

# 1 A greenhouse climate-yield model focussing on additional light, 2 heat harvesting and its validation

3 I. Righini<sup>1\*</sup>, B. Vanthoor<sup>1</sup>, M.J. Verheul<sup>2</sup>, M. Naseer<sup>2</sup>, H. Maessen<sup>2</sup>, T. Persson<sup>2</sup>, C. Stanghellini<sup>1</sup>

4 <sup>1</sup> Wageningen UR Greenhouse Horticulture, P.O. Box 644, 6700 AP Wageningen, The Netherlands

5 <sup>2</sup> NIBIO, Norwegian Institute of Bioeconomy Research, Division of Food Production and Society, P.O. Box 115, NO-  
6 1431 Ås, Norway

7  
8 \*Corresponding author

## 9 Abstract

10 A greenhouse climate-crop yield model was adapted to include additional climate modification techniques  
11 suitable for sustainable greenhouse management at high latitudes. Additions to the model were  
12 supplemental lighting, a secondary heating system and heat harvesting technologies. The model: 1)  
13 includes the impact of different light sources on greenhouse air temperature and tomato production 2)  
14 includes a secondary-heating system 3) calculates the amount of harvested heat while lighting. The crop  
15 yield model is not modified, yet it is validated for a tomato semi-closed greenhouse equipped with HPS  
16 lamps (top-light) and LED (inter-light) in Norway. The combined climate-yield model is validated with data  
17 from a commercial greenhouse in Norway. The results show that the model is able to predict the air  
18 temperature with sufficient accuracy during the validation periods with Relative Root Mean Square Error  
19 lower than 10%. The tomato yield was accurately simulated in the cases under investigation, yielding a  
20 final production difference between 0.7% and 4.3%. Lack of suitable data prevented validation of the heat  
21 harvest sub-model, but a scenario calculating the maximum harvestable heat in an illuminated greenhouse  
22 is presented. Given the cumulative energy used for heating, the total amount of heating pipe energy which  
23 could be fulfilled with the heat harvestable from the greenhouse air was around 50%. Given the overall  
24 results, the greenhouse climate(-crop yield) model modified and presented in this study, is accurate  
25 enough to support decisions about investments at farm level and/or evaluate beforehand the possible  
26 consequences of environmental policies.

## 27 1. Introduction

28 The multiplicity of greenhouse designs existing worldwide follows from their adaptation to climatic,  
29 economic and social conditions. To name but a few: the local climate, the availability and quality of the  
30 resources (e.g. water, energy), the capital availability, the market size, the local legislations (Hanan, 1998;  
31 Van Heurn & Van der Post, 2004; Van Henten et al., 2006). The choices underlying a greenhouse  
32 investment are primarily dependent on the producer's personal view and experience (Von Elsner et al.,  
33 2000). However, there is a high risk associated with such decisions in a landscape characterised by a  
34 dynamic behaviour of the factors mentioned above both in the short term (such as energy costs, product  
35 prices) and the long term (such as shifts in social acceptance or climate change) which makes the  
36 profitability of investments difficult to predict.

37 The greenhouse production system is a result of multiple and complex climate-crop interactions taking  
38 place simultaneously and reacting with different response time and patterns (Challa & Van Straten, 1991).  
39 Mathematical models, built on solid physical and physiological knowledge help in understanding these  
40 processes and addressing the complexity of the system. A greenhouse-yield modelling approach enables  
41 to run different hypothetical scenarios for given climatic conditions, to simulate the effect of pre-defined  
42 improved design elements and climate management on the indoor greenhouse climate and yield (Vanthoor,  
43 Stanghellini, de Visser and Van Henten, 2011a and 2011b). For an overall optimization of the greenhouse  
44 system, the costs and economic return as well as the resource use need to be considered (Vanthoor et al.,  
45 2012).

46 On a farm scale, a modelling approach supports decisions as it allows to evaluate beforehand the  
47 consequences of greenhouse investments (e.g. greenhouse structure, climate equipment) and  
48 management (e.g. of climate and crop) on productivity, financial outlook and resource requirements.

49 On a larger scale, the knowledge and results of such an objective evaluation can guide policy makers and  
50 financial institutions at Governmental and sub-national levels as well as local authorities (e.g. for water  
51 and energy management) in offering subsidies, defining research programs and setting guidelines and  
52 (environmental) rules in view of a greenhouse sector that is, at once, more cost-effective and sustainable.  
53 Technological and process innovations realised in the greenhouse business can improve the energy-  
54 efficiency and sustainability of the system (Breukers, Hietbrink, Ruijs, 2008), possibly to reap marketing  
55 benefits as well.

56 The limiting conditions typical of greenhouse crop cultivation in Norway and other temperate regions at  
57 high latitudes, make it a common practice the use of supplementary lighting to maximise yield and ensure  
58 year-round production (Moe, R., Grimstad, Gislerod, 2005; Verheul, Maessen, Grimstad, 2012) and of a  
59 split heating system (use of the so called 'grow pipe', an additional low-temperature pipe placed within  
60 crop rows).

61 Solutions which can lower the heat and electricity demand, costs and environmental issues deriving from  
62 current fossil-based energy systems, are important for the overall resource use efficiency of greenhouse  
63 horticultural production. It happens rather often that the heat generated by the lights exceeds the heat  
64 demand of the greenhouse. Normally this excess of energy, consisting of sensible and latent heat, is  
65 discharged by ventilation, thus constituting a system energy loss. Alternatively, the excess of solar  
66 radiation, usually experienced in summertime, or the excess energy in presence of artificial light can be  
67 harvested by heat exchangers, heat pumps, and storage buffers to be used in periods of heating demand  
68 as an option to decrease heat input. In this study, the greenhouse climate model developed by Vanthoor  
69 et al. (2011a) is adapted to include additional control techniques such as artificial lights, a secondary  
70 heating system and heat harvesting, to make it suitable for whole year greenhouse management under  
71 high-latitude conditions.

72 The new model presented in this study fulfils the following requirements:

- 73
- 74 1) To include the impact of different light sources on greenhouse air temperature and tomato  
75 production;
  - 76 2) To include a secondary 'grow pipe' heating system;
  - 77 3) To calculate the possible contribution of heat harvesting to energy saving.
- 78

79 The adaptations are performed in the greenhouse climate part of the model, since the new climate control  
80 techniques mainly influence the greenhouse climate. The artificial light will also increase the PAR absorbed  
81 by the crop, which will be accounted for in the greenhouse climate model when calculating crop  
82 temperature. Since this is an input of the crop yield part of the model, no changes to the crop yield model  
83 are needed, as the assumption about interception of light by the canopy affects the climate model.  
84 Therefore in this study only the adaptations to the greenhouse climate part of the model are described.  
85 However, as the crop model had not been previously validated under supplementary light, a validation of  
86 both the greenhouse climate model and the crop yield model (under two configurations of supplementary  
87 light) is presented.

88

## 89 2. Model adjustments

### 90 2.1 Light and heat fluxes from artificial lights

91 Lamps of all types convert electrical energy into light, convective heat and Far InfraRed radiation (FIR).  
92 The most common light sources used in greenhouses nowadays are the High Pressure Sodium (HPS) lamps  
93 and Light Emitting Diode (LED) lamps. The main difference between these lamps lies in the

94 efficiency—expressed as PAR output per input unit of electrical energy—being higher for LED than for HPS  
 95 lamps (Persoon & Hogewoning, 2014). Moreover, HPS lamps emit Near InfraRed radiation (NIR, about  
 96 15% of the electrical input), while LED lamps do not (Nelson & Bugbee, 2015). HPS lamps exchange more  
 97 Far Red, thermal Radiation (FIR), since the temperature of the HPS armatures becomes much higher than  
 98 that of the LED armature, whereas the LED lamps whose armatures are designed to facilitate cooling, lose  
 99 relatively more heat by convection. For the high temperature they reach, HPS lamps are always placed  
 100 well above the canopy, whereas LED lamps can be placed as inter-lighting system (between the canopy),  
 101 as well as top lighting (above the canopy).

### 102 2.1.1 Differences between HPS, LED top lighting and LED inter-lighting

103 The PAR absorbed by the canopy (that is, the extinction coefficient for PAR light) is assumed to be equal  
 104 for sunlight, HPS and LED top-lighting since these sources are located above the canopy. When using LED  
 105 inter-lighting the height of the lamps in the canopy influences considerably the light absorption as described  
 106 by Dieleman et al. (2015). However, they found that for lamps located at a height between 1.5 and 2.1 m  
 107 within a tall, well developed canopy, light absorption was above 90% and still there was light loss towards  
 108 the cover and the soil. Janse, Weerheim and Dieleman (2018) measured 95.6 % light absorption by a  
 109 cucumber crop on a horizontal plane from inter-crop LED modules and 96% for light from the top. Based  
 110 on these results we have assumed here that the light absorption by a canopy can be described similarly  
 111 for a LED top-lighting and LED inter-lighting system, that is, there is no need to introduce a separate  
 112 extinction coefficient for light given by LED elements within the canopy. This is obviously true for a dense  
 113 canopy with a spherical leaf angle distribution, whereas it would not hold for crops with a preferential angle  
 114 (such as perfectly horizontal leaves and light coming either from above or the side). Therefore this  
 115 hypothesis should be verified before application to crops with a preferential (nearly horizontal or vertical)  
 116 leaf angle distribution.

117 The FIR fluxes of the LED lamps depend on the location of the LED in the canopy. The LED top lighting will  
 118 emit more FIR to the upper part of the greenhouse (screen, greenhouse cover, or to the sky) whereas the  
 119 LED inter-lighting will emit more radiation to the canopy.

120 Summarised the following is assumed:

- 121 - 1 mol intercepted PAR from HPS lamps has a similar impact on crop yield to 1 mol intercepted PAR  
 122 from top and inter-canopy LED light or natural sunlight.
- 123 - The HPS lamps emit both PAR and NIR in the shortwave spectrum (28% and 15% of the electrical  
 124 input, respectively), whereas there is no NIR component in the shortwave spectrum of the LED  
 125 lamps.
- 126 - Temperature of the lamps is not modelled. A fixed fraction of the electrical input of the lamps is  
 127 converted into convective heat loss and a fixed fraction into FIR energy loss (these fractions are  
 128 different for HPS and LED).
- 129 - The FIR of the HPS lamps is emitted both down (60%) and upwards (40%).
- 130 - The FIR of the LED lamps is emitted both down (50%) and upwards (50%).
- 131 - The FIR exchanged between the lamps and the heating pipes is neglected.
- 132 - When lights are switched off there is neither FIR nor convective heat exchange with the  
 133 surroundings.
- 134 - The FIR radiation fluxes of inter-canopy LED lamps will change based on height of the LED lamps  
 135 in the canopy.

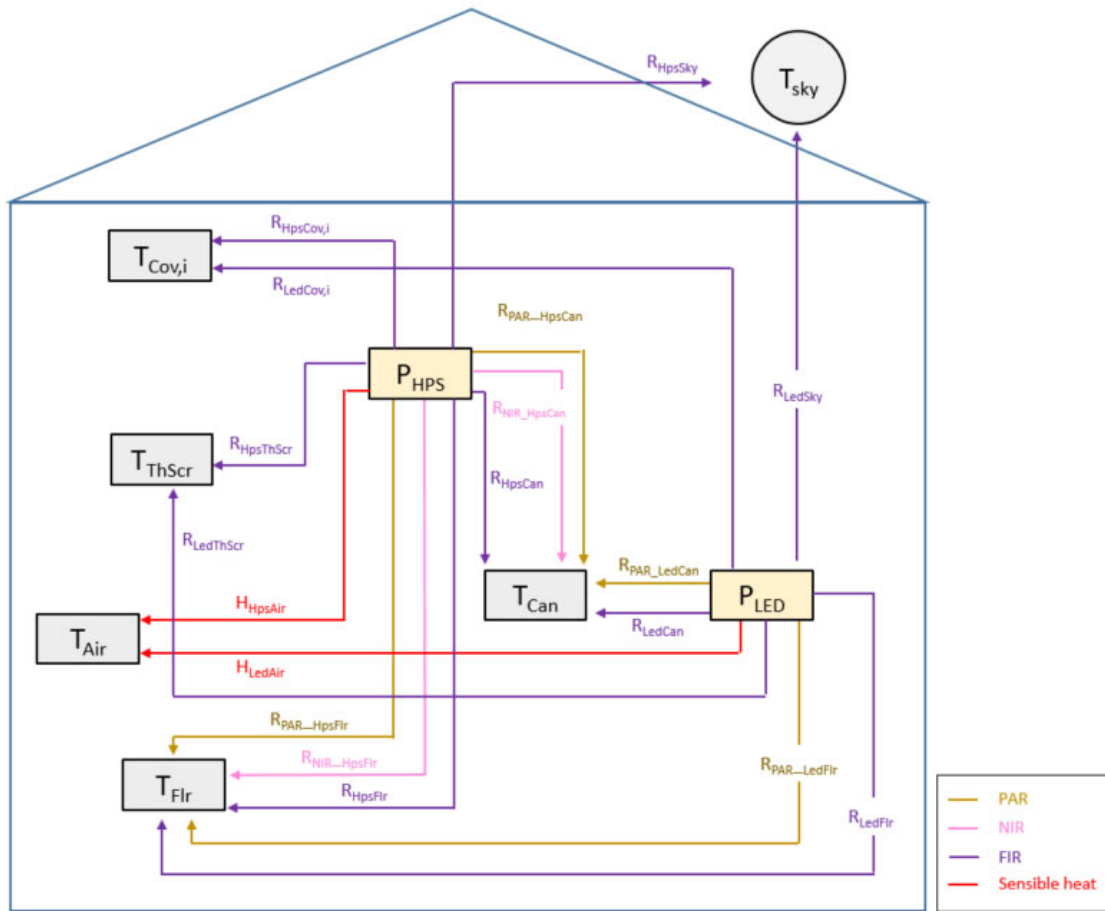
136 This means that the electrical input of the artificial lamps is equal to the sum of the following fluxes.

$$137 P_{Lamp} = R_{PAR\_Lamp} + R_{NIR\_Lamp} + H_{LampAir} + R_{LampCan} + R_{LampFlr} + R_{LampThScr} + R_{LampCov,in} + R_{LampSky} \quad [W \ m^{-2}] \quad (1)$$

138 where  $R_{PAR\_Lamp}$  is the PAR output of the lamp and  $R_{NIR\_Lamp}$  is the NIR output of the lamp.  $H_{LampAir}$  is the  
 139 convective heat flux from the lamp to the greenhouse air and  $R_{LampCan}$ ,  $R_{LampFlr}$ ,  $R_{LampThScr}$ ,  $R_{LampCov,in}$  and  
 140  $R_{LampSky}$  are the FIR radiation fluxes from the lamps to the canopy, floor, thermal screen, cover and sky

141 respectively. As the temperature of the lamps is not calculated, the (prefixed) upward and downward  
 142 radiation is allocated among the receiving elements in the measure that it is intercepted by them. An  
 143 overview of the state variables and fluxes affected by the introduction of the lamps is given in Figure 1.

144



145

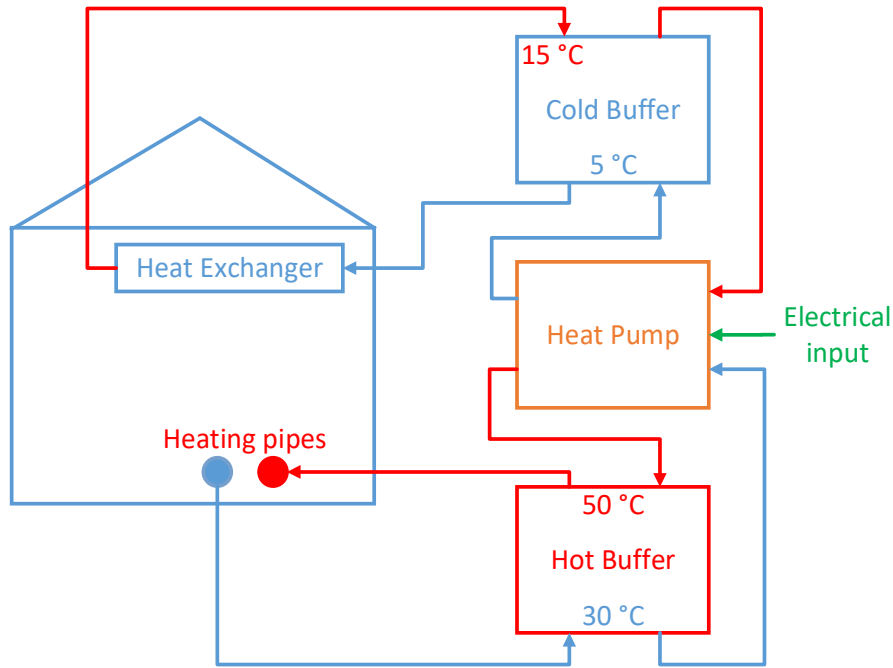
146 *Figure 1 Detail of the state variables (blocks), external climate input (circle), radiation and*  
 147 *convective heat fluxes (coloured arrows) of the greenhouse climate model, concerning the*  
 148 *supplemental lighting (HPS and LED). The fluxes are additional to those already reported by*  
 149 *Vanthoor (2011a, p 368). "T" represents the temperature of floor, air, thermal screen, canopy, cover*  
 150 *(inner side) and sky whereas "P" is the electrical inputs of the artificial lamps.*

## 151 2.2 The grow pipe

152 The grow pipe is handled within the model similarly to the primary ('pipe rail') heating system. However,  
 153 in view of the different configuration, the formula for calculating the heat exchange coefficient was slightly  
 154 different, as proposed by De Zwart (1996, p 86). On the other hand, the thermal radiation exchange was  
 155 split between the upper and lower hemispheres, depending on the amount of leaf area above and below  
 156 the pipe, respectively. In particular, the fraction of LAI above and below the 'grow' pipe determines the  
 157 view factor for its FIR radiation exchange with the canopy and all underlying greenhouse elements.

## 158 2.3 Heat harvesting and buffering

159 The excess heat is harvested through a heat exchanger and is stored as water of around 15 °C in a cold  
 160 water buffer. A heat pump increases the temperature of this water to around 50 °C before being stored in  
 161 a hot water buffer, for later use as a heating source. Figure 2 shows the overview of this subsystem.



162

163 *Figure 2 Overview of the system to harvest the excess energy in the greenhouse air. The system*  
 164 *consists of a heat exchanger, a cold water buffer, a heat pump and a hot water buffer. The*  
 165 *temperatures are shown to give an order of magnitude of the energy.*

166 The following assumptions are made regarding this sub-model:

- 167 - Two extra model states are added to the model: the energy content of the cold and hot water
- 168 buffers (MJ m<sup>-2</sup>)
- 169 - Constant temperatures for the minimum and maximum temperatures of both the cold and hot
- 170 buffer are used, with a constant coefficient of performance (COP) of both the heat exchanger and
- 171 the heat pump.

172 In principle the COPs of the heat exchanger and heat pump as well as the minimum and maximum  
 173 temperatures of both the cold and hot buffer should change slightly, based on temperature and humidity  
 174 variations. However as most applications of the model require full crop cycles to be simulated, the use of  
 175 average values for these variables seems reasonable.

## 176 3. Model description

### 177 3.1 State equations

178 To include the artificial lights, heat harvesting and secondary pipe heating, the following model states of  
 179 the greenhouse climate model are modified and added with respect to the greenhouse climate model of  
 180 Vanthoor et al. (2011a). The model calculates the variation in all state variables (temperature, vapour and  
 181 carbon dioxide content) of each element of the greenhouse system (canopy, cover, floor, etc) as follows  
 182 from the corresponding balance (energy, mass) equation. The variables in round brackets “( )” here below  
 183 are related to the incorporation of the artificial lights, the variables in square brackets “[ ]” are related to  
 184 the secondary grow pipe heating and the variables in curly brackets “{ }” are related to the harvesting of  
 185 excess energy from the greenhouse air. Remaining variables in Eqs. 2-9 were not changed with respect to  
 186 the original model and therefore not described in this study. The new model fluxes are described in the  
 187 following sections.

188 The temperature of the canopy,  $T_{can}$ , is described by:

$$189 \quad cap_{can} \dot{T}_{can} = (R_{PAR\_ghcan} + R_{NIR\_ghcan}) + R_{PipeCan} + [R_{GrowCan}] + (R_{HpsCan} + R_{LedCan})$$

190 
$$-H_{CanAir} - L_{CanAir} - R_{CanCov,in} - R_{CanFlr} - R_{CanSky} - R_{CanThScr}$$

191 
$$[W m^{-2}] \quad (2)$$

192 where  $R$ ,  $H$  and  $L$  indicate radiation, sensible heat and latent heat, respectively;  $cap_{can}$  is the thermal  
 193 capacity of the canopy, per unit greenhouse area [ $J K^{-1} m^{-2}$ ] and the suffix  $GhCan$  indicates solar radiation  
 194 transmitted by the structure and absorbed by the canopy.

195 The temperature of the air,  $T_{Air}$ , is described by:

196 
$$cap_{Air} \dot{T}_{Air} = H_{CanAir} + H_{PadAir} + H_{MechAir} + H_{PipeAir} + [H_{GrowAir}] + H_{PasAir} + H_{BlowAir} + (R_{Glob\_SunAir} + H_{HpsAir} + H_{LedAir})$$

197 
$$-H_{AirFlr} - H_{AirThScr} - H_{AirOut} - H_{AirTop} - H_{AirOutPad} - L_{AirFog}$$

198 
$$[W m^{-2}] \quad (3)$$

199 The contribution of sun radiation to air temperature is modified to take into account light interception by  
 200 the lamps, Eq(13). The suffixes  $PadAir$ ,  $MechAir$ ,  $BlowAir$  refer to outlet air of cooling pad, mechanical  
 201 cooling and direct air heater.

202 The temperature of the floor,  $T_{Flr}$ , is described by:

203 
$$cap_{Flr} \dot{T}_{Flr} = H_{AirFlr} + (R_{PAR\_ghFlr} + R_{NIR\_ghFlr}) + R_{CanFlr} + R_{PipeFlr} + [R_{GrowFlr}] + (R_{HpsFlr} + R_{LedFlr})$$

204 
$$-H_{FlrSo1} - R_{FlrCov,in} - R_{FlrSky} - R_{FlrThScr}$$

205 
$$[W m^{-2}] \quad (4)$$

206 The temperature of the thermal screen,  $T_{ThScr}$ , is described by:

207 
$$cap_{ThScr} \dot{T}_{ThScr} = H_{AirThScr} + L_{AirThScr} + R_{CanThScr} + R_{FlrThScr} + R_{PipeThScr} + [R_{GrowThScr}] + (R_{HpsThScr} + R_{LedThScr})$$

208 
$$-H_{ThScrTop} - R_{ThScrCov,in} - R_{ThScrSky}$$

209 
$$[W m^{-2}] \quad (5)$$

210 The temperature of the inner side of the cover,  $T_{Cov,in}$ , is described by:

211 
$$cap_{Cov,in} \dot{T}_{Cov,in} = H_{TopCov,in} + L_{TopCov,in} + R_{CanCov,in} + R_{FlrCov,in} + R_{PipeCov,in} + [R_{GrowCov,in}] + R_{ThScrCov,in}$$

212 
$$+ (R_{HpsCov,in} + R_{LedCov,in}) - H_{Cov,inCov,e}$$

213 
$$[W m^{-2}] \quad (6)$$

214 The temperature of the elements of either pipe heating system,  $T_{Pipe}$ , is described by:

215 
$$cap_{Pipe} \dot{T}_{Pipe} = H_{BoilPipe} + H_{IndPipe} + H_{GeoPipe} + \{H_{BufhotPipe}\} - R_{PipeSky} - R_{PipeCov} - R_{PipeCan} - R_{PipeFlr} - R_{PipeThScr}$$

216 
$$- H_{PipeAir}$$

217 
$$[W m^{-2}] \quad (7)$$

218 where all possible sources of energy are explicitly considered (boiler, industrial waste heat, geothermal  
 219 and the buffer), and the calculation of the relative energy flow is based on heating set-points and capacities  
 220 ( $cap_{Flr}$ ,  $cap_{ThScr}$  etc). The FIR fluxes are described using Stefan Boltzmann's equations as described in  
 221 Vanthoor (2011) and  $H_{PipeAir}$  is the convective heat exchange between the grow pipe and greenhouse air.

222 The energy content of the cold water buffer,  $E_{Bufcold}$  ( $MJ m^{-2}$ ), is described by:

223  $\{con_{Buf} \dot{E}_{Bufcold} = -H_{MechAir} + L_{AirMech} - H_{BufcoldHeatpump}\}$  [W m<sup>-2</sup>] (8)

224 Where  $con_{Buf}$  is the conversion from Joule to MegaJoule,  $H_{MechAir}$  and  $L_{AirMech}$  are respectively the sensible  
 225 and latent heat harvest by the heat exchangers (these fluxes are described by Vanthoor, 2011) and  
 226  $H_{BufcoldHeatpump}$  is the heat flux from the cold buffer to the heat pump.

227 The energy content of the hot water buffer,  $E_{Bufhot}$  (MJ m<sup>-2</sup>), is described by:

228  $\{con_{Buf} \dot{E}_{Bufhot} = H_{HeatpumpBufhot} - H_{Bufhotpipe}\}$  [W m<sup>-2</sup>] (9)

229 where  $H_{HeatpumpBufhot}$  is the heat flux from the heat pump to the hot water buffer.

## 230 3.2 Artificial lights

### 231 3.2.1 Global radiation fluxes

232  
 233 The amount of sunlight transmitted by the cover, that is absorbed by the construction elements below is  
 234 described by:

235  $R_{Glob\_SunAir} = \eta_{Glob\_Air} I_{Glob} (\tau_{Cov\_PAR} \eta_{Glob\_PAR} + \tau_{Cov\_NIR} \eta_{Glob\_NIR})$  [W m<sup>-2</sup>] (10)

236 where  $\eta_{Glob\_Air}$  is the fraction of incoming radiation that is absorbed by all greenhouse elements below the  
 237 cover, including the artificial lamps, described as follows:

238  $\eta_{Glob\_Air} = \eta_{Glob\_Constr} + \eta_{Glob\_Hps} + \eta_{Glob\_Led}$  [-] (11)

239 with:

240  $\eta_{Glob\_Hps} = \frac{A_{Hps}}{A_{Gh}}$  [-] (12)

241 with  $A_{Hps}$  the horizontal projection of the lamps. The variable  $A_{Led}$  is calculated in the same way. Hereby is  
 242 the fraction of sun radiation loss by reflection of the lamps armatures neglected. Parameter values are  
 243 described in section Appendix (Tables A1 and A2).

### 244 3.2.2 PAR fluxes

245  
 246 The PAR emitted by the HPS lamps above the canopy is calculated as follows:

247  $R_{PAR\_Hps} = \varepsilon_{Hps\_PAR} U_{Hps} P_{Hps}$  [W m<sup>-2</sup>] (13)

248 with  $P_{Hps}$  the electrical input of the lamps (W m<sup>-2</sup>);  $U_{Hps}$  is the control (0 or 1) of the HPS lamps and  $\varepsilon_{Hps\_PAR}$   
 249 (-) the fraction of electrical input that is converted to PAR light (W m<sup>-2</sup>), calculated as:

250  $\varepsilon_{Hps\_PAR} = \frac{n_{Hps}}{n_{Hps\_PAR}}$  [-] (14)

251 with  $n_{Hps}$  (μmol Joule<sup>-1</sup>) is the amount of μmol PAR per Joule electrical input and  $n_{Hps\_PAR}$  (μmol Joule<sup>-1</sup>) is  
 252 the amount of μmol PAR per Joule PAR output of the lamp. The emitted PAR by the LED lamps,  $R_{PAR\_Led}$ , is  
 253 described in the same way.

254 The PAR above the canopy, accounting for reduced transmission caused by the lamps, see Eq(13), is  
 255 described by:

256  $R_{PAR\_Gh} = (1 - \eta_{Glob\_Air}) \cdot \tau_{CovPAR} \cdot \eta_{GlobPAR} \cdot I_{Glob} + R_{PAR\_Hps} + R_{PAR\_Led}$  [W m<sup>-2</sup>] (15)

257 The total PAR absorbed by the canopy,  $R_{PAR\_GhCan}$ , is calculated according to the standard exponential model,  
 258 analogously to equations 25, 26 and 28 of the e-appendix of Vanthoor et al (2011a). The total PAR absorbed

259 by the floor,  $R_{PAR\_GhFlr}$ , is calculated analogously to equation 34 of the e-appendix of Vanthoor et al.  
 260 (2011a).

### 261 3.2.3 NIR fluxes

262  
 263 Similarly, the amount of NIR emitted by the HPS lamps is described by:

$$264 R_{NIR\_Hps} = \varepsilon_{Hps\_NIR} U_{Hps} P_{Hps} \quad [W\ m^{-2}] \quad (16)$$

265 With  $\varepsilon_{Hps\_NIR}$  the fraction of electrical input (-) that is converted to NIR light ( $W\ m^{-2}$ ).  $U_{Hps}$

266 Since LED lamps do not emit NIR light, the total NIR flux to the canopy and floor is described as follows.

$$267 R_{NIR\_GhCan} = (1 - \eta_{Glob\_Air}) \cdot a_{CanNIR} \cdot \eta_{Glob\_NIR} \cdot I_{Glob} + a_{CanNIR} \cdot R_{NIR\_Hps} \quad [W\ m^{-2}] \quad (17)$$

$$268 R_{NIR\_GhFlr} = (1 - \eta_{Glob\_Air}) \cdot a_{FlrNIR} \cdot \eta_{Glob\_NIR} \cdot I_{Glob} + a_{FlrNIR} \cdot R_{NIR\_Hps} \quad [W\ m^{-2}] \quad (18)$$

269 in which  $a_{CanNIR}$  and  $a_{FlrNIR}$  are the NIR absorption coefficients of the canopy and floor, respectively, which  
 270 are calculated by applying the standard exponential model to a multi-layer representation of the canopy  
 271 (see equations 14-17 of the e-appendix of Vanthoor et al., 2011a). Obviously, the resulting overall  
 272 absorption coefficients depend among others on the LAI of the canopy.

### 273 3.2.4 FIR heat fluxes

274  
 275 Since

$$276 P_{Led} = R_{PAR\_Led} + R_{NIR\_Led} + H_{LedAir} + R_{LedCan} + R_{LedFlr} + R_{LedThScr} + R_{LedCov,in} \quad [W\ m^{-2}] \quad (19)$$

277 the FIR emitted downwards by both top-lighting and inter-lighting is described by:

$$278 R_{Led\downarrow} = (P_{Led} - R_{PAR\_Led} - R_{NIR\_Led}) \cdot \eta_{Led\_FIR} \cdot \eta_{Led\_FIR\downarrow} \quad [W\ m^{-2}] \quad (20)$$

$$279 R_{LedCan\downarrow} = R_{Led\downarrow} \cdot (1 - e^{-K_{FIR} n_{Led\_LAI} LAI}) \quad [W\ m^{-2}] \quad (21)$$

$$280 R_{LedFlr} = R_{Led\downarrow} \cdot e^{-K_{FIR} n_{Led\_LAI} LAI} \quad [W\ m^{-2}] \quad (22)$$

281 with  $\eta_{Led\_FIR}$  is the fraction of the energy input not used to make PAR and NIR light, that is converted to  
 282 FIR exchange and  $\eta_{Led\_FIR\downarrow}$  is the fraction of the FIR that is exchanged downwards and  $n_{Led\_LAI}$  defines the  
 283 fraction (ranging from 0 to 1) of the LAI due to the leaves that are located below the LED lamps.  $K_{FIR}$  is  
 284 the canopy extinction coefficient for thermal (longwave) radiation.

285 Conversely, the FIR emitted upwards by both top-lighting and inter-lighting is described by:

$$286 R_{Led\uparrow} = (P_{Led} - R_{PAR\_Led} - R_{NIR\_Led}) \cdot \eta_{Led\_FIR} \cdot (1 - \eta_{Led\_FIR\downarrow}) \quad [W\ m^{-2}] \quad (23)$$

$$287 R_{LedCan\uparrow} = R_{Led\uparrow} \cdot (1 - e^{-K_{FIR} (1 - \eta_{Led\_LAI}) LAI}) \quad [W\ m^{-2}] \quad (24)$$

$$288 R_{LedThScr} = R_{Led\uparrow} \cdot e^{-K_{FIR} (1 - \eta_{Led\_LAI}) LAI} \cdot U_{ThScr} \cdot \varepsilon_{ThScrFIR} \quad [W\ m^{-2}] \quad (25)$$

289 where  $U_{ThScr}$  is the control of the thermal screen (contained between 0, folded and 1, fully unfolded),  $\varepsilon$   
 290 indicates the emissivity.

$$291 R_{LedCov,in} = R_{Led\uparrow} \cdot e^{-K_{FIR} (1 - \eta_{Led\_LAI}) LAI} \cdot \tau_{ThScrFIR}^U \cdot \varepsilon_{RfFIR} \quad [W\ m^{-2}] \quad (26)$$

$$292 R_{LedSky} = R_{Led\uparrow} \cdot e^{-K_{FIR} (1 - \eta_{Led\_LAI}) LAI} \cdot \tau_{ThScrFIR}^U \cdot \tau_{RfFIR} \quad [W\ m^{-2}] \quad (27)$$

293 with  $\tau_{ThScrFIR}^U$  and  $\tau_{RfFIR}$  the far infrared transmission coefficient for thermal screen and cover, respectively.  
 294 Finally, the overall FIR radiation to the canopy is described by:



295  $R_{LedCan} = R_{LedCan\downarrow} + R_{LedCan\uparrow}$  [W m<sup>-2</sup>] (28)

296 For the HPS lamps the equations 20 - 28 apply as well, but then with the restriction that  $\eta_{Hps\_LAI} = 1$  since  
297 the HPS lamps are located above the canopy.

### 298 3.2.5 Convective heat fluxes

299 The convective heat flux from the artificial lights to the greenhouse air is described by:

300  $H_{HpsAir} = (P_{Hps} - R_{PAR\_Hps} - R_{NIR\_Hps}) \cdot (1 - \eta_{Hps\_FIR})$  [W m<sup>-2</sup>] (29)

301 The convective heat flux for the LED lamps is described analogously, yet accounting for the absence of FIR  
302 emission.

## 303 3.3 Heat harvesting from greenhouse air

304 The heat flux from the cold buffer to the heat pump which is described by:

305  $H_{BufcoldHeatpump} = (COP_{Heatpump} - 1)U_{Heatpump}P_{Heatpump}$  [W m<sup>-2</sup>] (30)

306 where the  $COP_{Heatpump}$  of the heat pump is calculated based on the efficiency of the heat pump and on the  
307 temperature of the cold and warm side of the heat pump,  $U_{Heatpump}$  is the control of the heat pump (ranging  
308 from 0 to 1) which is calculated based on the filling percentage of the cold and hot water buffer. If the hot  
309 water buffer is not full and the cold water buffer is not empty then the heat pump is allowed to run.  
310  $P_{Heatpump}$  is the electrical consumption of the heat pump.

311 The heat flux from the heat pump to the hot water buffer is described by:

312  $H_{HeatpumpBufhot} = COP_{Heatpump}U_{Heatpump}P_{Heatpump}$  [W m<sup>-2</sup>] (31)

313 The heat flux from the hot water buffer to the heating pipes is described by:

314  $H_{Bufhotpipe} = U_{Bufhot}P_{Bufhot}$  [W m<sup>-2</sup>] (32)

315 Where  $P_{Bufhot}$  is the maximum heat flux that can flow from the hot water buffer to the heating pipes and  
316  $U_{Bufhot}$  (0 to 1) is the control of the heat flux from the hot water buffer to the heating pipes which is  
317 calculated based upon greenhouse heating set-points.

## 318 3.4 Secondary heating system, the grow pipe

319 The FIR fluxes related to the secondary grow heating pipe are described using Stefan Boltzmann's  
320 equations as described in Vanthoor (2011). The surface of the heating pipe is described by:

321  $A_{Grow} = \pi l_{Grow} \phi_{Grow,e}$  [m<sup>2</sup>] (33)

322 where  $l_{Grow}$  is the length of the grow pipes per square meter greenhouse and  $\phi_{Grow,e}$  is the external diameter  
323 of the grow pipe. It is assumed that the height of the grow pipe can vary but it must be above the roots  
324 and below the head of the crop. Similarly as done with inter-lighting, the height of the grow pipe is  
325 expressed by the fraction of LAI that is located below the grow pipe,  $n_{Grow\_LAI}$ . If  $n_{Grow\_LAI}$  equals 1, than the  
326 grow pipe is located above the canopy. The thermal radiation exchange of the grow pipe is allocated among  
327 the greenhouse elements according to their "view factors" as described in Table 1. The view factors are  
328 based on De Zwart (1996, pp 95-98). Obviously, the sum of all view factors is 1.

<b>Element</b>	<b>View factor from the grow pipe</b>
canopy	$F_{GrowCan} = 0.5(1 - e^{-K_{FIR}(1-\eta_{Grow\_LAI})LAI}) + 0.5(1 - e^{-K_{FIR}\eta_{Grow\_LAI}LAI})$
floor	$F_{GrowFlr} = 0.5e^{-K_{FIR}\eta_{Grow\_LAI}LAI}$

thermal  
screen

$$F_{GrowThScr} = U_{ThScr}(1 - \tau_{ThScrFIR})0.5e^{-K_{FIR}(1-\eta_{Grow,LAI})LAI}$$

cover

$$F_{GrowCov,in} = [(1 - U_{ThScr}) + U_{ThScr} \tau_{ThScr}](1 - \tau_{Cov})0.5e^{-K_{FIR}(1-\eta_{Grow,LAI})LAI}$$

sky

$$F_{GrowSky} = [(1 - U_{ThScr}) + U_{ThScr} \tau_{ThScr}] \tau_{Cov} 0.5e^{-K_{FIR}(1-\eta_{Grow,LAI})LAI}$$

329 *Table 1* The view factors, indicated by  $F_{FromTo}$ , from the grow pipe to the relevant greenhouse  
330 elements, used to allocate the FIR exchange among them.

331 The convective heat release from the grow pipe is calculated based on De Zwart (1996):

$$332 H_{GrowAir} = 1.28\pi\phi_{Grow}e^{0.75} l_{Grow}(T_{Grow} - T_{Air})^{1.25} \quad [W m^2] \quad (34)$$

333

## 334 4. Model validation

335

336 The model equations were solved with a moderately stiff ODE solver (ode23t) of Matlab (Release 16b; The  
337 MathWorks Inc., Natick, MA, USA).

338

339 First of all, the performance of the crop yield model, under two different configurations of supplementary  
340 lighting, was independently evaluated with measured tomato yield obtained in two greenhouse  
341 compartments ("NIBIO Saerheim") in Klepp (Rogaland county, Norway, 58° 46' N, 05° 39' E). Canopy  
342 temperature together with hourly greenhouse variables such as outside global radiation, PAR radiation  
343 from artificial lamps, CO<sub>2</sub> air concentration, were used as model inputs, to test its accuracy in simulating  
344 measured yield under different light sources. The details on crop cycle, lighting systems and model inputs  
345 are given in paragraph 4.1.

346

347 The combined greenhouse climate-crop yield model was validated with a dataset from a commercial  
348 greenhouse with supplementary lighting, located in a marine temperate region in Norway (Orre, Rogaland  
349 county, 58° 42' N, 05° 31' E). Measured data of outdoor climate, settings of control valves and temperature  
350 of both rail and "grow" pipe systems, were used as model inputs. The details on greenhouse design are  
351 given in paragraph 4.2.1 The accuracy of the model was tested with respect to prediction of greenhouse  
352 air temperature (during three preselected winter, spring and summer periods), and crop yield along the  
353 growing cycle. Model performance was quantitatively evaluated using the Relative Root Mean Square Error  
354 (RRMSE) as described by Kobayashi and Salam (2000).

355

### 356 4.1 Crop yield model

357 In order to check the performance of the crop yield model alone with respect to supplementary lighting,  
358 the temperature of the crop should be known. The closest available data derived from an experiment in  
359 which leaf temperature had been incidentally measured. Thus, leaf temperature measurements taken  
360 during 10 days along the cropping cycle were used to calculate leaf-to-air temperature differences under  
361 light (sun and/or lamp radiation sources) and dark conditions. Canopy temperature during the whole  
362 cropping cycle were estimated from those differences.

363 A tomato crop (cv. Dometica) was grown in two compartments, both with HPS lamps and one of the two  
364 with additional LED inter-lighting, two rows of LEDs between plants at a height above ground of 1.50 m  
365 and 1.85 m, respectively. The HPS lamps were used in both compartments for a maximum of 15 h day<sup>-1</sup>  
366 (during the first 6 weeks) and 18 h day<sup>-1</sup> (from week 7 until harvest) and were switched off when outside  
367 global radiation was higher than 250 W m<sup>-2</sup>. LED inter-lighting started when total plant height exceeded  
368 about 2.75 m, and the lamps were used for a maximum of 15h day<sup>-1</sup> (week 5 to 7) and 18h day<sup>-1</sup> (from  
369 week 7 until harvest).

370 The crop was grown in a summer cycle (transplanted on May, 4<sup>th</sup> 2017 with a density of 4.5 plants m<sup>-2</sup>).  
371 The 24 hour mean canopy temperature was maintained between 21-24 ° C (in the compartment with HPS)  
372 and 21-24.5 ° C (in the compartment with HPS and LED). The crop cycle lasted 98 days, with final harvest

373 carried out on August, 10<sup>th</sup> 2017. Table 2 reports an overview of the data that were needed to run the  
 374 model for the validation study at the experimental greenhouse at NIBIO in Klepp.  
 375

	HPS Klepp	HPS/LED Klepp
<b>Location</b>		
Latitude	58 ° 46' N	
Longitude	05° 39' E	
Elevation (m a.s.l.)	102	
Average daily global outside radiation during the experiment ( $MJ m^{-2} day^{-1}$ )	14.8	
<b>Crop production data</b>		
Start growing cycle	04-05-2017	
End growing cycle	10-08-2017	
Cultivar	Dometica	
Greenhouse transmission	60% (56% below lamps)	60% (56% below lamps)
Installed power HPS ( $W m^{-2}$ )	286	286
Efficiency HPS ( $\mu mol PAR J^{-1}$ )	1.22	1.22
Installed power LED ( $W m^{-2}$ )	-	70
Efficiency LED ( $\mu mol PAR J^{-1}$ )	-	2.3
LAI <sup>Max</sup>	4.45	4.35
SLA ( $cm^2 g^{-1}\{DM\}$ )	313	319
Dry matter content fruit DM(%)	5.99	6.15
<b>Initial conditions</b>		
Start simulation	04-05-2017	04-05-2017
$T_{CanSum0}$ (°C)	650	650
LAI <sub>0</sub> ( $m^2 m^{-2}$ )	0.3	0.3
$C_{Leaf0}$ ( $mg \{CH_2O\} m^{-2}$ )	$9.6 \times 10^3$	$9.4 \times 10^3$
$C_{Stem0}$ ( $mg \{CH_2O\} m^{-2}$ )	$9.6 \times 10^3$	$9.4 \times 10^3$
<b>Indoor climate</b>		
Mean canopy temperature (°C)	22.5 (3.3)	22.9 (3.5)
Mean CO <sub>2</sub> concentration at daylight (ppm)	682 (209)	610 (186)

376 *Table 2 Greenhouse, crop and climate for the experimental greenhouse at NIBIO in Klepp.*  
 377 *Greenhouse data transmissivity was measured, the characteristics of the lighting systems were*  
 378 *nominal, as provided by the manufacturer, crop data were measured and climate data were*  
 379 *downloaded from the climate control computer, connected to a weather station outside and ventilated*  
 380 *sensors inside.*  
 381

382 Small plants were transplanted with the first truss flowering. Measured leaf area, plant density and leaf  
 383 dry matter were used to determine initial leaf carbohydrates ( $C_{leaf0}$ ) and the initial stem and root  
 384 carbohydrates were set equal to  $C_{leaf0}$ . Leaf area and leaf dry matter were measured in both compartments  
 385 almost twice a week, from June 25 till the last harvest. The measurements were taken on sample plants  
 386 on the first fully developed leaf and on the oldest leaf at harvest time. SLA (Specific Leaf Area) was  
 387 calculated as ratio between the measured leaf area and leaf DM (Dry matter). Results show that SLA was  
 388 constant during the whole experiment (so an average value was taken). Climate conditions prior to  
 389 transplanting were not recorded, therefore the temperature sum at transplanting (a model input, indicator

390 of “plant age”) was estimated in order to match simulated and measured first fruit set. Dry matter content  
 391 of the fruits at harvest was determined.

## 392 4.2 Combined greenhouse climate-crop yield model

### 393 4.2.1 Greenhouse design

394 The greenhouse compartment used for validation had an area of 5760 m<sup>2</sup> and clear glass as covering  
 395 material. It was equipped with pipe rail and secondary ‘grow pipe’ heating systems, CO<sub>2</sub> enrichment, HPS  
 396 lighting, movable thermal screen and natural ventilation (with alternate roof vents both sides, projected  
 397 opening area 15% of floor area). Air temperature was controlled between 19-24 ° C. Tomatoes (cv.  
 398 *Dometica*) were grown in a sequential intercropping system with 3 plantings over the years 2015 and 2016  
 399 (details in Table 3).

400 The supplementary lighting was 600W HPS lamps (MASTER Green Power CG, Philips, The Netherlands),  
 401 with an installation capacity of 0.386 lamps m<sup>-2</sup> and electrical capacity of 230 W m<sup>-2</sup>. Since half of the  
 402 lamps were replaced during the period under investigation, with more efficient ones (1.8 μmol J<sup>-1</sup> nominal)  
 403 an “average” efficiency value of 1.4 μmol J<sup>-1</sup> was used for the whole period. The dimensions of each lamp,  
 404 including reflector and housing, were 68 x 22 x 20 cm (length x width x height). Thus, the surface ( $A_{Hps}$ )  
 405 of HPS lamps that lowers the incoming amount of PAR and NIR was calculated as 6 % of the floor area.  
 406 The light transmission measured above and below lamps was 68% and 63%, respectively. Artificial light  
 407 was used for a maximum of 18 hours day<sup>-1</sup> (from 4 am to 10 pm) during the entire growing cycle, unless  
 408 solar radiation exceeded 250 W m<sup>-2</sup>.

### 409 4.2.2 Climate data collection and model inputs

410 The outdoor ( $I_{glob}$ ,  $T_{out}$ ,  $RH_{out}$ ,  $V_{wind}$ ) and indoor ( $T_{air}$ ,  $RH_{air}$ ,  $CO_{2air}$ ) climate data of the commercial greenhouse  
 411 in Orre were downloaded from the central climate process computer. The vapour pressure of the  
 412 greenhouse air ( $VP_{air}$ ) and outside air ( $VP_{out}$ ) were calculated from air temperature and relative humidity.  
 413 The outside CO<sub>2</sub> air concentration was not measured thus assumed to be constant at 390 ppm. The outer  
 414 soil temperature and the sky temperature were estimated as described by the equations 77 and 78 of the  
 415 e-appendix of Vanthoor et al. (2011a).

416 Hourly data of outdoor climate and operation of control valves were used as model inputs. However, as  
 417 there was no information on the supply rate of CO<sub>2</sub> in the greenhouse nor on the energy flow to the heating  
 418 system, measured values of CO<sub>2</sub> greenhouse air concentration ( $CO_{2air}$ ) and temperature of the heating  
 419 pipes were input into the model. As neither crop initial conditions (nor fruit dry matter content) were  
 420 known, they were set as reported in Table 2. An overview of data needed to run the model for the  
 421 greenhouse compartment with the use of supplementary light is presented in the Table 3. The validation  
 422 of yield was performed analogously to the experimental greenhouse in Klepp, as explained in paragraph  
 423 4.1, for the second crop cycle.

	HPS Orre
<b>Crop production data</b>	
Cultivar	Dometica
Start growing cycle	Week 34 (2015)**
End growing cycle	Week 23 (2017)
LAI <sup>Max</sup>	3
Start simulation	Week 15 (2016)
End simulation	Week 41 (2016)
<b>Climate</b>	
Daily global outside radiation ( $MJ m^{-2} day^{-1}$ )	8.8
Mean CO <sub>2</sub> concentration at daylight ( <i>ppm</i> )	1289 (595)

424 \*\* Inter-planting system:

425 1<sup>st</sup> planting: week 34 2015 (17-23 Aug), last harvest week 23 2016 (6-12 Jun)

426 2<sup>nd</sup> planting: week 15 (11-17 April) 2016, last harvest week 41 2016 (10-16 Oct)

427 3<sup>rd</sup> planting: week 34 (22-28 Aug) 2016, last harvest week 23 2017 (5-11 Jun)

428 *Table 3. Crop production data and average indoor climate conditions for the commercial*  
429 *greenhouse in Orre.*

## 430 5. Results

### 431 5.1 Crop yield model

432 The model accurately predicted the measured tomato yield in the experimental greenhouse in Klepp, with  
433 a final production difference of 0.7% (in the compartment equipped with HPS lamps only) and 2.0% (in  
434 the compartment with hybrid system of HPS and LED inter light). Measured values and simulated values  
435 were 23.51 and 23.34 kg m<sup>-2</sup> in the first compartment and 23.73 and 23.25 kg m<sup>-2</sup> in the second one  
436 (Figures 4a and 4b).

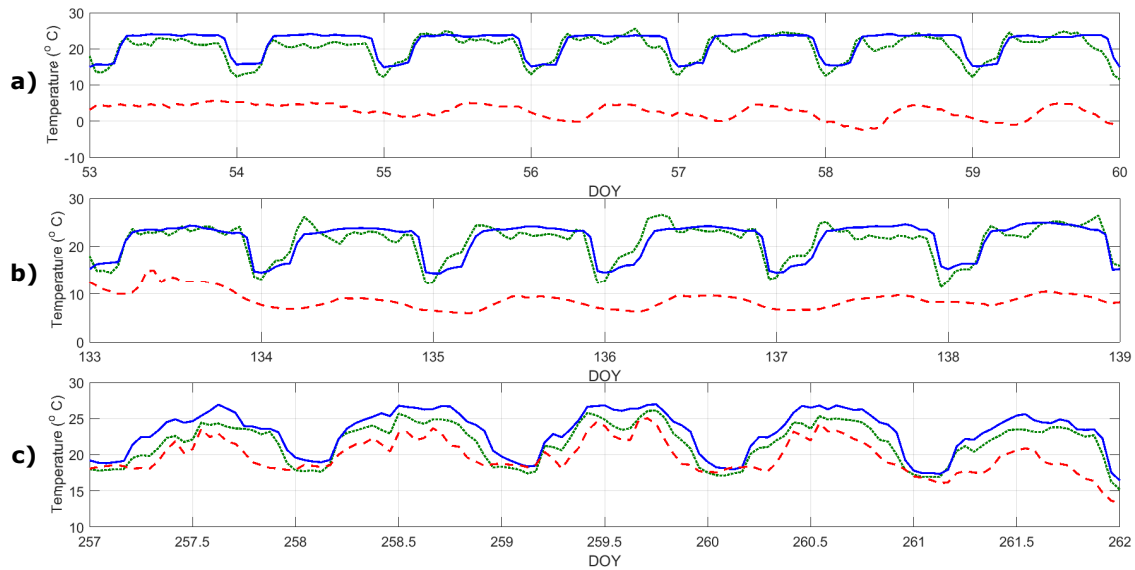
### 437 5.2 Combined greenhouse climate-crop yield model

438 The performance of the model in calculating air temperature was analysed for three periods winter, spring  
439 and summer), whose average climate conditions are reported in Table 4. As inter-planting ensured the  
440 presence of a mature crop throughout the validation periods, LAI was assumed to be constant at 3.

<b>Location and type of light source</b>	<b>DOY</b>	<b>Iglob_sum</b> MJ m <sup>-2</sup> d <sup>-1</sup>	<b>Tout</b> °C	<b>Vpout</b> kPa	<b>RHout</b> %	<b>Vspeed</b> m s <sup>-1</sup>	<b>RRMSE</b> Tair [%]
Orre, HPS	53-60	6.1 (1.6)	2.7 (2.0)	0.6 (0.1)	86.1 (7.0)	3.3 (2.0)	9.6
Orre, HPS	133- 139	23.5 (1.4)	8.8 (1.8)	0.8 (0.1)	72.0 (10.2)	4.5 (2.5)	8.8
Orre, HPS	257- 262	11.4 (1.6)	19.8 (2.4)	1.6 (0.2)	69.4 (8.9)	2.8 (1.8)	7.1

441 *Table 4. Average conditions (standard deviations within brackets) for the three validation periods,*  
442 *as determined by the weather station connected to the climate control computer of the commercial*  
443 *greenhouse. DOY is Day Of Year. The rightmost column is the Relative Root Mean Square Error*  
444 *(RRMSE %) of the calculated vs measured air temperature for the period*

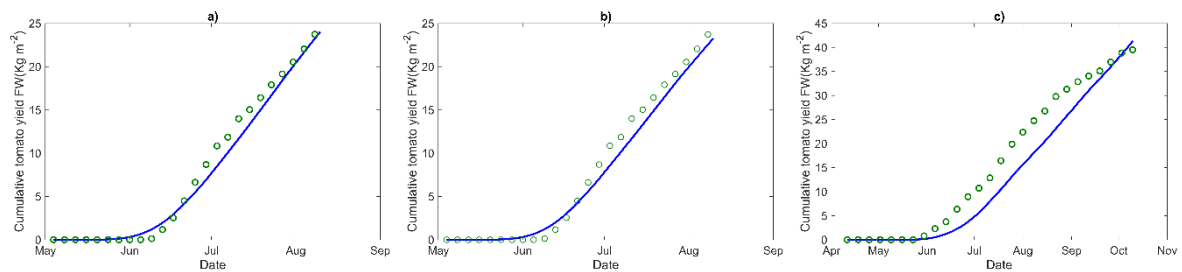
445 The values of RRMSE, calculated with a time interval of 1 hour, describe the model's predictive accuracy.  
446 They resulted lower than 10% in all the periods under investigation (Table 4). The performance of the  
447 model in predicting greenhouse air temperature during winter (Figure 3a), spring (Figure 3b) and summer  
448 (Figure 3c) is indeed reasonably good. The model performance calculated by regressing simulated values  
449 on measured ones, over the whole growing period, shows an underestimation trend of the greenhouse air  
450 temperature around 4%.



451

452 *Figure 3 Validation of greenhouse air temperature. Temperature (a, b, c) of outside air (dashed red*  
 453 *line), measured air (solid blue line), simulated air (dotted green line) during winter (DOY 53-60),*  
 454 *spring (DOY 133-139) and summer period (DOY 257-262) in the Orre greenhouse.*

455 The model slightly overestimated (4.3%) the measured tomato yield obtained during the second-planting  
 456 cycle (week 15 - 41 of 2016). Simulated and measured values were 41.29 and 39.50 kg m<sup>-2</sup>, respectively  
 457 (Figure 4c). Considering that there has been no calibration of the initial crop conditions, this is deemed  
 458 good enough.



459

460 *Figure 4 Validation of crop yield model. Simulated (solid line) and measured (dotted line) tomato*  
 461 *yield (kg m<sup>-2</sup>, fresh weight) at the experimental greenhouse in Klepp, equipped with HPS (a) and*  
 462 *HPS and LED inter-lighting (b). In figure (c) the simulation is based on crop temperature calculated*  
 463 *by the model and refers to the second crop cycle of the commercial Orre greenhouse.*

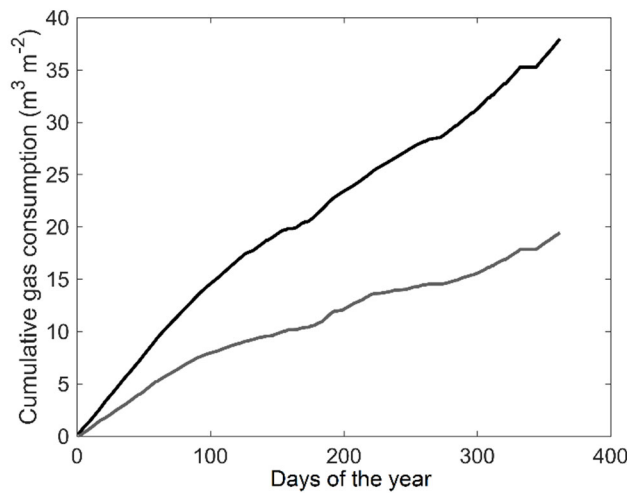
## 464 6. Heat harvest: scenario calculation

465 As said above, the heat harvesting sub-model could not be validated. Nevertheless, as it was based on  
 466 well-known, textbook equations (Stanghellini, van 't Ooster, Heuvelink, 2019) the model was deemed  
 467 suitable to compute the maximum amount of harvestable heat which could have contributed to fulfil the  
 468 actual heating requirement of the Orre greenhouse. For this purpose, the scenario included a heat pump  
 469 capacity of 50 W m<sup>-2</sup> and hot and cold buffer volumes of 0.01 m<sup>3</sup> m<sup>-2</sup>. The results show that the total  
 470 energy delivered by the primary and secondary pipes was 1.2 GJ m<sup>-2</sup> (corresponding to a heat usage of  
 471 3.1 kWh kg<sup>-1</sup> of product), whereas the maximum amount of harvestable heat was 0.6 GJ m<sup>-2</sup>. For this  
 472 scenario, it means that around 50% of the heating requirement could be met by the sensible and latent  
 473 heat harvested from the greenhouse air while lighting. A total of 37.9 m<sup>3</sup> m<sup>-2</sup> of natural gas was consumed  
 474 for heating purposes during the year-round production and 18.5 m<sup>3</sup> m<sup>-2</sup> would have been saved if harvesting

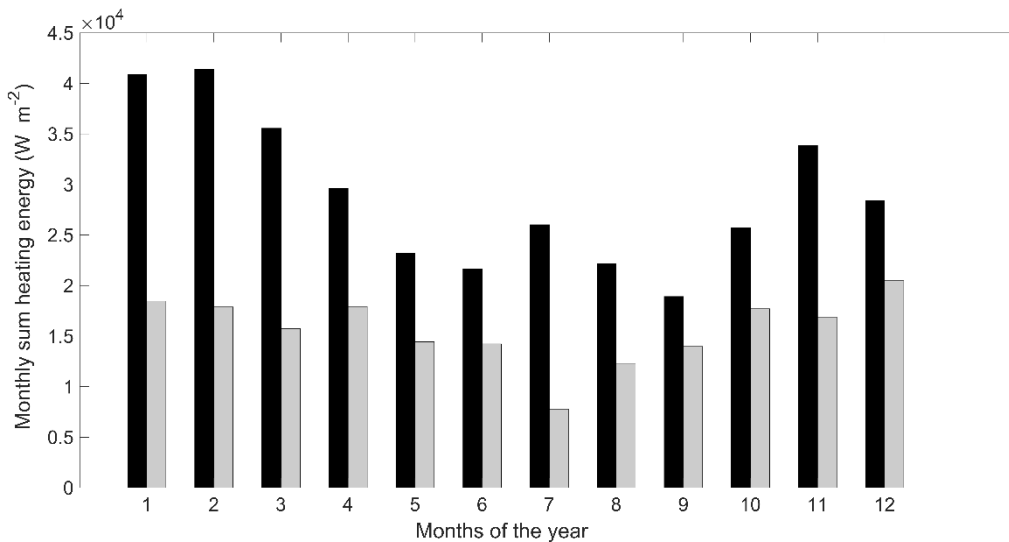
475 technology were implemented (net calorific value of natural gas used for calculation is 31.65 MJ m<sup>-3</sup>),  
 476 Figure 5.

477 Figure 6, shows the monthly values of heating requirement and harvestable heat. As even in summer the heating  
 478 requirement exceeds harvestable heat, there seems to be no use for a long-term, seasonal energy  
 479 storage. This is confirmed by Figure 7, showing the daily residual heating requirement. As there is hardly  
 480 ever an excess of energy, a short-term, even daytime, storage buffer would seem to be an option, which  
 481 imply a relatively small investment. On the other hand, the figures show the limit of the energy that can  
 482 be recovered and make thus very explicit the need for a better insulation to reduce the heating  
 483 requirement, in view of the growing pressure on reducing burning of fossil fuels.

484

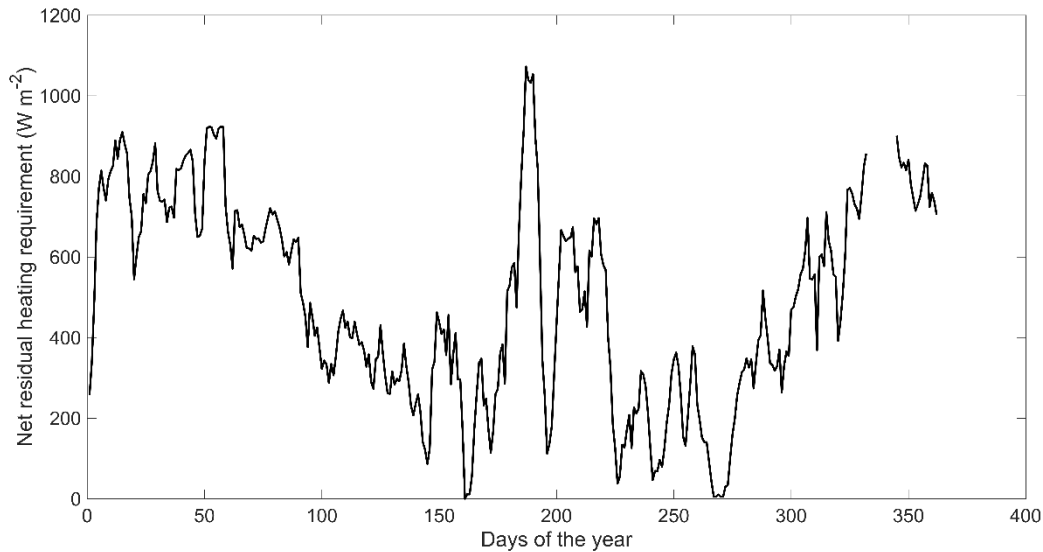


485  
 486 *Figure 5 Cumulative gas consumption (m<sup>3</sup> m<sup>-2</sup> equivalent) in scenarios without (black line) and*  
 487 *with heat harvesting (grey line), calculated for the commercial greenhouse in Orre.*



488  
 489 *Figure 6 Monthly overview of pipe heating requirement (black bars) and amount of energy potentially*  
 490 *harvestable from the greenhouse air (grey bars) at the Orre greenhouse.*

491



492

493 *Figure 7 Daily net residual heating requirement after having harvested sensible and latent heat from*  
 494 *the greenhouse air. The gap (DOY 333-344) is due to missing values of heating pipe energy in the*  
 495 *Orre greenhouse dataset.*

## 496 7. Discussion

497 Greenhouse crop production in Norway, as in other countries at moderate-to-high latitudes, is often limited  
 498 by low levels of natural light, especially during wintertime. The use of supplementary assimilation light  
 499 ensures production year round and constitutes an additional control over the quantity and quality of  
 500 harvested products (Heuvelink et al., 2005; Marcelis et al., 2005) when crop management is optimal and  
 501 there are no other limiting factors (e.g. temperature, CO<sub>2</sub>, relative humidity) (Hemming, 2011). High  
 502 lighting installation capacities are commonly used in Norwegian conditions and have a considerable effect  
 503 on the overall greenhouse energy budget, in addition to their effect on crop growth and development (De  
 504 Zwart, 1996). Nevertheless, all growers have to match economic feasibility of their business with [local]  
 505 legislation and social pressures.

506 In this study, an existing, validated greenhouse climate-crop yield model has been extended to account  
 507 for additional climate control equipment, particularly relevant for high-tech greenhouses, in view of the  
 508 need for lowering use of non-renewable energy sources. After confirming its accuracy, it is shown that it  
 509 can be used to assess the effect of structural or technological improvements to the greenhouse  
 510 configuration on yield and resource requirement. The validation results show that the model is able to  
 511 consider the impact of different light sources and their position, as well as of the additional, within-crop,  
 512 heating pipe on the greenhouse air temperature and tomato yield.

513 In implementing any structural or technological improvements to the greenhouse configuration, growers  
 514 face new environmental challenges and societal pressures, which require attention to resource type and  
 515 use. At the same time they have to consider the economic feasibility of the system and comply with local  
 516 legislation. Performing “what if” scenarios including different choices related to greenhouse design and  
 517 management gives the opportunity to evaluate beforehand their consequences on productivity, profitability  
 518 and resource use. This can be of primary importance to assist decision-making on a farm-scale, where  
 519 entrepreneurs encounter the risks associated to the unpredictable profitability of the investments. The  
 520 outcomes and the obtained knowledge can be used by financial institutions and policy makers to orient  
 521 subsidy programs and research plans, steering the focus toward specific strategies. Should the focus be,  
 522 for example, on a shift from seasonal to year-round production in illuminated greenhouses?

523 In Norway, full-year production of cucumber and tomato with the use of artificial light already showed a  
 524 yield increase of 3-4 times (compared to unlighted production) along with a reduction of 40% in the



525 consumption of fossil energy (<http://www.gartnerforbundet.no/hvorfor-du-bor-velge-trondersk-agurk/>).  
526 Indeed, the application of light is favoured by a relatively long winter season and the system can be fed  
527 by green electricity coming from several renewable sources available in the country (e.g. hydropower, wind  
528 power) (Verheul et al., 2012). Other options encompassing a more efficient use of energy (e.g. by shifting  
529 from HPS to LED lighting system) or a general reduction of energy use by means of technical improvements  
530 (e.g. better greenhouse insulation by efficiently combining covering materials and thermal screens) can be  
531 also evaluated. Recently, new technologies moving towards a more closed-greenhouse concept have been  
532 developed to reduce energy and CO<sub>2</sub> emissions in Norway (Verheul & Thorsen, 2010). In fact, the energy  
533 costs for temperature control, dehumidification, artificial illumination and CO<sub>2</sub> supply constitute the largest  
534 part of total production costs (Dieleman & Hemming, 2011). In Norway they account for 44% of tomato  
535 production value (and 95% of CO<sub>2</sub> emissions) (Verheul & Thorsen, 2010) whereas in the Netherlands they  
536 are 23% (this value refers to greenhouse with artificial light and use of CHP, Vermeulen, 2016). In Canada,  
537 costs for heating range between 10-35% of the total production costs depending on different factors  
538 (Ahamed *et al.*, 2019b).

539 Greenhouse production at northern latitudes is subject to seasonal variation, experiencing an excess of  
540 solar radiation during summer period and high heating demand during cold winter period. The use of heat  
541 pump coupled with short-term or long-term storage buffers and heat exchangers allow to harvest and  
542 store daily or seasonal surplus heat (otherwise discharged by ventilation) to compensate periods of higher  
543 heat demand (night or winter) (De Zwart, 2009; Stanghellini et al, 2019). Indeed, heat excess and  
544 [possibly] radiative energy input from lighting system can considerably lower the conventional gas heating  
545 inputs. The heat contribution depends on different factors such as lamps' type (efficiency and radiation  
546 output), light levels and photoperiod, ventilation set points, external climate and greenhouse energy  
547 efficiency (Brault, Gueymard, Boily, Gosselin, 1989; Ahmed et al., 2019a). In Canada, Brault et al. (1989)  
548 estimated a heat contribution between 25-41% for a double polyethylene greenhouse and Ahmed et al.  
549 (2019a) reported a contribution of 38% to the total heat requirement from HPS lamps (100 W m<sup>-2</sup>, 8-hour  
550 photoperiod) at the winter solstice. By increasing the efficiency of the lighting system (e.g. LEDs rather  
551 than HPS) and with equal PAR light level supplied, it is expected that the amount of excessive heat and  
552 thus the subsequent heat recovery diminishes. In fact, the crop under such a system may require more  
553 thermal energy and, if heat (and ventilation) setpoints are adjusted accordingly to maintain the desired  
554 crop temperature (Dueck et al., 2012), this should produce less energy surplus. A scenario with a system  
555 to harvest heat excess will also reduce the need for ventilation through both cooling and dehumidification.  
556 The heat removal from the greenhouse air (and the recovery of the associated energy) can be performed,  
557 for example, by means of a heat pump that regulates the surface temperature of the heat exchanger  
558 (Kempkes et al., 2017b). In general, the reduction of ventilation will make it possible to increase and  
559 optimise the CO<sub>2</sub> concentration in the greenhouse in relation to the lighting and thus increase yield and  
560 energy efficiency. It is shown that a model, as described here, can help in estimating beforehand also the  
561 effect of such climate management choices.

## 562 8. Conclusion

563 This study describes the modifications performed on the greenhouse climate model developed by Vanthoor  
564 et al., (2011a) to incorporate supplementary light and secondary "grow pipe" heating system as new design  
565 elements. The validation, carried out for both an experimental and a commercial greenhouse in Norway,  
566 show that the model is able to predict the effect of the new design elements on greenhouse air temperature  
567 and crop yield under various conditions with an accuracy well below 10%. Hence, the greenhouse climate-  
568 yield model modified and presented in this study, is reliable enough to predict the result on yield and  
569 resource requirement of "what if" scenarios.

570 In particular it has been shown that harvesting excess heat in lighted greenhouses, coupled to increased  
571 insulation, could lower significantly the heating requirement of Norwegian (and other high-latitude)  
572 greenhouses, which is presently fulfilled by burning fossil fuels. It has been also illustrated that a relatively  
573 short-term storage could suffice, although it must be pointed out that this specific part of the model could  
574 not be validated.

575 When this knowledge is combined with economic parameters (as in Vanthoor et al., 2012), the overall  
 576 modelling approach can assist decision-making on greenhouse configuration by quantifying the impact of  
 577 adapting the greenhouse design technology to productivity and resource use.

## 578 Acknowledgement

579 This study is a part of the research project 'Biofresh', which is financially supported by the Bionær program  
 580 of the Research Council of Norway, project number 255613/ E50.

## 581 Appendix: input parameters of the model

582

Design parameter value	Symbol	Value	Unit	Reference
Efficiency of HPS lights expressed in $\mu\text{mol}$ PAR output per electrical Joule input	$\eta_{Hps}$	1.4	$\mu\text{mol}$ PAR/Joule electrical input	Assumed
Efficiency of LED lights expressed in $\mu\text{mol}$ PAR output per electrical Joule input	$\eta_{LED}$	2.3	$\mu\text{mol}$ PAR/Joule electrical input	Manufacturer
The fraction of electrical input ( $\text{W}/\text{m}^2$ ) that is converted to PAR light ( $\text{W}/\text{m}^2$ ) for a HPS lamp	$\epsilon_{Hps\_PAR}$	-	-	Calculated based on $\epsilon_{Sont}$ and $n_{Sont\_PAR}$
The fraction of electrical input ( $\text{W}/\text{m}^2$ ) that is converted to PAR light ( $\text{W}/\text{m}^2$ ) for a LED lamp	$\epsilon_{Led\_PAR}$	-	-	Calculated based on $\epsilon_{Led}$ and $n_{Led\_PAR}$
The fraction of leaves that are located below the LED lamps. Value depends on location of the lamps.	$\eta_{Led\_LAI}$	0.5	-	Assumed
With $A_{Sont}$ is the surface of the SONT-T lamps that lowers the incoming amount of PAR and NIR light.	$A_{Sont}$	0.06	-	Measured
With $A_{Led}$ is the surface of the LED lamps that lowers the incoming amount of PAR and NIR light.	$A_{Led}$	0.06	-	Measured

583 *Table A1. List of lamp design parameter and symbols. HPS lamps were used both in the*  
 584 *experimental (Klepp) and commercial (Orre) greenhouse, LED lamps were used for inter-lighting in*  
 585 *the experimental greenhouse.*

Fixed model parameters	Symbol	Value	Unit	Reference
The amount of $\mu\text{mol}$ PAR per Joule PAR output of the HPS lamp	$\eta_{Hps\_PAR}$	4.95	$\mu\text{mol}$ Joule <sup>-1</sup>	Plant Dynamics
The amount of $\mu\text{mol}$ PAR per Joule PAR output of the LED lamp	$\eta_{LED\_PAR}$	4.6	$\mu\text{mol}$ Joule <sup>-1</sup>	Philips
Ratio of the energy input of the HPS lamp not used to make PAR light that is converted to FIR exchange	$\eta_{Hps\_FIR}$	0.83	-	Philips
Ratio of the energy input of the LED lamp not used to make PAR light that is converted to FIR exchange	$\eta_{Led\_FIR}$	0.30	-	Philips
Ratio of the FIR exchange that is emitted downwards.	$\eta_{Hps\_FIR\downarrow}$	0.60	-	Assumed
Ratio of the FIR exchange that is emitted downwards.	$\eta_{Led\_FIR\downarrow}$	0.50	-	Philips

The fraction of electrical input (W/m <sup>2</sup> ) that is converted to NIR light (W/m <sup>2</sup> ) for a HPS lamp	$\varepsilon_{Sont\_NIR}$	0.15		Measured
--	---------------------------	------	--	----------

586 *Table A2. List of fixed model parameters and symbols concerning the artificial light.*

## 587 Figure captions

588 **Figure 1** Detail of the state variables (blocks), external climate input (circle), radiation and convective  
589 heat fluxes (coloured arrows) of the greenhouse climate model, concerning the supplemental lighting (HPS  
590 and LED). The fluxes are additional to those already reported by Vanthoor (2011a, p 368). "T" represents  
591 the temperature of floor, air, thermal screen, canopy, cover and sky whereas "P" is the electrical inputs of  
592 the artificial lamps.

593 **Figure 2** Overview of the system to harvest the excess energy in the greenhouse air. The system  
594 consists of a heat exchanger, a cold water buffer, a heat pump and a hot water buffer. The temperatures  
595 are shown to give an order of magnitude of the energy.

596 **Figure 3** Validation of greenhouse air temperature. Temperature (a, b, c) of outside air (dashed red line),  
597 measured air (solid blue line), simulated air (dotted green line) during winter (DOY 53-60), spring (DOY  
598 133-139) and summer period (DOY 257-262) in the Orre greenhouse.

599 **Figure 4** Validation of crop yield model. Simulated (solid line) and measured (dotted line) tomato yield  
600 (kg m<sup>-2</sup>, fresh weight) at the experimental greenhouse in Klepp, equipped with HPS (a) and HPS and LED  
601 inter-lighting (b). In figure (c) the simulation is based on crop temperature calculated by the model and  
602 refers to the second crop cycle of the commercial Orre greenhouse.

603 **Figure 5** Cumulative gas consumption (m<sup>3</sup> m<sup>-2</sup> equivalent) in scenarios without (black line) and with heat  
604 harvesting (grey line), calculated for the commercial greenhouse in Orre.

605 **Figure 6** Monthly overview of pipe heating requirement (black bars) and amount of energy potentially  
606 harvestable from the greenhouse air (grey bars) at the Orre greenhouse.

607 **Figure 7** Daily net residual heating requirement after having harvested sensible and latent heat from the  
608 greenhouse air. The gap (DOY 333-344) is due to missing values of heating pipe energy in the Orre  
609 greenhouse dataset.

610

## 611 References

612

- 613
- 614 • Ahamed, M. S., Guo, H., & Tanino, K. (2019a). Energy saving techniques for reducing the heating  
615 cost of conventional greenhouses. *Biosystems Engineering*, 178, 9-33. doi:  
616 <https://doi.org/10.1016/j.biosystemseng.2018.10.017>
  - 617 • Ahamed, M. S., Guo, H., Taylor, L., & Tanino, K. (2019b). Heating demand and economic feasibility  
618 analysis for year-round vegetable production in Canadian Prairies greenhouses. *Information*  
619 *processing in agriculture*, 6(1), 81-90. doi: <https://doi.org/10.1016/j.inpa.2018.08.005>
  - 620 • Brault, D., Gueymard, C., Boily, R. & Gosselin, A. (1989). Contribution of HPS lighting to the  
621 heating requirements of a greenhouse. Paper-American Society of Agricultural Engineers (USA).  
622
  - 623 • Breukers, A., Hietbrink, O., & Ruijs, M. N. A. (2008). The power of Dutch greenhouse vegetable  
624 horticulture: An analysis of the private sector and its institutional framework. LEI Wageningen UR.  
625 <https://edepot.wur.nl/27928>
  - 626 • Challa, H., & Van Straten, G. (1991). Reflections about optimal climate control in greenhouse  
627 cultivation. *IFAC Proceedings Volumes*, 24(11), 13-18. doi: <https://doi.org/10.1016/B978-0-08-041273-3.50007-0>
- 628

629

630

631

- 632 • De Zwart, H.F. (1996), Analyzing energy-saving options in greenhouse cultivation using a  
633 simulation model, PhD thesis Wageningen University, 236. <https://edepot.wur.nl/195238>  
634
- 635 • Dueck, T. A., Janse, J., Eveleens, B. A., Kempkes, F. L. K., & Marcelis, L. F. M. (2012). Growth of  
636 tomatoes under hybrid LED and HPS lighting. *Acta Hortic.* 952, 335-342. doi:  
637 <https://doi.org/10.17660/ActaHortic.2012.952.42>  
638
- 639 • De Zwart, H. F. (2009). The sunergy greenhouse-one year of measurements in a next generation  
640 greenhouse. In *International Symposium on High Technology for Greenhouse Systems: GreenSys2009* 893 (pp. 351-358). doi: <https://doi.org/10.17660/ActaHortic.2011.893.31>  
641  
642
- 643 • Dieleman, J. A., Janse, J., de Gelder, A., Kempkes, F. L. K., de Visser, P. H. B., Lagas, P., Meinen,  
644 E., Warmenhoven, M. G., & Elings, A. (2015). Tomaten belichten met minder elektriciteit (No.  
645 1338), 74 pp.. Wageningen UR Glastuinbouw. <http://edepot.wur.nl/338578>  
646
- 647 • Dieleman, J.A. & Hemming, S. (2011). Energy saving: from engineering to crop management.  
648 In *International Symposium on High Technology for Greenhouse Systems: GreenSys2009*  
649 893 (pp. 65-73). doi: <https://doi.org/10.17660/ActaHortic.2011.893.2>  
650
- 651 • Hanan, J.J. (1998). *Greenhouses: advanced technology for protected cultivation*. CRC Press, Boca  
652 Raton, USA, (Chapter 2).  
653
- 654 • Hemming, S. (2011). Use of natural and artificial light in horticulture-interaction of plant and  
655 technology. In *VI International Symposium on Light in Horticulture* 907 (pp. 25-35). doi:  
656 <https://doi.org/10.17660/ActaHortic.2011.907.1>  
657
- 658 • Heuvelink, E., Bakker, M.J., Hogendonk, L., Janse, J., Kaarsemaker, R., & Maaswinkel, R. (2005).  
659 Horticultural lighting in the Netherlands: new developments. In *V International Symposium on*  
660 *Artificial Lighting in Horticulture* 711 (pp. 25-34). doi:  
661 <https://doi.org/10.17660/ActaHortic.2006.711.1>  
662
- 663 • Janse, J., Weerheim, K., & Dieleman, A. (2018). Lichtmetingen op komkommerbedrijven met LED-  
664 tussenbelichting: begeleiden en monitoren van energie-innovaties in de praktijk (No. WPR-763),  
665 Wageningen University & Research, BU Glastuinbouw.  
666 <https://library.wur.nl/WebQuery/wurpubs/fulltext/444366>  
667
- 668 • Kempkes, F., De Zwart, H. F., Munoz, P., Montero, J. I., Baptista, F. J., Giuffrida, F., Gilli, C.,  
669 Stepowska, A & Stanghellini, C. (2017b). Heating and dehumidification in production greenhouses  
670 at northern latitudes: Energy use. *Acta Hortic.* 1164, 445-452. doi:  
671 <https://doi.org/10.17660/ActaHortic.2017.1164.58>  
672
- 673 • Kobayashi, K., & Salam, M. U. (2000). Comparing simulated and measured values using mean  
674 squared deviation and its components. *Agronomy Journal*, 92(2), 345-352. doi:  
675 <https://doi.org/10.2134/agronj2000.922345x>  
676
- 677 • Marcelis, L.F.M., Broekhuijsen, A.G.M., Meinen, E., Nijs, E.M.F.M., & Raaphorst, M.G.M. (2005),  
678 June. Quantification of the growth response to light quantity of greenhouse grown crops. In *V*  
679 *International Symposium on Artificial Lighting in Horticulture* 711 (pp. 97-104). doi:  
680 <https://doi.org/10.17660/ActaHortic.2006.711.9>  
681
- 682 • Moe, R., Grimstad, S. O., & Gislerod, H. R. (2005). The use of artificial light in year round  
683 production of greenhouse crops in Norway. In *V International Symposium on Artificial Lighting in*  
684 *Horticulture* 711 (pp. 35-42). doi: <https://doi.org/10.17660/ActaHortic.2006.711.2>  
685
- 686 • Nelson, J.A. & Bugbee, B. (2015). Analysis of environmental effects on leaf temperature under  
687 sunlight, high pressure sodium and light emitting diodes. *PLoS ONE* 10(10): e0138930. doi:  
688 <https://doi.org/10.1371/journal.pone.0138930>  
689
- 690 • Persoon, S., & Hogewoning, S. W. (2014). Onderzoek naar de fundamenteen van energiebesparing  
691 in de belichte teelt. *Productschap Tuinbouw*.  
692
- 693 • Stanghellini, C., Oosfer, B., & Heuvelink, E. (2019). *Greenhouse horticulture: technology for*  
694 *optimal crop production*. Wageningen Academic Publishers. Doi: [https://doi.org/10.3920/978-90-](https://doi.org/10.3920/978-90-8686-879-7)  
695 [8686-879-7](https://doi.org/10.3920/978-90-8686-879-7)  
696

- 697 • Van Henten, E.J., Bakker, J.C., Marcelis, L.F.M., van 't Ooster, A., Dekker, E., Stanghellini, C.,  
698 Vanthoor, B., Van Randerat, B., & Westra, J. (2006). The adaptive greenhouse-an integrated  
699 system approach to developing protected cultivation system. *Acta Hort.* 718, 399-406. doi:  
700 <https://doi.org/10.17660/ActaHortic.2006.718.46>  
701  
702 • Van Heurn, E., & Van der Post, K. (2004). Protected cultivation: construction, requirements and  
703 use of greenhouses in various climates. *Agrodok* 23. Wageningen, The Netherlands: CTA  
704 Publications. <https://hdl.handle.net/10568/73088>  
705  
706 • Vanthoor, B. H. (2011). A model-based greenhouse design method. PhD thesis Wageningen  
707 University. <https://library.wur.nl/WebQuery/wurpubs/fulltext/170301>  
708  
709 • Vanthoor, B. H. E., Stanghellini, C., van Henten, E. J., & de Visser, P. H. B. (2011a). A methodology  
710 for model-based greenhouse design: Part 1, a greenhouse climate model for a broad range of  
711 designs and climates. *Biosystems Engineering*, 110(4), 363-377. doi:  
712 <https://doi.org/10.1016/j.biosystemseng.2011.06.001>  
713  
714 • Vanthoor, B. H. E., de Visser, P. H. B., Stanghellini, C., & van Henten, E. J. (2011b). A  
715 methodology for model-based greenhouse design: Part 2, description and validation of a tomato  
716 yield model. *Biosystems Engineering*, 110(4), 378-395. doi:  
717 <https://doi.org/10.1016/j.biosystemseng.2011.08.005>  
718  
719 • Vanthoor, B.H., Gazquez, J.C., Magan, J.J., Ruijs, M.N., Baeza, E., Stanghellini, C., van Henten,  
720 E.J. & de Visser, P.H. (2012). A methodology for model-based greenhouse design: Part 4,  
721 economic evaluation of different greenhouse designs: A Spanish case. *Biosystems*  
722 *engineering*, 111(4), pp.336-349. doi: <https://doi.org/10.1016/j.biosystemseng.2011.12.008>  
723  
724 • Verheul, M. J., & Thorsen, S. M. (2010). Klimagassregnskap for norske  
725 veksthusprodukter. *Bioforsk Rapport*, 5(135).  
726  
727 • Verheul, M. J., & Maessen, H. F. R., Grimstad, S. O. (2012). Optimizing a year-round cultivation  
728 system of tomato under artificial light. In VII International Symposium on Light in Horticultural  
729 Systems 956 (pp. 389-394). doi: <https://doi.org/10.17660/ActaHortic.2012.956.45>  
730  
731 • Vermeulen, P. (2016). Kwantitatieve informatie voor de glastuinbouw 2016-2017: kengetallen  
732 voor groenten, snijbloemen, pot en perkplanten teelten. Wageningen UR Glastuinbouw, Bleiswijk  
733 (p. 162).  
734  
735 • Von Elsner, B., Briassoulis, D., Waaijenberg, D., Mistriotis, A., Von Zabeltitz, C., Gratraud, J., ...  
736 & Suay-Cortes, R. (2000). Review of structural and functional characteristics of greenhouses in  
737 European Union countries: Part I, design requirements. *Journal of Agricultural Engineering*  
738 *Research*, 75(1), 1-16. doi: <https://doi.org/10.1006/jaer.1999.0502>  
739

740  
741  
742

### Web references

- 743 • "Derfor Bør Du Velge Trøndersk Agurk!" *Norsk Gartnerforbund*, 22 May 2019,  
744 [www.gartnerforbundet.no/hvorfor-du-bor-velge-trondersk-agurk/](http://www.gartnerforbundet.no/hvorfor-du-bor-velge-trondersk-agurk/).  
745  
746 • Statistics Norway (2013). Statistical Yearbook of Norway 2013.  
747 <https://www.ssb.no/en/befolkning/artikler-og-publikasjoner/attachment/146776?ts=143c3b051c8>  
748  
749 • "Myter Om Veksthusproduksjon i Norge." *Norsk Gartnerforbund*, 11 Feb. 2019,  
750 [www.gartnerforbundet.no/tre-myter-om-veksthusproduksjon-av-groonnsaker-i-norge/?fbclid=IwAR3bUIuwvGDCpQMD5BIjuOfs3HYMujGJrA9d\\_wRDm96mIjTBUyUs3U3XB9k](http://www.gartnerforbundet.no/tre-myter-om-veksthusproduksjon-av-groonnsaker-i-norge/?fbclid=IwAR3bUIuwvGDCpQMD5BIjuOfs3HYMujGJrA9d_wRDm96mIjTBUyUs3U3XB9k)  
751  
752