall, the NEP median value for the entire region was 494 g C m<sup>-2</sup> yr<sup>-1</sup>.

With the future  $T_a$  and PPT projections, the NEP tends to be greater in most regions (86% of the Norway spruce distribution area) during 2021–2040 under the SSP2-4.5 scenario as compared with the NEP in 2010–2018 (Figure 4b). This trend is more pronounced in mid-Europe and the UK where the increase in NEP could reach up to >60 g C m<sup>-2</sup> yr<sup>-1</sup>.



At the same time, a decreasing trend in NEP is also present in a few areas (2%) in Russia with NEP reduction being up to  $\sim 30 \text{ g C m}^{-2} \text{ yr}^{-1}$ . There is also a proportion of the area (12%) that has almost no change in NEP (i.e., changes are between  $-5$  and  $5 \text{ g C m}^{-2} \text{ yr}^{-1}$ ). Overall, the median of NEP changes is  $23 \text{ g C m}^{-2} \text{ yr}^{-1}$ . During 2041–2060 (Figure 4c), the NEP shows a similar changing pattern as those during 2021–2040, but generally with greater increases. There is hardly any area that shows a decrease or no change in NEP during 2041–2060 (<1%). The median of NEP changes in 2041–2060 ( $45 \text{ g C m}^{-2} \text{ yr}^{-1}$ ) is almost two-fold of that in 2021–2040.

#### 4. Discussion

Forest CO<sub>2</sub> sequestration is an important ecosystem service for climate change mitigation. In this study, we analyzed the NEP of Norway spruce forests from 8 sites across multiple countries in Europe. As a highly productive forest type, Norway spruce forests have great C sequestration potentials for climate mitigation in a wide area of Europe. Even in the high latitude and inland areas with short growing seasons ( $\sim 6$  months), Norway spruce forests can still sequester up to  $500 \text{ g C m}^{-2} \text{ yr}^{-1}$ , which is greater than those sequestered by mixed wood or other coniferous forests in boreal regions (mostly  $< 250 \text{ g C m}^{-2} \text{ yr}^{-1}$ ) [54–56]. Nonetheless, to further evaluate the role of Norway spruce in future climate mitigation, it is important to investigate how their carbon sink potentials are affected by ecosystem disturbances (e.g., drought, bark beetle infestation, storms, etc.) and increasing air pollutions (e.g., tropospheric ozone) in different regions [57–61].

The air temperature was the most important factor that controls the C sink strength of the forest stands (Figure 3a), resulting in a higher annual NEP in the warmer southern and coastal regions than in northern and inland regions of Europe. While the ecosystem CO<sub>2</sub> uptake rate is higher under a warmer climate, it has also been found to decline after passing a certain temperature optimum [6–8]. Duffy et al. [5] found this optimal temperature to be around  $18 \text{ }^\circ\text{C}$  for C4 plants. In our study, we noticed that the NEP of Norway spruce forests reached a peak at  $\sim 15 \text{ }^\circ\text{C}$ , but no obvious reduction was detected up to  $22 \text{ }^\circ\text{C}$  (Figure 3a). Therefore, we marked regions with temperatures beyond the range of our data in Figure 4 to indicate potential uncertainties in the estimated NEP due to extrapolations in these regions, especially in southern Europe where high temperatures are present. Under future climate change projections, while previous studies have indicated higher productivities in Norway spruce forests e.g., [62], forests may also pass the temperature optimum as early as 2040 and may exhibit a decline in NEP e.g., [5]. Our results predicted an increasing trend in NEP of Norway spruce forests in the majority of areas Europe by 2060. This NEP trend appears to correspond to a predicted winter/spring temperature (i.e., temperatures between  $0$  and  $5 \text{ }^\circ\text{C}$ ) increase (Figure S2), to which Norway spruce trees have a high sensitivity (Figure 3a). However, as the temperature increases, there are large areas that show strong NEP increases (mainly in France, Germany, Poland, and UK) which are beyond our data range and may have large uncertainties. Whether forest NEP of these warm areas is constrained or enhanced by the future warming climate will need to be verified through long-term observations.

Stand age was also an important factor for NEP but with a strong nonlinear relationship (Figure 3b). Following the NEP pattern, we refer to young Norway spruce forests as stands that are  $< 30$  years old, mature stands as those between 30 and 200 years old, and old stands as those older than 200 years. After a sharp increase in NEP in the first 25 years, the NEP of Norway spruce forests tends to remain at a high level until  $\sim 200$  yr, indicating that old forests could also be of high values for CO<sub>2</sub> sequestration. Similarly, Stokland [63] found that spruce forests in Norway maintained a constant tree volume increase rate well over 100 yr, calling for, on the one hand, an extended rotation length for forest management. On the other hand, researchers have also recommend shortening the rotation period to reduce the increasing risks of wind and bark beetle damages as trees age e.g., [58]. Old trees can exhibit declines in NEP as a result of strong decreases in photosynthesis [22], which are largely due to declines in stomatal conductance and nitrogen investment for photosynthetic

functions [19,64]. While the physiological change in old trees may partially contribute to the NEP decline after ~200 yr, the NEP decline observed in this study is driven by only one site (CH-Dav) as such stands with age of over 200 yr are generally rare. In fact, the carbon sink strength for old forest stands is generally a controversial topic [63,65,66] and our results also have large uncertainties in the CO<sub>2</sub> sequestration potential of spruce forests that are older than 200 yr.

In this study, we used PPT to indicate ecosystem water availability and noticed a slight decrease in NEP as monthly PPT dropped from 100 to 0 mm (Figure 3d). While drought constrains both photosynthesis of trees and ecosystem respirations [9,10], the NEP decline suggests a larger drop in CO<sub>2</sub> uptake than in emissions [11,12]. Our results also indicate that water availability has a nonlinear influence on CO<sub>2</sub> fluxes, and it showed no effect before dropping below a certain threshold where physiological stress is imposed. However, our dataset does not include severe drought events and depending on the drought intensity, other variables (e.g., a drought index) may need to be used in the model to properly represent the drought effect.

In this study, we implemented the XGBoost algorithm to model CO<sub>2</sub> fluxes and included only climate variables and stand age, which also largely explained the variances of certain canopy properties (i.e., canopy height and leaf area index) (Figure S1). However, soil properties were not explicitly considered since the data were not available for the study sites. Even so, the models resulted in satisfactory prediction RMSEs in cross-validations that accounted for only 15% of the overall flux ranges, suggesting that the site-specific characteristics may be represented by the climate to a certain degree. Therefore, our predictions from the models can be representative for CO<sub>2</sub> fluxes of the Norway spruce forest in large parts of its distribution area. Studies have also used other data-driven methods to estimate NEP in forests, including linear regression models [67], random forest (RF) [68] and artificial neural network (ANN) [27]. The linear regression models have shown that stand age and temperature are the most important factors for determining the NEP of the forest in eastern Asia; however, due to the simplistic structure of linear regression, the models explained only a fraction of the NEP variations ( $R_2 \leq 0.38$  as compared with  $R_2 = 0.80$  in our study) [67]. Using the RF model, Reitz et al. [68] achieved a NEP prediction error (RMSE) of 81 g C m<sup>-2</sup> month<sup>-1</sup> over 15 sites of diverse ecosystems (including forests). Due to the diverse ecosystems included in their model, they found vegetation index, rather than temperature, was the most important predictor in the model. Melesse and Hanley [27] estimated NEP in a single mixed-wood forest using ANN models and, similar to our study, found that temperature and radiation (including energy fluxes) were the best predictors for NEP. Overall, using ML models to estimate NEP is a practical approach for flux upscaling and prediction, and their performance will need to be compared under different ecosystems and environmental conditions.

Given the limitations of this study, our XGBoost model predictions still need to be improved in the following three aspects. Firstly, areas with annual mean temperatures <2.5 (i.e., Russia) or >11 °C (i.e., southern Europe) were not represented by our dataset (Figure 1) and the uncertainties in this region would be greatly improved with relevant datasets added. Secondly, the dataset we used hardly included any disturbance (e.g., drought, bark beetle infestation) and a future with more frequent extreme events could introduce uncertainties into the predictions [69]. Thirdly, there is only one forest site with an age > 200 yr (CH-Dav) in our dataset (Table 1), and thus, the NEP predictions for old forests were inevitably with large uncertainties. Although the productivity declines in old forests are supported by many studies e.g., [13,16], more data from old forests would reduce the uncertainties in this regard. Fourthly, the CO<sub>2</sub> fertilization effect was not considered in our predictions (i.e., the CO<sub>2</sub> concentration was assumed to be 400 ppm in all predictions), because the relationship between the CO<sub>2</sub> concentration and NEP (Figure 3e) reflected the CO<sub>2</sub> fertilization effect and also their seasonal covariation where high NEP in the growing season caused a decline in atmospheric CO<sub>2</sub> concentrations. Therefore, methodologies to partition these two processes are needed to use EC data to investigate the CO<sub>2</sub> fertilization

effect. This study also serves as a framework for investigating the C sequestration potentials for forests of different types. Importantly, as more data (e.g., from old stands of >200 yr) become available, the model could be updated which would generate improved predictions with lower uncertainties.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/f13101721/s1>, Table S1: Summary of the eddy covariance instrumentation at the study sites; Table S2: Summary of the parameters in the XGBoost model; Figure S1: Spearman correlation matrix for monthly biotic and abiotic variables; Figure S2: Air temperature changes ( $\Delta T_a$ ) in 2021–2040 and 2041–2060 under the SSP2-4.5 scenario as compared to the temperature in 2010–2018.

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**Data Availability Statement:** Flux data can be downloaded from [www.fluxnet.org](http://www.fluxnet.org) (accessed on 17 September 2020).

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