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1	Sensitivity analysis and Bayesian calibration for testing robustness of
2	the BASGRA model in different environments

17	Abstract
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19 Proper parameterisation and quantification of model uncertainty are two essential tasks in 20 improvement and assessment of model performance. Bayesian calibration is a method that combines both tasks by quantifying probability distributions for model parameters and 21 22 outputs. However, the method is rarely applied to complex models because of its high computational demand when used with high-dimensional parameter spaces. We therefore 23 combined Bayesian calibration with sensitivity analysis, using the screening method by 24 Morris (1991), in order to reduce model complexity by fixing parameters to which model 25 output was only weakly sensitive to a nominal value. Further, the effect on the error term and 26 27 the parametric uncertainty when fixing parameters were investigated in order to achieve a robust model. The process-based grassland model BASGRA was examined in the present 28 29 study on two sites in Norway and in Germany, for two grass species (Phleum pratense and Arrhenatherum elatius). According to this study, a reduction of free model parameters from 30 66 to 45 was possible. The sensitivity analysis showed that the parameters to be fixed were 31

consistent across sites (which differed in climate and soil conditions), while model calibration 32 33 had to be performed separately for each combination of site and species. The output uncertainty decreased slightly, but still covered the field observations of aboveground 34 biomass. A detailed analysis of the mean square error was included, and the error term for 35 both the 66 and the 45 parameter model was dominated by errors in timing (phase shift) when 36 considering the training data, whereas no general pattern was found in errors when using the 37 validation data. Stronger model reduction should be avoided, as the error term increased and 38 39 output uncertainty was underestimated.

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41 *Key words: Metropolis-Hasting, Morris method, reducing complexity, robustness* 

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#### 44 **1 INTRODUCTION**

Grassland covers about 70% of the world's agricultural area (FAO). It has a central role in
feeding ruminants and other herbivores, and the growing demand for meat may induce an
even more intensive use in the future.

Complex dynamic growth models are increasingly used to simulate the interactions between 48 vegetation and environment. Such models are useful in order to forecast yield, study the effect 49 50 of climate change on yield, optimize management and to better understand the system. It is common to apply the same model in different regions and for different species and cultivars, 51 52 and it should work well in all the situations for which it is applied. This requires that it is 53 properly parameterised, and that parameters and output uncertainty are well quantified. Among parameter estimation methods, Bayesian calibration (Berger, 1985) has the advantage 54 55 that it, in addition to calibrating the parameter values, simultaneously quantifies parameter uncertainty (Campbell, 2006). It achieves this by calculating posterior parameter distributions 56 57 as a function of the original parameter uncertainty (prior knowledge) and new information 58 incorporated through the conditional probability distribution of the collected data (likelihood 59 function). The method is still rarely used for complex models, but its application has been increasing in recent years (Gouache et al., 2013; Minunno et al., 2013; Thorsen and Höglind, 60 61 2010; van Oijen et al., 2005a,b; Kennedy and O'Hagan, 2001).

To estimate all the parameters of complex, parameter rich models simultaneously is oftenchallenging. A major problem is the large computational effort required to investigate a high

dimensional parameter space. As a result, predictive performance may be poor suggesting a 64 need for model simplification (Cox et al. 2006). A study by Crout et al. (2014) identified 65 several redundant variables in the Sirius wheat model. Here we focus on a different form of 66 model simplification: reducing the number of free parameters in the model. Sensitivity 67 analysis, or parameter screening, is a useful tool for model reduction that can make it easier 68 and less time requiring to parameterise models by detecting the least sensitive parameters. 69 These are parameters that can be fixed within their prior parameter boundaries without 70 strongly affecting model robustness. Robustness is here referred to as the extent the model 71 72 results are affected with when reducing the number of free parameters, where model results 73 include the uncertainty in model outputs caused by parameter uncertainty. A simplification of 74 a model by fixing the poorly sensitive parameters to nominal values will increase the efficiency of model calibration, but also result in underestimation of parameter uncertainty, 75 76 since the parameter values that are fixed are not known for certain. A combination of sensitivity analysis and Bayesian calibration of a complex model was given by Raj et al. 77 78 (2016), whereas the effect of model reduction on model uncertainty was not covered.

Study of the mismatch (error term) between observed and simulated model output is a widely used procedure for model evaluation. A detailed analysis of the error term, decomposing it into the three components of bias, variance error and phase shift, was proposed by Kabayashi and Salam (2000). Their method is still rarely used (but see van Oijen et al. 2011; Ewert et al. 2002), yet it adds valuable information about model behaviour.

The process-based BASGRA (BASic GRAssland) model is used in this study. It is a model 84 85 that simulates growth of *Phleum pratense* (L.) (Höglind et al., 2001; Thorsen and Höglind, 2010; Thorsen et al., 2010; van Oijen et al., 2005a). BASGRA contains 66 parameters and is 86 87 driven by the environmental variables air temperature, precipitation, relative humidity, global radiation and wind-speed at a daily resolution. It calculates 23 state variables of which 13 88 quantify the state of the plant and 10 represent the above- and belowground environment. 89 Only one output variable, aboveground biomass, is the focus of this study. This is one of the 90 most often measured variables in grassland research. 91

92 The general objective of this study was to examine the robustness of aboveground biomass 93 predictions by the grassland model BASGRA. The impact of parameter screening and 94 subsequent parameter reduction on aboveground biomass predictions were quantified in order 95 to allow efficient quantification of output uncertainty. The specific objective of this study was 96 to identify a minimum number of parameters required for the BASGRA model in order to 97 estimate both the value of aboveground biomass and its uncertainty with sufficient accuracy,98 consistent between sites and species.

Four sets of data were used: (1) total aboveground biomass of *Phleum pratense* (*P. pratense*) 99 grown at Særheim, Norway, observed at intervals of 1-2 weeks throughout the growing 100 101 season including at the agricultural harvests, (2) observations (two per year) of biomass yield from the same experiment, (3) observations (three per year) of biomass yield from a mixed 102 sward dominated by *P. pratense* grown at Rengen, Germany and (4) observations (two per 103 year) of biomass yield from a mixed sward dominated by Arrhenatherum elatius (A. elatius) 104 105 grown at Rengen, Germany. Model performance had been tested thoroughly for P. pratense growth at Særheim by (van Oijen et al., 2005a) and the full dataset of that study was used here 106 107 for model training. The datasets from Rengen were further split up into one training and one

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#### 110 2 MATERIAL AND METHODS

#### 111 **2.1 Grassland Growth Model**

test dataset.

The BASGRA (BASic GRAssland) model simulates the growth of grassland swards for any 112 period of time (a short growing cycle, a sequence of growing cycles, a winter period, a 113 sequence of whole years etc.). The model is based on the LINGRA model (Schapendonk et 114 al., 1998), but differs in that it simulates the dynamics of both vegetative and reproductive 115 tillers (Höglind et al., 2001; van Oijen et al., 2005a) and that it includes processes which 116 occur during winter (Thorsen and Höglind, 2010; Thorsen et al., 2010), thus allowing for 117 whole year simulations. The model was constructed with the aim to make it widely applicable 118 119 by simulating the impact of a wide range of environmental drivers and with the intention to represent processes in a simple, yet realistic way. 120

121 The model considers the effects of weather, soil type (water storage capacity) and grassland

122 management (timing and frequency of harvest). It calculates 35 different output variables,

including 23 state variables (13 for the state of the plant and 10 for the above- and

belowground environment). Only one output variable, aboveground biomass, is focused on in

this study. The model is parameter rich, containing 62 parameters (51 plant specific and 11

site specific), and it requires time series of daily weather data (air temperature, precipitation,

127 relative humidity, global radiation and wind speed).

BASGRA was originally adapted for simulating *P. pratense* growth, but was in this study 128 129 additionally used for simulation of A. elatius dominated species-rich grassland plots. The BASGRA model was therefore generalised in the present study by including four additional 130 parameters. Specifically, the changes dealt with the linear equations for the elongation rate of 131 leaves on vegetative tillers (LERV) and for leaf elongation rate per leaf of reproductive tillers 132 (LERG). In both equations, constants for the y-intercept (denoted by a) and the slope (denoted 133 by b) were replaced by unknown parameters (LERVa, LERVb, LERGa and LERGb). The 134 135 generalised BASGRA model that was used in this study contains therefore a total of 66 136 unknown parameters.

137 In addition to unknown parameters, the BASGRA model contains 14 fixed values of which

seven are considered as known and universal and the remaining seven are known site specific

values, including latitude and constants for soil properties (Table S1). Both the fixed values

140 (Table S1) and the nominal values for the parameters (Table S2) were derived from earlier

141 literature studies (Höglind et al., 2001; Thorsen and Höglind, 2010; van Oijen et al., 2005a),

142 whereas the site specific values for Rengen and the nominal plant specific values for *A*.

143 *elatius* were obtained in the present study.

144 The simulations were initiated in the year of establishment, except for the long-term

experiment in Rengen for which the simulations were initiated in the autumn of the year priorto first harvest included in the analysis, and the model was in each case run for multiple years.

147 BASGRA is implemented in FORTRAN and simulations are run from script-files in R. The

148 most recent model version, BASGRA 2014, can be downloaded from the internet together

149 with a user manual (Van Oijen et al., 2015). This is a slightly updated version of BASGRA

150 2012 which was used in the present study. The major difference is that BASGRA 2014

distinguishes three tiller categories instead of the two distinguished in BASGRA 2012.

152

#### 153 **2.2 Field data**

154 Data from three different field experiments were used, including two sites (Særheim in

155 Norway and Rengen in Germany) and two grass species (*P. pratense* and *A. elatius*).

156 The first experiment was conducted at Særheim Research Centre at Klepp, about 8 km from

the coast (58°46'N lat; 5°38'E long; 90 m above sea level) in Southwestern Norway. The

158 composition of the soil is 60% sand, 27% silt, 6% clay, and 7% organic matter. The mean

annual temperature is 7.1 °C and the mean annual precipitation is 1280 mm (1961-1990). The 159 160 experiment was carried out for *P. pratense*, the most widely grown forage grass species in Scandinavia, with the cultivar Grindstad, which is the most commonly grown timothy cultivar 161 in Norway. The data were collected from two different fields, established in 1999 162 (measurements for 2000) and 2000 (measurements for 2001 and 2002). The full dataset 163 includes measurements at intervals of one to two weeks of a large number of variables during 164 the first and second regrowth cycles in 2000-2002 (Höglind et al., 2005). Only the total 165 166 aboveground biomass data from these experiments were used in the present study.

The other two experiments were conducted at Rengen Grassland Farm of the University of 167 Bonn, which is located in the Eifel Mountains, about 60 km west of the Rhine (50°13'N lat; 168 169 6°51'E long; 490 m above sea level) in West Germany. The soil is an intermittently wet Pseudogley (Stagnic Luvisol). The mean annual temperature is 6.9 °C and the mean annual 170 precipitation 811 mm. The first experiment at Rengen consisted of P. pratense dominated 171 grassland that has been established in 1988. Data of biomass yield were collected between 172 173 1989 and 1994, with three harvests each year. The 1989 to 1991 data from this experiment were used as training data for sensitivity analysis and model calibration, while the remaining 174 data from 1992-1994 were used as an independent test data set for model validation. The 175 176 second experiment conducted at Rengen was established on an extensively grazed heathland in 1941 (Chytrý et al., 2009; Schellberg et al., 1999), naturally dominated by Calluna vulgaris 177 178 L. and *Nardus stricta* L. In 1941, the turf layer was grubbed and reseeded with a grass/legume mixture. From this long-term experiment, we extracted data from one fertilizer treatment 179 (Ca/N/P2O5/KCl) in the years 2000-2005. Long-term data up to 2014 on floristic composition 180 show that the sward in this particular treatment is now dominated by A. elatius. Data of 181 biomass yield, with two harvests each year, was collected and used in the present study. The 182 data collected between 2000 and 2002 were used as training data, while the remaining data 183 184 from 2003 to 2005 were used for model validation.

185

#### 186 2.3 Weather data

187 Weather data were automatically collected from on-site weather stations, provided

by Agrometeorology Norway (Agrometeorology Norway, 2015) and Rengen meteorological

189 station. At both stations, the daily weather records included air temperature (°C), precipitation

190 (mm) and relative humidity (%). Wind speed (m/s) and global radiation  $(W/m^2)$  was

additionally recorded at Særheim. At Rengen, wind speed data were not available and

192 averaged data over all Germany was used instead of local data, while global radiation was

estimated according to Angstrom (Angstrom, 1924), based on observed sunshine hours (h) atRengen.

195

#### 196 **2.4 Sensitivity Analysis**

Sensitivity analysis determines the parameters that are the key drivers of a model, by
investigating to what extent the variation in model output is influenced by different sources of
variation in the model parameters (Saltelli et al., 2004). It is a suitable tool for model
simplification in that the parameters that are detected to have minor impact on model output
can be fixed to a nominal value. The sensitivity method introduced by Morris (Morris, 1991)
is a screening method that is suitable for complex models where the number of parameters or
the computational cost limit the possibility of numerical calculation.

In the screening method by Morris, the parameter space is defined by a *p*-level grid within the parameter boundaries, and the parameter  $\theta_i$ , where i=1,...,k, is assumed to vary across the *p* selected levels. Elementary effects (*EE<sub>i</sub>*) of the model output are calculated from two consecutive model runs according to Equation 1.

208 
$$EE_{i}(\boldsymbol{\theta}) = \left(\frac{y(\theta_{1}, \dots, \theta_{i-1}, \theta_{i} + \Delta, \theta_{i+1}, \dots, \theta_{k}) - y(\boldsymbol{\theta})}{\Delta}\right) \quad (1)$$

Here,  $\Delta$  is in the range of [1/(p-1), 1-1/(p-1)], *p* is the number of levels,  $\boldsymbol{\theta}$  is any selected parameter vector in the parameter space such that the transformed point  $(\boldsymbol{\theta}+\mathbf{e}_i\Delta)$  remains within the parameter space for each index *i*=1,2,...,*k* and  $\mathbf{e}_i$  is a vector of zeros with a unit corresponding to its *i*'th component.

The finite distribution ( $F_i$ ) of elementary effects ( $EE_i$ ), denoted  $EE_i(\boldsymbol{\theta}) \sim F_i$ , is constructed by r 213 elementary effects that are sampled using an efficient design that constructs r trajectories of 214 (k+1) points in the parameter space. Two sensitivity measures can then be calculated from 215 EE: (1)  $\mu$  (the mean value), which evaluates the overall influence of the parameters on model 216 output, and (2)  $\sigma$  (the standard deviation), which is used to detect parameters involved in 217 218 interaction with other parameters or whose effect is nonlinear. To avoid the problem of effects of opposite signs which occur when the model is non-monotonic, we will in this study use  $\mu^*$ 219 220 (the mean of the absolute value of *EE*) that was introduced by Campolongo et al. (2007).

221 For dynamic models that simulate daily outputs, the sensitivity of model parameters may

- change with time. It is consequently most appropriate to consider the outputs over the whole
- time series (Lamboni et al., 2009), but the large number of responses that need to be evaluated
- makes this approach challenging for parameter rich models. In this study, the total
- aboveground biomass over all harvests was selected as the response.
- In this study, the screening method of Morris was first applied to the dataset from Særheim
- 227 2000-2002, evaluating the total aboveground biomass summed over the individual harvests of
- *P. pratense*. Secondly, the method was applied to the dataset from Rengen 2000-2002,
- evaluating the total aboveground biomass summed over the individual harvest dates of *A*.
- 230 *elatius*. The ranking order of the parameters with respect to sensitivity was determined, and
- groups consisting of the 45, 9 and 4 most sensitive parameters were defined.
- 232

### 233 **2.5 Bayesian calibration**

The Bayesian framework is based on Bayes theorem (Berger, 1985) and is given in Equation2352.

236 
$$\pi(\boldsymbol{\theta}|\boldsymbol{D}) = \frac{\pi(\boldsymbol{\theta}) \cdot f(\boldsymbol{D}|\boldsymbol{\theta})}{f(\boldsymbol{D})} \propto \pi(\boldsymbol{\theta}) \cdot f(\boldsymbol{D}|\boldsymbol{\theta}) \quad (2)$$

Here,  $\boldsymbol{\theta}$  is the vector of the model parameters and  $\boldsymbol{D}$  is the observed data. The resulting 237 posterior parameter distribution ( $\pi(\theta | D)$ ) is the probability distribution for the parameters 238 conditional on the data, determined as a combination of our prior knowledge of the 239 240 parameters before new data are included ( $\pi(\theta)$ ), the prior parameter distribution) and the distribution of the new data conditional on model parameterisation ( $f(\boldsymbol{D}|\boldsymbol{\theta})$ , likelihood 241 function). The integrated likelihood  $(f(\mathbf{D}))$  is the marginal probability of the data, which is a 242 constant. With only few experimental data, the prior parameter distribution will highly affect 243 the posterior probability distribution, but the more such data are added to the calibration, the 244 smaller will be the impact of the prior parameter distribution. 245

- 246 Integration problems make exact calculations impossible when the parameter space is highly
- 247 dimensional. In this study, calculations were done using the Markov chain Monte Carlo
- 248 (MCMC) algorithm Random walk Metropolis (Liu, 2001). The prior probability distributions
- 249 were described by beta distributions with minimum, maximum and nominal value given in
- 250 Table S2. Prior independence was assumed, and the joint distribution was thus determined as

the product of the marginal parameter distributions. The likelihood function was determined

by the distribution of measurement error, following van Oijen et al. (2005b). As specific

information of the precision of the measurements was not available, the standard deviation of

each measurement was set to 5% of its observed value. The model was calibrated separately

to each of the four datasets described above, i.e. two datasets from Særheim and two datasets

256from Rengen. For each of the four datasets, the full model as well as the reduced models

consisting of the 45, 9 and 4 most sensitive parameters were calibrated. The non-calibrated

258 parameters in the reduced models were fixed to their nominal values.

259

#### 260 **2.6 Model fit and validation**

Model performance was evaluated on the basis of the root mean square error for the mismatch between simulated and observed biomass yields normalised by the mean of the observed aboveground biomass (NRMSE). In addition, the mean square error (MSE) for the mismatch between simulated and observed biomass yield was calculated and decomposed into three components (Kobayashi and Salam 2000), given in Equation 3.

$$MSE = (\overline{\boldsymbol{M}} - \overline{\boldsymbol{D}})^2 + (SD_M - SD_D)^2 + 2SD_MSD_D(1 - r)$$
(3)

Here, *M* is the vector of model simulations, *D* is the vector of observed data,  $SD_M$  and  $SD_D$ are the standard deviation of respectively model simulations and observed data, while *r* is the correlation between them. The three components of the right-hand side of Equation 3 are the squared bias (henceforth referred to as 'bias'), squared difference between the standard deviations ('variance error') and lack of correlation weighted by the standard deviations ('phase shift') (Kobayashi and Salam 2000).

The error terms were calculated for the full model as well as for the reduced models
consisting of the 45, 9, and 4 most sensitive parameters. For each model, it was calculated for
all four training datasets (the data used for sensitivity analysis and Bayesian calibration), in

order to show the effect of model reduction on how well the simulations fitted to the

observations of the training data. Additionally, NRMSE was calculated for the separate test

datasets from Rengen in order to validate the model's ability to make predictions. In this case,

the normalised root mean square error of prediction (NRMSEP) was calculated.

Model uncertainty was calculated daily as minimum and maximum predicted aboveground
biomass from 100,000 samples, sampled randomly from the posterior distributions.

Additionally, uncertainty was calculated as the posterior coefficient of variation (CV) based

on summed aboveground harvests over 100,000 samples, sampled randomly from the

284 posterior distributions. The CV is a normalised measure of discrepancy of the probability

distribution defined as the ratio of the standard deviation to the mean.

286

#### 287 3 RESULTS

#### 288 **3.1 Sensitivity Analysis**

The sensitivity analysis explored the space within the prior parameter boundaries (Table S2),
and was performed using the Morris method with 2000 trajectories and 4 levels. It was
applied separately to the dataset at Særheim and Rengen.

292

293 *3.1.1 Dataset from Særheim, 2000-2002* 

The sensitivity analysis was run for the BASGRA model, using the 2000-2002 weather data from Særheim and site specific harvest dates. The summary statistics of the elementary effects of each parameter were calculated and plotted in Figure 1a. The points in the upper right corner, with both high  $\mu^*$  and  $\sigma$ , indicate parameters to which the model is highly sensitive.

298

299 [FIGURE 1]

300

Twenty-one parameters stood clearly out as the least sensitive ones according to aboveground 301 biomass (Figure 1a, points in the lower left corner). These poorly sensitive parameters 302 consisted of 10 plant specific (LERGa, RRDMAX, LOG10CRTI, RATEDMX, LDT50A, 303 LDT50B, KRDRANAER, TRANCO, HAGERE and CLAIV) and 11 site specific (FGAS, 304 FO2MX, gamma, KRTOTAER, KSNOW, LAMBDAsoil, RHOnewSnow, RHOpack, Swret, 305 SWrf and TrainSnow) parameters. By fixing the poorly sensitive parameters identified above 306 307 to their nominal values (Table S2), a reduced version of the BASGRA model was constructed with 45 (plant specific) parameters. 308 The reduced BASGRA model with 45 parameters is still parameter rich, and two even simpler 309

300 models were constructed by fixing all parameters except for the nine and four most sensitive

ones to their nominal values. The four parameters to which the model was most sensitive 311 (Figure 1a) were a constant in the logistic curve for frost survival (KRSR3H), day length 312 below which DAYLGE (day length effect on allocation, tillering, leaf appearance, leaf 313 elongation) becomes less than 1 (DLMXGE), the initial and maximum value of rooting depth 314 (ROOTDM) and day length below which phenological stage is reset to zero (DAYLB), where 315 KRSR3H was by far the most sensitive. The group of the nine most sensitive parameters 316 additionally included maximum SLA of new leaves (SLAMAX), day length below which 317 phenological development slows down (DAYLP), the minimum SLA of new leaves as a 318 319 fraction of maximum possible SLA (FSLAMIN), the maximum ratio of tiller and leaf appearance at low leaf area index (LAITIL) and the rate of elongation of leaves on non-320 321 elongating tillers (LERVb).

322

#### 323 *3.1.2 Dataset from Rengen, 2000-2002*

324 Summary statistics from the sensitivity analysis using the dataset from Rengen 2000-2002 with site specific harvest dates are plotted in Figure 1b. Exactly the same parameters were 325 326 detected in the groups of the four and nine most sensitive parameters as when using the dataset from Særheim. Also, the group of the 45 most sensitive parameters were quite similar, 327 328 with the exception of three plant specific parameters. These parameters were: (1) the slope of linear dependence of duration of anaerobic conditions at which death rate is half the 329 maximum and the temperature that kills half the plants in a day (LDT50B), (2) the maximum 330 relative death rate due to anaerobic conditions (KRDRANAER) and (3) the maximum leaf 331 area index remaining after harvest, when no tillers elongate (CLAIV) that were included for 332 Særheim. Oppositely, the parameters: (1) log10 of initial value of reserves (LOG10CRESI), 333 (2) phenological stage above which elongation and appearance of leaves on elongation tillers 334 decreases (PHENCR) and (3) maximum relative death rate of leaves and non elongating tillers 335 due to shading (RDRSMX) stood out as sensitive at Rengen. 336

337

#### 338 **3.2 Bayesian Calibration**

Bayesian calibration was performed for the model with the full parameter set and the reducedparameter sets of 45, 9 and 4 parameters. Two Markov chains were run in parallel

for 500,000 iterations and convergence occurred within the first 100,000 iterations for allcases.

Point estimates were calculated from the Markov chains of the posterior probability

distributions, as maximum *a posteriori* (MAP) estimates, and given in Table 1, for both the 4

and the 45 parameter sets. Many estimates differed strongly from the nominal values for both

- the 4 and 45 parameter sets. Only two MAP values were similar to the nominal value; this
- 347 was the case for the maximum surface temperature at which hardening is possible

348 (THARDMX) and LUE-increase with increasing fraction of elongating tillers (KLUETILG)

349 for the field data of *P. pratense* grass growth at Særheim, respectively, using the complete

dataset and the harvest data only. The largest difference was found for the common logarithm

of the initial value of reserves (LOG10CRESI) that was reduced by 213% when the field data

352 of *P. pratense* dominated grass growth at Rengen was used.

353 The most sensitive parameter according to the sensitivity analysis, KRSR3H, was in all cases (Table 1) found to have been overestimated in the prior given the lower MAP values for this 354 parameter compared to its nominal value. When field data of P. pratense grass growth were 355 356 used, the value of the parameter was reduced by 18% or less, with the highest reduction for the field data from Rengen. A much higher decrease was estimated for A. elatius dominated 357 358 grass growth data from Rengen, with a 58% reduction in the 4 parameter set. According to the 359 45 parameter set, smaller decreases were found for all cases, with a maximum decrease of 15% at Særheim, using only the harvest observations of *P. pratense* grass growth. 360

Also the value of DLMXGE was reduced after model calibration. For the 4 parameter set, the

highest reduction (59%) was detected when the field data of *A. elatius* dominated grass

363 growth at Rengen were used, while also the two datasets from Særheim exhibited a high

reduction (30 and 31%). According to the 45 parameter sets, a 35% decrease was found for

the complete dataset at Særheim, while only smaller reductions (<18%) were detected for the</li>other datasets.

ROOTDM increased by about 40% for both the complete dataset of *P. pratense* grass growth
data from Særheim and for the harvest observations of *A. elatius* dominated grass growth data
from Rengen, while a decrease of 24 and 18% was detected for the *P. pratense* aboveground

biomass from both Særheim and Rengen, respectively, when the 4 parameter set was

371 calibrated. According to the 45 parameter set, opposite results were detected, with a decreased

372 value for the complete *P. pratense* grass growth dataset from Særheim and the *A. elatius* 

dominated grass growth data from Rengen of respectively 21 and 70% and an increase for P.

374 *pratense* dominated grass growth at Rengen of 18%.

For DAYLB, generally increased values appeared after calibration, except for a reduction of

- 376 36% for *P. pratense* grass growth data using only harvest observations at Særheim and a
- 377 reduction of 79% for *A. elatius* dominated grass growth according to the 4 parameter set.
- 378

379 [TABLE 1]

380

#### 381 **3.3 Model outputs and validation**

#### 382 *3.3.1. Training dataset*

383 Model outputs were calculated for the four cases of field data and the four parameter sets, using the MAP parameter estimates. The NRMSE and MSE (Table 2) and the percentage 384 385 decomposition of the MSE (Figure 2) were calculated for the training data to identify the model's ability to adapt to the underlying structure in the data. As a mean value over the four 386 387 different cases of field data, the 66 parameter set had the lowest NRMSE with only 0.08, whereas the 45 parameter set gave only slightly poorer fit (NRMSE = 0.09) (Table 2). For all 388 389 four different cases of field data, the decomposition of MSE for both the 45 and 66 parameter 390 set (Figure 2a-d) were dominated by the phase shift component (more than 64-100% of the total MSE), followed by the bias (2-32%) and the variance error (<10%). Individually, the two sets 391 of parameters (45 and 66) gave best fit for two cases of field data each. While the 45 parameter 392 set gave the overall best fit for the harvest observations of *P. pratense* dominated grass growth 393 data from Rengen and the A. elatius dominated grass growth data from Rengen, the 66 parameter 394 set gave best fit for the complete set of field data of P. pratense grass growth at Særheim and 395 for the P. pratense grass growth field data with only harvest observations from Særheim. The 396 4 and 9 parameter sets gave the worst and the second worst fit for all cases according to NRMSE 397 (Table 2). The decomposition of MSE (Figure 2a-d) showed high variability between the cases 398 399 of field data. Both the P. pratense grass growth field data with only harvest observations from Særheim and the A. elatius dominated grass growth data from Rengen were dominated by the 400 bias (73-91%) component for both the 4 and 9 parameter sets. For the complete set of field data 401 of P. pratense grass growth at Særheim with 9 parameters, the phase shift component 402

dominated, whereas the effect was more equally spread out between the components for theremaining cases.

405

406 [TABLE 2]

407 [FIGURE 2]

408

Robustness of predicted aboveground biomass was evaluated for the four parameter sets at 409 410 Særheim and Rengen. Model error (NRMSE) was used as a measure of model fit while the posterior coefficient of variation (CV) summed over the harvests, was used as a measure of 411 412 model uncertainty. In Figure 3a and b, NRMSE and CV are plotted as functions of the fraction of parameters determined in the calibration (0.06 (4 parameters), 0.14 (9 parameters), 413 414 0.68 (45 parameters) and 1 (66 parameters)). For both Særheim (Figure 3a) and Rengen (Figure 3b), model discrepancy (NRMSE) decreases clearly when increasing the faction of 415 416 parameters from 0.06 toward 0.14 and to 0.68, whereas no improvement was detected when increasing the fraction of parameters from 0.68 to 1. As model discrepancy decreases, model 417 output uncertainty (CV) increases with the fraction of parameters determined in the 418 calibration. Clearly, a higher increase was determined until the fraction of parameters was 419 0.68, whereas no increase was detected when increasing the fraction of parameters from 0.68 420 to 1. In Figure 3c and d, NRMSE and CV are plotted as functions of the highest normalised 421  $\mu^*$  among the parameters left out from the calibration (3.2 (4 parameter set), 2.1 (9 parameter 422 set), 0.2 (45 parameter set), 0 (66 parameter set)). For both Særheim (Figure 3c) and Rengen 423 (Figure 3d), model discrepancy (NRMSE) increases and model uncertainty (CV) decreased 424 425 clearly when increasing the highest normalised  $\mu^*$  among the parameters left out from the calibration, but for parameters having a lower normalised  $\mu^*$  than 0.2, no or smaller effects 426 427 were detected on model discrepancy and model uncertainty.

428

429 [Figure 3]

430

In Figure 4, model outputs calculated from the estimated MAP values of the 45 parameter
model and the 4 parameter model are plotted together with the training data. For *P. pratense*,

grass growth at Særheim in 2000 (Figure 4a), an almost perfect fit was found for all the field
observations when the 45 parameter set was used. For the 4 parameter model, on the other
hand, a poor estimation was found, with much lower estimations compared to the
observations.

437 Also for 2001 and 2002 (Figure 4b), the 45 parameter model estimated aboveground biomass adequately. For the first cut in 2001, the estimated aboveground biomass decreased slightly 438 before the harvest, whereas for the second cut in 2002 an underestimation occurred. For the 4 439 parameter set model, large underestimations appeared for all field observations, which is in 440 line with the results from 2000 (Figure 4a). However, the second year actually estimated the 441 first part of both the first and second regrowth well, but the growing stopped too early and 442 443 caused an underestimation in aboveground biomass for the last part of both re-growing 444 periods in the second year.

For the P. pratense swards at Særheim, only including harvest observations, the 45 parameter 445 model fitted perfectly to the observations in both the years 2000 (Figure 4c) and 2001-2002 446 (Figure 4d). The 4 parameter model underestimated aboveground biomass for all the 447 observations. The model fitted to the P. pratense dominated grass growth in Rengen (a three 448 cut system) provided a quite nice fit with the 45 parameter model (Figure 4e). For the first and 449 450 third cut of the first year, the second cut in the second year and the second cut in the third 451 year, the estimated aboveground biomass decreased slightly before the harvest. The remaining observations fitted well to the observations, except for the third cut in the second year, where 452 almost no regrowth was estimated, thus causing strong underestimation of aboveground 453 454 biomass. The 4 parameter model generally underestimated the observed aboveground biomass. For the first year, the observed aboveground biomass was low, and fitted quite well 455 456 to the estimated results, as was also the case for the last cut in the third year.

All other observations were highly underestimated. For *A. elatius* dominated grass growth in
Rengen (Figure 4f), the fit between model outputs from the 45 parameter model and observed
data was good, but the periods in between the observations seemed to be highly incorrect.
Several drops in aboveground biomass were estimated between the cuts. The second cut in the
second and third year seemed to be perfectly estimated. The 4 parameter model highly
underestimated aboveground biomass for all the field observations, except that the last cut in
the last year gave a perfect fit.

465 [FIGURE 4]

466

#### 467 *3.3.2. Validation dataset*

The NRMSEP and MSEP (Table 3) and the percentage decomposition of the MSE (Figure 5) 468 was calculated for the P. pratense and the A. elatius dominated grass growth at Rengen, using 469 470 the validation data. For both datasets, the model with the 45 parameter set predicted grass growth best, with a mean NRMSEP over species of 0.65. The second best model was the fully 471 parameterised model with an average NRMSEP of 0.67. The 9 parameter model gave mean 472 473 NRMSEP of 0.75 while the worst prediction was made by the 4 parameter model with 0.79 as 474 mean NRMSEP. The A. elatius dominated grass growth data from Rengen were dominated by the bias (63-90%), followed by phase shift (5-37%) and variance error (< 5%) (Figure 5b). 475 476 For the P. pratense dominated grass growth data from Rengen on the other hand, the effect was more spread out between the components, except for the error with the 45 parameter set 477 478 that was dominated by the phase shift component (92%).

479

480 [TABLE 3]

481 [FIGURE 5]

482

Predictive uncertainty in model outputs induced by parameter uncertainty is shown in Figure 483 6, together with field observations. The uncertainties are given as prior parameter knowledge 484 and posterior parameter knowledge, both according to the 45 and the 4 parameter model, 485 calculated by sampling randomly 100,000 samples from the prior distribution and from the 486 posterior chains. Model output are then calculated for each parameter set, and uncertainty 487 plotted as minimum and maximum model output for each day. Figure 6a gives the results for 488 the P. pratense dominated sward at Rengen 1991-1994. The output uncertainty for this 489 490 situation decreased slightly when using the results from the 45 parameter model calibration compared to our prior probability distributions of the parameters. According to the 4 491 492 parameter model, a much clearer decrease was found in predictive uncertainty, compared to both the prior and the posterior uncertainty from the 45 parameter model. All observations fall 493 494 within both the prior and the posterior uncertainties, except the first observation of each year, which did not fall within the posterior uncertainty from the 4 parameter model. Figure 5b 495

gives the results for the *A. elatius* dominated sward at Rengen 2003-2005. Also here, the same
pattern of decreased uncertainties for the posterior uncertainties compared to the prior was
found. All observations did fall within the prior uncertainty and the posterior uncertainty for
the 45 parameter model, but only one of the observations fell within the posterior predictive
uncertainty for the 4 parameter model.

501

502 [FIGURE 6]

503

#### 504 4. DISCUSSION

505 Process-based growth models, as the BASGRA model, are usually parameter rich. Satisfactory simplification of such models has previously been shown (Oomen et al. 2016; Raj et al. 2016). 506 507 Based on the sensitivity analysis performed in this study, reduction of the number of model parameters seems possible for the BASGRA model as well. Results from the analysis showed 508 509 large differences between the impact of parameters on model output, which is consistent with similar studies of other parameter rich crop models (Confalonieri, 2010; Confalonieri et al., 510 2010a; Confalonieri et al., 2010b; Richter et al., 2010; Thorsen et al., 2010). The screening 511 method by Morris was easy to interpret and suitable in order to range the parameters according 512 to their influence on model outputs. From the Morris method, we found some parameters that 513 could be safely ignored in the calibration of our model, for our data. However, by calibrating 514 the model with only a subset of parameters, rather than all of them, the error term (NRMSE) 515 increased and the parametric uncertainty (CV) incorrectly decreased. When considering the 516 error term and the parametric uncertainty as a function of the fraction of parameters kept in the 517 518 calibration, a threshold of 0.7 of the parameters seemed to be required in order to have an acceptable model fit (NRMSE  $\leq 0.1$ ) and not a too highly underestimated uncertainty (CV  $\geq$ 519 520 0.4) for both Særheim and Rengen. Considering the error term and the parametric uncertainty as a function of the highest normalized  $\mu^*$  among the parameters left out from the calibration, 521 522 this study showed that parameters with a lower normalized  $\mu^*$  than 0.2 could be left out of a 523 Bayesian calibration. This was valid for our simulations at both Særheim and Rengen, but need 524 to be checked with other models and data as well for a generalisation. Consequently, the Morris method identified those parameters with such a small contribution to model output that they 525 526 could be set equal to any value within their range without affecting model output considerably.

The importance of site specific sensitivity analysis, in order to investigate the stability of the 527 sensitivity by the variety of climatic conditions for which the model is used, was highlighted 528 by Confalonieri et al. (2010b). Accordingly, in this study the sensitivity analysis was 529 performed using weather variables from two different sites in order to investigate the 530 consistency of the ranking order of parameters according to their sensitivity to the model 531 output across sites. The ranking order was not exactly the same across sites, but the same 532 pattern was identified with the groups of sensitive and poorly sensitive parameters being very 533 similar at both sites. This underlines the generality of the results and suggests that site specific 534 535 sensitivity analysis is not needed for the range of variation in climate and soil conditions 536 covered in the present study.

537 Three reduced models were developed, based on the sensitivity analysis of the BASGRA model, containing only the 4, 9 and 45 most sensitive parameters. The models were calibrated 538 539 and error terms calculated based on model output from the new parameter values. According 540 to both the training and the validation data, the error term for the differences between 541 observed values and the estimated model output was similar for the fully parameterised model (66 parameters) and the reduced model consisting of 45 parameters. The 45 parameter model 542 fitted well to all observations in all cases with training data, except one regrowth for the P. 543 pratense dominated sward at Rengen that was not estimated properly. This small difference in 544 the error term indicates that model reduction is possible without affecting model performance 545 and that the response of aboveground biomass could be explained using fewer or simpler 546 547 relationships. For the 45 and the fully parameterised model, the error term for differences between observed and simulated values was dominated by differences in timing (phase shift) 548 considering the training data, whereas no general pattern was found in the decomposition of 549 550 MSE for the validation data. However, a too strong model reduction should be avoided, as can be seen from the highly increased error term when considering the 4 and 9 parameter model. 551 552 According to the training dataset, the 4 parameter model generally underestimated aboveground biomass highly. 553

Model calibration depends highly on the variability in the calibration data, and it is important
to include as much valuable information about the processes as possible. A successful
calibration requires appropriate data for model calibration (Yapo et al. 1996). In this study, we
only had harvest observations for the aboveground biomass at Rengen. Therefore, the
Bayesian calibration was performed twice for *P. pratense* grass growth in Særheim, firstly by
using the full time series of aboveground biomass observations and secondly by only

including the aboveground biomass observations at regular harvests as calibration data, in
order to visualise the effect. The model predictions fitted the output at harvest best when only
the regular harvest observations were included in the analysis, but at the same time the growth
and regrowth periods became unrealistic with high regrowth during winter when in practice
growth is severely restricted by low temperature and solar radiation. In order to estimate grass
growth through time, and not only make predictions of yield at harvest, it is consequently
important to include data from the regrowth period into the calibration.

For Rengen, only harvest observations were included in the calibration. For the 45 parameter 567 568 model, the *P. pratense* swards seemed to have a nice and realistic estimation of grass growth also between harvests, according to the training dataset. For the A. elatius dominated sward on 569 570 the other side, highly unrealistic values were estimated. Although the harvest observations fitted almost perfectly, an unrealistic decline in aboveground biomass was estimated prior to 571 572 several of the harvests. These poorer results for A. *elatius* may be due to physiological or morphological differences between this species and the better studied P. pratense that was not 573 574 covered in the model. In addition, by including more observation points for biomass between harvests in the calibration dataset, the result would have looked different, as illustrated with 575 576 the two calibrations for Særheim, with time series of biomass growth observations.

577 Output uncertainty caused by parameter uncertainty was included in the study, and a high uncertainty on model outputs was estimated based on prior parameter knowledge of all 66 578 parameters. The uncertainty was largest at harvest, and declined thereafter, with an estimated 579 lower boundary of zero aboveground biomass for all days included. The posterior uncertainty 580 581 of the 45 parameter model was based on the posterior parameter knowledge of the 45 most sensitive parameters, with the remaining parameters fixed at a certain value. The uncertainty 582 583 was slightly reduced through the analysed period, caused by a combination of fixing uncertain values and by updating the remaining parameter uncertainty with new knowledge through 584 585 observed data. All observed validation values for Rengen were within both the prior and the posterior uncertainty for the 45 parameter model. The 4 parameter model highly reduced the 586 587 output uncertainty. The same data were included in the calibration of the 4 and the 45 parameter models, but the much higher number of uncertain parameters to be fixed at a 588 589 certain value in the 4 parameter model, highly affected the uncertainty. Several of the 590 observations fell outside the posterior uncertainty, which clearly demonstrated the danger of 591 fixing uncertain parameter values. However, fixing only the 21 most uncertain values did not seem to affect significantly, thus confirming their weak effect on model output. 592

In the present study, the estimated MAP values for the parameters highly depended on the dataset used in the calibration. It is therefore important to calibrate the model for the specific case for which the model will be used i.e. specific sites and species as well as the target output variable (harvest only versus biomass growth dynamics).

597 Additionally, estimated MAP values depended on the model calibration procedure (45 or 4 parameter model). High variations were detected for the four most sensitive parameters when 598 comparing the MAP values estimated from the calibration of the 4 parameter model compared 599 to the 45 parameter model. As several of these 45 parameters proved to have an influence on 600 model output, covariances between the parameters led to different estimates for the 4 most 601 sensitive parameters depending on the values used for the remaining 41 (fixed to their 602 603 nominal value in the 4 parameter model, while they are fixed to their MAP value in the 45 604 parameter model).

Winter observations were not included in this study, and the unimportant parameters 605 identified included several "winter" processes governing the dynamics of water in the forms 606 of snow cover and ice layer. Still, a parameter governing the rate of death due to frost 607 608 (KRSR3H) appeared to be the most sensitive parameter. This unexpected result may be 609 explained by the operations in the Morris method and its use of prior boundaries instead of 610 prior probabilities. As the prior probability of the parameter was given by a beta distribution with its maximum value being the most probable and almost zero probability for the lower 611 part of its interval, the sensitivity analysis includes these values as well. Since these values of 612 negligible probability highly impacted model output, the parameter was regarded as sensitive. 613 614 Similarly, the width of the parameter boundary will impact parameter sensitivity to model output, since model output will be less impacted when a parameter is only allowed to be 615 616 varied within a narrow boundary compared to a wider boundary. Consequently, a parameter to which the model is very sensitive may only be so because it has a wide prior boundary. Once 617 618 we know the value of a parameter very well (e.g. after a calibration leading to a narrow 619 marginal posterior distribution for that parameter), the sensitivity can disappear. The Morris 620 method applied to the prior gives higher sensitivity than Morris applied to the posterior. Sensitivity is consequently not purely a function of model, parameter and environmental 621 conditions at the simulation site, but depends on our knowledge as well. 622

The BASGRA model was built in order to estimate 21 different output variables, but only the
aboveground biomass was considered in this study. Consequently, the simplifications made
here based on sensitivity analysis, and the parameterisation done by Bayesian calibration are

only relevant for this single output variable. In order to retain the complexity of the model and
the ability to estimate several model outputs, more output variables should be considered in
the analysis and more observed data included. Biomass yield is the most commonly used
model output in practice, and this study is therefore highly important and relevant.

630 The validation of well calibrated simulation models is often limited because of insufficient data. Long-term experiments, from where the data in this study were derived, are an excellent 631 source especially because management is kept constant and environmental conditions are 632 well-known. In order to validate the model and to test its suitability also at broader scales, 633 however, other sources need to be explored. Remote sensing may contribute to calibration as 634 well as validation of such models through the provisioning of crop parameters and variables 635 such as leaf area index (Darvishzadeh et a., 2011), crop phenometrics (Parplies et al., 2016) 636 and dry matter yield (Quan et al., 2017), the latter by coupling remote sensing information 637 638 with a radiative transfer model. That way, simulations could also be supported through coupling such data with spatially explicit site information on e.g. soil properties in a 639 640 Geographic Information System.

641

#### 642 5 CONCLUSION

The objective of this study was to examine the impact of parameter screening and subsequent 643 parameter reduction on aboveground biomass predictions by the grassland model BASGRA, 644 in order to efficiently be able to include uncertainty in model outputs. According to this study, 645 a reduction of model parameters from 66 to 45 was possible. The error term, for both the 45 646 and the fully parameterised model was characterised by the timing (phase shift) when 647 considering the training data, while no general pattern was found in the decomposition of the 648 MSE for the validation data. The sensitivity analysis showed that the parameters to be fixed 649 650 were consistent across sites (variation in climate and soil conditions), while model calibration had to be performed separately for each specific case (site and species) for which the model 651 652 was used. The output uncertainty decreased slightly, but still covered the field observations of aboveground biomass. Strong model reductions to 9 or 4 parameters should be avoided 653 654 because they lead to highly increased error terms and underestimated model output 655 uncertainties. In order to estimate the periods between the regular harvests adequately, it 656 proved to be important to include data from the regrowth period as well, in addition to the 657 aboveground biomass at the regular harvests, especially for the A. elatius sward at Rengen.

658 The model has originally been built for *P. pratense* grass growth, and physiological or

morphological differences between the two species may have been neglected. Better

660 predictions could possibly have been identified in the model by including regrowth data

661 during calibration.

662

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- Table 1: Nominal values and maximum posterior (MAP) estimates from Bayesian calibration of the 4 and 45
- most sensitive parameters from (1) *P. pratense* growth at Særheim, (2) harvest observations of *P. pratense*
- growth at Særheim, (3) harvest observations of *P. pratense* dominated grass growth at Rengen and (4) harvest
- 776 observations of *A. elatius* dominated grass growth at Rengen.

Parameter	Nominal value	P. pratense Særheim	P. pratense Særheim	P. pratense Rengen	A. <i>elatius</i> Rengen
		All data	Harvest data	Harvest data	Harvest data
		The reduced	parameter set of	4 parameters	
DAYLB	0.392	0.479	0.250	0.508	0.655
DLMXGE	0.992	0.688	0.693	0.964	0.406
KRSR3H	1.00	0.982	0.946	0.821	0.417
ROOTDM	0.761	1.26	0.621	0.579	1.23
		The reduced p	parameter set of	45 parameters	
COCRESMX	0.141	0.0989	0.185	0.0967	0.179
CSTAVM	0.230	0.130	0.331	0.218	0.194
DAYLB	0.392	0.530	0.438	0.447	0.0811
DAYLP	0.632	0.683	0.617	0.604	0.487
DLMXGE	0.992	0.649	0.823	0.891	0.816
Dparam	0.00320	0.0029	0.0032	0.0029	0.0036
FSLAMIN	0.466	0.641	0.329	0.161	0.355
Hparam	0.00560	0.0069	0.0086	0.0061	0.007
K	0.500	0.513	0.584	0.630	0.509
KLUETILG	0.500	0.413	0.500	0.476	0.145
KRESPHARD	0.0100	0.0234	0.0212	0.00783	0.0295
KRSR3H	1.00	0.911	0.847	0.911	0.889
LAICR	3.80	2.14	6.30	2.55	3.09
LAIEFT	0.200	0.226	0.189	0.172	0.220
LAITIL	0.567	0.776	0.391	0.593	0.974
LERGb	2.89	0.736	5.86	7.67	8.38
LERGD	-2.76	-6.42	-2.83	-7.27	-4.47
LERVa	0.520	0.685	0.470	0.472	1.05
LEKVD	(0.00850/0.00600)*	0.006	0.0102	0.00987	0.0104
	$(0.00830/0.00000)^{*}$	0.000	0.005	0.0046	0.0027
LOG10CLVI	1.50	1.98	1.56	1.03	1.67
LOG10CRESI	0.500	0.393	0.673	-0.565	0.766
LOG10LAII	0.00	-0.767	-0.530	-0.290	0.204
LT50MN	-(26.7/16.0)*	-20.1	-21.9	-20.1	-20.9
LT50MX	-4.79	-5.04	-4.49	-4.44	-5.08
NELLVM	2.0918\1	1.14	1.10	1.35	1.99
PHENCR	0.495	0.713	0.823	0.636	0.373
PHY	(63.1/110.0)*	57.3	75.3	74.8	88.2
RDRSCO	0.0712	0.0604	0.0559	0.0969	0.0797
RDRSMX	0.0600	0.0566	0.0504	0.0502	0.0854
RDRTEM	0.00100	0.0013	0.0009	0.0008	0.0009
reHardRedDay	145	98.5	155	142	114
RGENMX	0.0109	0.0197	0.0158	0.00847	0.0147
ROOTDM	0.761	0.660	0.553	0.937	0.231
RUBISC	5.78	4.31	5.87	4.83	3.94
SHAPE	0.539	0.866	0.489	0.484	0.440
SINMAX1T	0.00450	0.0046	0.0058	0.0040	0.0049
SLAMAX	0.0600	0.0612	0.0476	0.0714	0.0792
TBASE	3.61	3.23	3.90	4.25	4.12
TCRES	1.89	2.69	2.10	2.44	3.27
THARDMX	14.7	14.7	13.7	14.8	14.8
TILTOTI400	1600	1030	1410	1290	897
TOPTGE	12.6	9.21	11.5	8.89	7.58
TsurfDiff	0.623	2.59	0.939	3.30	3.15
YG	0.842	0.823	0.732	0.798	0.849

<sup>\*</sup>The first number is nominal value for *P. pratense* and the second number is for *A. elatius* 

778 Table 2: Normalised root mean square errors (NRMSE) and the mean square errors (MSE) in parentheses

calculated between model outputs and observed aboveground biomass of *P. pratense* grass growth at Særheim,

harvest observations of *P. pratense* grass growth at Særheim harvest observations of *P. pratense* dominated grass

growth at Rengen and *A. elatius* dominated grass growth at Rengen (training data). The model outputs are
 calculated for the models constructed by the fully parameterised model and the models with the 4, 9 and 45 most

calculated for the models constructed by the fully parameterised model and the models with the 4, 9 and 45 most
 important parameters according to sensitivity analysis, using MAP values on the calibrated parameters and their

nominal values for the others and for the total parameter set.

Dataset	4 par	9 par	45 par	66 par
P. pratense Særheim	0.8129	0.4423	0.1105	0.0940
All data	(131,090)	(38,806)	(2,423)	(1,751)
P. pratense Særheim	0.8277	0.3919	0.0211	0.0195
Harvest data	( 467,450)	(104,800)	(302)	(259)
P. pratense dominated Rengen	0.7855	0.7059	0.1578	0.1614
Harvest data	(66,801)	(53,939)	(2,696)	(2,821)
A. elatius dominated Rengen	0.7483	0.8119	0.0578	0.0606
Harvest data	(84,887)	(99,934)	(505)	(557)

Table 3: Normalised root mean square errors of prediction (NRMSEP) and the mean square errors (MSE) in
parentheses calculated for the difference between calculated and observed aboveground biomass at harvest of *P. pratense* dominated grass growth at Rengen and *A. elatius* dominated grass growth at Rengen (validation data).
The model outputs are calculated for the models constructed by the fully parameterised model and for the models
with the 4, 9 and 45 most important parameters according to sensitivity analysis, using MAP values on the
calibrated parameters and their nominal values for the others and for the total parameter set.

_	Dataset	4 par	9 par	45 par	66 par
	P. pratense dominated Rengen	0.5911	0.6153	0.5764	0.6414
	Harvest data	(32,575)	(35,292)	(30,972)	(38,356)
	A. elatius dominated Rengen	0.9945	0.8839	0.7325	0.6804
	Harvest data	(189,520)	(147,690)	(102,810)	(88,719)

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- 807 Figure 1: Results from sensitivity analysis of the BASGRA model, using the Morris method for a) Særheim
- 808 weather data, soil data and harvest dates in 2000-2001, b) Rengen weather data, soil data and harvest dates in
- 809 2000-2001. Only the nine most important parameters according to the sensitivity analysis are named. These are:
- 810 a constant in the logistic curve for frost survival (KRSR3H), day length below which the day length effect on
- 811 allocation, tillering, leaf appearance and leaf elongation becomes less than 1 (DLMXGE), the initial and 812 maximum value of rooting depth (ROOTDM), day length below which phenological stage is reset to zero
- 813 (DAYLB), maximum SLA of new leaves (SLAMAX), the minimum SLA of new leaves as a fraction of
- 814 maximum possible SLA (FSLAMIN), the maximum ratio of tiller and leaf appearance at low leaf area index
- 815 (LAITIL) and the rate of elongation of leaves on non-elongating tillers (LERVb).
- 816
- 817 Figure 2: The percentage decomposition of mean square error (MSE) into bias, variance error and phase shift for
- 818 the 4, 9, 45 and 66 parameter model for the training data with a) P. pratense grass growth at Særheim, b) harvest
- 819 observations of P. pratense grass growth at Særheim, c) harvest observations of P. pratense dominated grass
- 820 growth at Rengen and d) harvest observations of A. elatius dominated grass growth at Rengen.
- 821
- 822 Figure 3: Normalised root mean square error (NRMSE) and parametric uncertainty (CV) as a function of the
- 823 fraction of parameters included for a) Særheim and b) Rengen, and NRMSE and CV as a function of the highest
- 824 normalised  $\mu^*$  (mean from the Morris method) among the parameters left out from the calibration for c)
- 825 Særheim and d) Rengen.
- 826

827 Figure 4: Observed values and model outputs of the BASGRA model using MAP estimates of the 45 and 4 most sensitive parameters according to sensitivity analysis for a) P. pratense grass growth at Særheim in 2000 b) P. 828 829 pratense grass growth at Særheim in 2001-2002 c) harvest observations of P. pratense grass growth at Særheim for 2000 d) harvest observations of P. pratense grass growth at Særheim for 2001-2002 e) harvest observations 830 831 of P. pratense dominated grass growth at Rengen 1989-1992 and f) harvest observations of A. elatius dominated

832 grass growth at Rengen for 2000-2002. Time is equivalent to the number of days, starting at sowing day.

833

- 834 Figure 5: The percentage decomposition of mean square error (MSE) into bias, variance error and phase shift for
- 835 the 4, 9, 45 and 66 parameter model for the validation data with a) harvest observations of P. pratense dominated 836 grass growth at Rengen and b) harvest observations of A. elatius dominated grass growth at Rengen.

- 838 Figure 6: Prior and posterior (for the 45 and 4 parameter models) output uncertainty and observed values for (a) 839 P. pratense dominated grass growth in Rengen 1991-1994 and (b) A. elatius dominated grass growth in Rengen
- 840 2003-2005.
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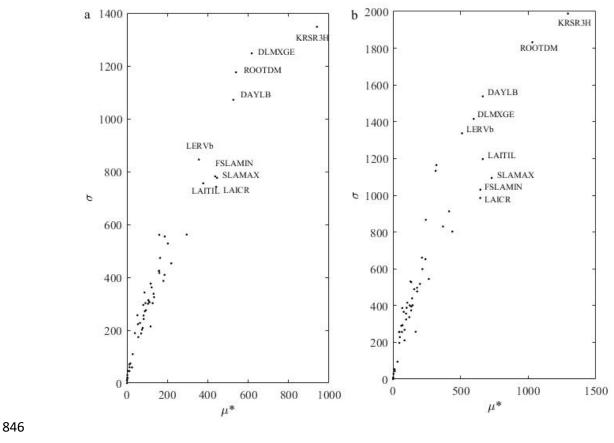
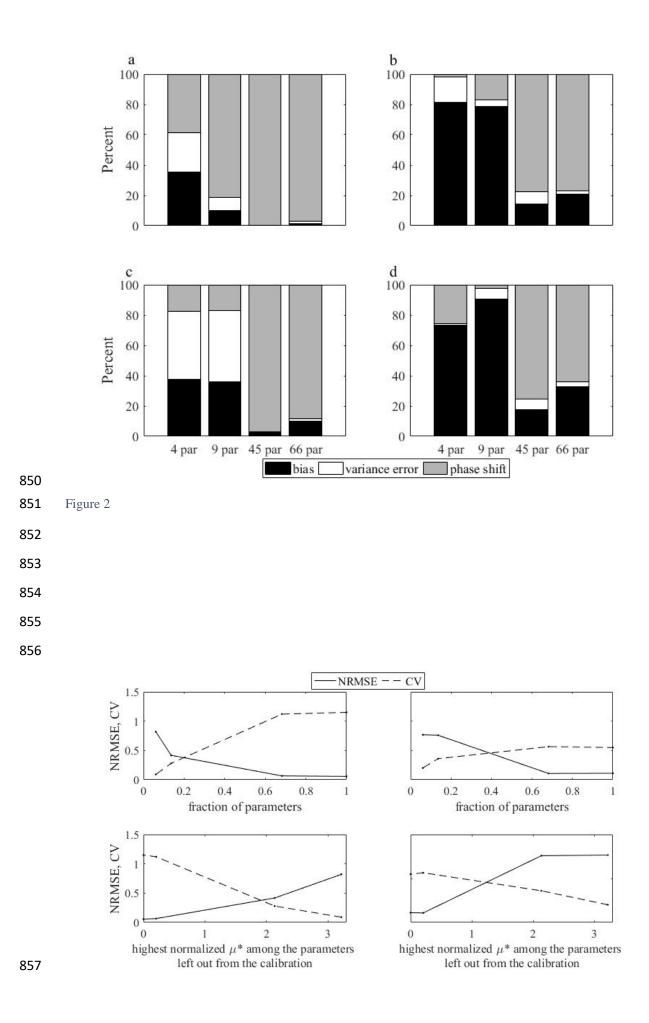
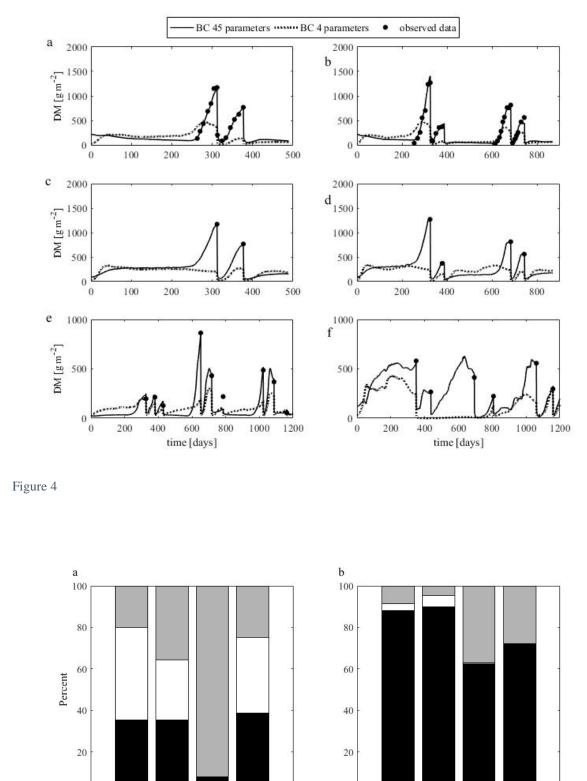


Figure 1





66 par bias 4 par 9 par variance error phase shift 45 par

66 par

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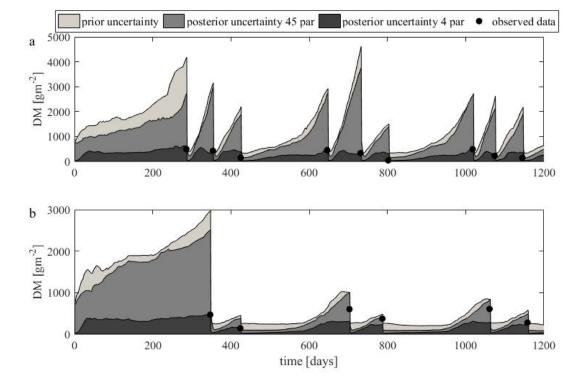


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4 par

9 par

45 par



867 Figure 6